

Grantee: Puerto Rico

Grant: P-17-PR-72-HIM1

July 1, 2021 thru September 30, 2021 Performance

| | | |
|--|---|--|
| Grant Number: P-17-PR-72-HIM1 | Obligation Date: | Award Date: |
| Grantee Name: Puerto Rico | Contract End Date: | Review by HUD: Reviewed and Approved |
| Grant Award Amount: \$9,727,962,000.00 | Grant Status: Active | QPR Contact: No QPR Contact Found |
| LOCCS Authorized Amount: \$0.00 | Estimated PI/RL Funds: \$0.00 | |
| Total Budget: \$9,727,962,000.00 | | |

Disasters:

Declaration Number

FEMA-4336-PR
FEMA-4339-PR

Narratives

Disaster Damage:

The 2017 hurricane season brought insurmountable devastation to Puerto Rico when in the month of September, the island experienced Category five (5) and four (4) storms, Hurricane Irma and Hurricane Maria. Hurricane Maria was the most devastating natural disaster to hit Puerto Rico since San Felipe made landfall nine decades ago in 1928. Since that time, the population has doubled in size from 1.5 million residents compared to today's population of 3.4 million. Hurricane Irma made landfall on September 6, 2017 as a Category five (5) storm with winds up to 100 miles per hour. The storm cut power to an estimated two-thirds of the main island, leaving communities ravaged. Just two (2) weeks later, Hurricane Maria made landfall on September 20, 2017 as a Category 4 storm measuring 35 miles in width, making direct impact with the 35-mile wide island. Hurricane Maria impacted the entirety of Puerto Rico with recorded winds up to 155 miles an hour, river surges up to 47 feet, massive mudslides, and localized catastrophic flooding reaching up to 38 inches. An estimated 64 lives were immediately lost in the storm with hundreds more lost to secondary conditions caused by lack of power, contaminated water sources, and limited to no access to healthcare services. Today over 90% of the island's power has been restored, however recurring outages continue to affect the still-fragile grid. Inundated by back-to-back storms, Puerto Rico experienced 100% impact from Hurricane Maria just two (2) weeks after surviving regional impact from Hurricane Irma. The subsequent impact of these storms compounded damage to the island leaving extremely vulnerable housing structures extensively damaged or completely leveled in almost every municipio. As a US territory located 1,000 miles from the mainland states, the Puerto Rico archipelago is isolated from the continental US and depends heavily on maritime commerce for major resources. Hurricane warnings were issued 37 hours³ before landfall, but due to the severity of Hurricane Maria and the trajectory of the storm, first responders were unable to preposition relief resources. Relief efforts and immediate aid were further delayed by up to three days due to the shutdown of significantly damaged seaports and airports. The geography of the main island further complicated relief efforts. Stretching 100 miles across and 35 miles top to bottom, the island is covered with vastly different terrain: rain forest, deserts, beaches, caves, oceans and rivers. Puerto Rico has three (3) main physiographic regions: the mountainous interior which covers approximately 60% of the island, the coastal lowlands, and the karst area characterized by underground drainage systems with sinkholes and caves. There are over 50 rivers and 60 watersheds that surged with flood waters when Maria hit. According to data gathered by FEMA Individual Assistance Program, hurricanes Irma and Maria caused damage to an estimated 1,067,6184 homes, the collapse or severe obstruction of numerous roads, and loss of power across the entire island. Post-storm conditions have only complicated relief efforts as residents had been left without essential services including power and clean water. The National Oceanic and Atmospheric Administration (NOAA) recorded Hurricane Maria winds that reached up to 155 mph in the City of San Juan. Early estimates accurately captured extreme wind and rain threats, and a storm trajectory to impact the island head-on bef

Disaster Damage:

ore proceeding northwest to the mainland US. Puerto Rico sustained hurricane force winds sweeping at maximum strength first through the islands of Vieques and Culebra then the northeast coast before sweeping westward across the main island. Wind and rain collapsed weak homes and buildings, uprooted trees, and lashed fragile power lines in every corner of the island causing a complete blackout. Severe flooding and mudslides occurred in every interior mountainous region of the island.

Recovery Needs:

Puerto Rico is committed to building back stronger and more resilient to future hazards. Activities supporting this commitment will not only enhance the quality of construction for life-saving protective measures, but also preserve the integrity of the federal investment contributed by the American people. The rebirth of Puerto Rico hinges on sustainable growth yielded by the influx of recovery monies. Through strategic partnership and resiliency planning, Puerto Rico will set



the stage for long-term investment and economic return. Implementation of recovery activities will focus on innovation and the perpetual application of mitigation and resilience techniques.

With this opportunity to rebuild, Puerto Rico will increase housing opportunities and affirmatively promote housing choice throughout the housing market. Program implementation will be conducted in a manner which will not cause discrimination on the basis of race, color, religion, sex, disability, familial status, or national origin.

PRDOH will use housing counseling programs and whole community resilience programs to build programs informed by housing choice to provide affordable housing. Because planning decisions may affect racial, ethnic and low-income concentrations, these programs will help provide affordable housing choice in areas that are defined as low-poverty or non-minority where appropriate and in response to natural hazard related impacts. PRDOH will consider the impact of planning decisions on racial, ethnic, and low-income concentrations. This may include utilizing mapping tools and data to identify racially or ethnically-concentrated areas of poverty for the evaluation of possible impacts to those areas as well as to promote fair housing choice and to foster inclusive communities.

Puerto Rico will implement construction methods that emphasize quality, durability, energy efficiency, sustainability, and mold resistance. All rehabilitation, reconstruction, and new construction will be designed to incorporate principles of sustainability, including water and energy efficiency, resilience, and mitigation against the impact of future shocks and stressors.

Construction performed under the programs will adhere to the 2011 Puerto Rico building code.²⁰ Importantly, the Code includes requirements regarding earthquake loads. This is vital as Puerto Rico must build structures that are resilient not only for hurricanes and wind, but for seismic activity as well. This is consistent with the goal of protecting people and property from harm; emphasizing high quality, durability, energy efficiency, sustainability, and mold resistance; supporting the adoption and enforcement of modern and/or resilient building codes and mitigation of hazard risk, including possible sea level rise, high winds, storm surge, and flooding, where appropriate; and implementing and ensuring compliance with the Green Building standards.

Puerto Rico will enforce procurement procedures that increase the use of sustainable standards, which may include FORTIFIED Home™ and Leadership in Energy and Environmental Design (LEED) certified construction vendors.

For non-substantially rehabilitated housing structures, Puerto Rico will follow the HUD Community Planning and Development (CPD) Green Building Retrofit Checklist guidelines to the extent they are applicable to the construction methods utilized on the Island. When older or obsolete products are repla

Recovery Needs:

ced as part of rehabilitation work, Puerto Rico will use products and appliances with ENERGY STAR labels, Water Sense labels, or Federal Energy Management Program (FEMP equivalent) designations.

In conducting its impact and unmet needs assessment for this CDBG-DR Action Plan, Puerto Rico has designed program objectives and supporting budgets to consider the additional costs associated with protecting housing and community investments from future disasters through eligible resilience activities.

| Overall | This Report Period | To Date |
|--|--------------------|--------------------|
| Total Projected Budget from All Sources | \$0.00 | \$9,727,962,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$1,507,179,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$8,220,783,000.00 |
| Total Budget | \$0.00 | \$9,727,962,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$1,507,179,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$8,220,783,000.00 |
| Total Obligated | \$1,520,758,103.67 | \$3,128,932,084.71 |
| B-17-DM-72-0001 | \$193,138,943.02 | \$1,300,341,914.46 |
| B-18-DP-72-0001 | \$1,327,619,160.65 | \$1,828,590,170.25 |
| Total Funds Drawdown | \$127,637,749.96 | \$459,771,161.46 |
| B-17-DM-72-0001 | \$101,103,831.60 | \$400,136,931.56 |
| B-18-DP-72-0001 | \$26,533,918.36 | \$59,634,229.90 |
| Program Funds Drawdown | \$127,637,749.96 | \$459,771,161.46 |
| B-17-DM-72-0001 | \$101,103,831.60 | \$400,136,931.56 |
| B-18-DP-72-0001 | \$26,533,918.36 | \$59,634,229.90 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$127,637,749.50 | \$459,770,353.09 |
| B-17-DM-72-0001 | \$101,103,831.14 | \$400,135,651.92 |
| B-18-DP-72-0001 | \$26,533,918.36 | \$59,634,701.17 |



| | | |
|--|-----------------|------------------|
| HUD Identified Most Impacted and Distressed | \$97,046,438.47 | \$216,650,141.26 |
| B-17-DM-72-0001 | \$80,809,086.69 | \$185,035,204.29 |
| B-18-DP-72-0001 | \$16,237,351.78 | \$31,614,936.97 |
| Other Funds | \$ 0.00 | \$ 0.00 |
| Match Funds | \$ 0.00 | \$ 0.00 |
| Non-Match Funds | \$ 0.00 | \$ 0.00 |

Funds Expended

| Overall | This Period | To Date |
|--|--------------------|-------------------|
| Central Office for Recovery, Reconstruction and Resiliency | \$ 87,902.79 | \$ 377,676.75 |
| Department of Economic Development and Commerce | \$ 0.00 | \$ 4,987.37 |
| Economic Development Bank of Puerto Rico (BDE) | \$ 15,138,304.92 | \$ 40,675,003.51 |
| Foundation For Puerto Rico | \$ 245,179.65 | \$ 2,225,548.29 |
| Invest Puerto Rico Inc. | \$ 2,718,613.22 | \$ 6,840,794.20 |
| Puerto Rico Department of Agriculture | \$ 4,561,510.52 | \$ 6,875,649.90 |
| Puerto Rico Department of Housing | \$ 86,318,561.29 | \$ 349,146,675.14 |
| Puerto Rico Housing Finance (AFV) | \$ 18,564,696.74 | \$ 53,533,329.45 |
| University Of Puerto Rico | \$ 2,980.37 | \$ 90,217.21 |

Progress Toward Required Numeric Targets

| Requirement | Target | Projected | Actual |
|-------------------------------------|--------------------|--------------------|------------------|
| Overall Benefit Percentage | 70.00% | 77.08% | 86.64% |
| Minimum Non Federal Match | \$.00 | \$.00 | \$.00 |
| Overall Benefit Amount | \$6,260,849,035.00 | \$6,893,733,221.00 | \$47,905.14 |
| Limit on Public Services | \$.00 | \$407,500,000.00 | \$7,157.34 |
| Limit on Admin/Planning | \$.00 | \$783,891,950.00 | \$330,492.46 |
| Limit on Admin | \$.00 | \$486,398,100.00 | \$322,178.35 |
| Most Impacted and Distressed | \$.00 | \$9,717,962,000.00 | \$216,650,141.26 |

Overall Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) hired an additional eight (8) staff members. These hires included: One (1) Economic Development Program Director, Two (2) Assistant Deputy Secretaries, One (1) Operations Director, One (1) Deputy Finance Director, Six (6) Federal Compliance & Subrecipient Management Specialists, One (1) Internal Auditor, One (1) Financial Specialist, Two (2) Legal Specialists, one (1) Title Clearance Specialist, One (1) Procurement Specialist, One (1) IT Manager and Two (2) Environmental Specialists. These hires will assist in general management, oversight and coordination of the CDBG-DR grant as a whole. The Federal Compliance-Subrecipient Management Unit added new staff to assist in establishing policies, procedures and guidance, to allow for the adequate management and oversight of Subrecipients. Federal Compliance unit continues to collect quarterly reporting from subrecipients and direct contractors as per requirements for gathering data for Section 3 compliance with 24 CFR 135 and 24 CFR 75. The team rolled out extensive training internally and externally on the final rule and has made available revised templates for implementation. The team has also provided Davis Bacon & Related Acts training to subrecipients and contractors. Finance and Legal team also received CHWSSA liquidated damages and FLSA additional training. In addition, the Monitoring Division experienced changes in staffing to fill positions in the new organizational chart as approved by local regulatory agencies. The changes consisted of two (2) Monitors becoming Monitoring Managers, two (2) Monitors becoming Senior Monitors and the Reporting Specialist becoming Monitor. Interviews were carried out in an effort to fill the vacant positions and it is expected to receive new personnel starting next quarter.

Regarding oversight and monitoring overall program performance, the Monitoring Team conducted on-site monitoring events as the situation with the COVID-19 Pandemic started to normalize for subrecipient's operations. During this period, 14 monitoring events were completed under the R3 Program, including 10 on-site monitoring to Construction Managers, two (2) on-site (conducted remotely) events to Municipalities for outreach services, and two (2) desk monitoring events to Tetra Tech Inc. and Arcadis Caribe, PSC for Environmental Services. For this period, eight (8) Financial monitoring reviews were conducted to entities under the Rental Assistance Program. The Team also completed three (3) additional on-site monitoring events, two (2) to F.S. Surveying, PSC and Deval, LLC for services under the Title Clearance Program, and one (1) to Consumer Credit Counseling Services of Puerto Rico for services under the Housing Counseling Program. In addition, the Monitoring Team completed five (5) desk monitoring events for services under the Housing Counseling Program, and one (1) on-site monitoring event (conducted remotely) to Invest Puerto Rico under the Tourism & Business Marketing Program. During this period, two (2) capacity assessments were done to the Department of Agriculture of Puerto Rico for the Re-grow PR Urban-Rural Agriculture Program and to the Puerto Rico Office for Socioeconomic and Community Development (ODSEC) for the Blue Roof Program. Additionally, two (2) capacity assessments were initiated during this period to Mercy Corps for the City Revitalization Program and to Habitat for Humanity for the Blue Roof Program. At the end of the reporting period, six (6) processes were Awarded, none were Cancelled, seven (7) processes were in Pre-Solicitation, five (5) processes were in Solicitation, one (1) process was in Bid Board and nine (9) were pending contract execution. PRDOH reviewed and approved several program documents, including program guidelines, agreements, policies and amendments to existing documents. From the Housing Portfolio, the version 2 of the Community Energy and Water Resilience Installations Program Guidelines was published on August 24, 2021. Related to the Home Repair, Reconstruction, or Relocation Program, a version 10 of the Program Guidelines was approved and published on September 28, 2021.



From the Economic Development Section, the following Program Guidelines were approved and published during this period: the Economic Development Investment Portfolio for Growth, posted on July 27, 2021. Applicable to all CDBG-DR Programs, the following general policies were updated and published during this period: the Financial Policy, version 10, posted on July 2, 2021; the Contract and Subrecipient Agreement Manual, version 2, posted on August 3, 2021; the Lobbying Management Policy, posted on August 30, 2021; the Davis Bacon and Related Acts Policy, version 3, posted on September 10, 2021; the Duplication of Benefits Policy, posted on September 28, 2021; and the Communications Guide, version 2, posted on September 28, 2021. Extension to Agreements and Memorandums of Understanding were approved and executed between PRDOH and Governmental entities to establish procedures by which PRDOH may exchange data, subject to the Personally Identifiable Information, Confidentiality, and Nondisclosure Policy (PII Policy). The CDBG-DR Action Plan Amendment 7 (Substantial) was published for public comments from August 6, 2021, through September 6, 2021. Public Comments from citizens and general stakeholders were considered and incorporated as an appendix to the Action Plan. The complete Action Plan package was submitted for HUD approval on October 7, 2021. The changes included in this substantial amendment are mainly focused on the Housing sector.

Project Summary

| Project #, Project Title | This Report | To Date | |
|--|------------------------|------------------------|------------------------|
| | Program Funds Drawdown | Project Funds Budgeted | Program Funds Drawdown |
| 9999, Restricted Balance | \$0.00 | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 | \$0.00 |
| Administration B-17-DM-72-0001, Administration | \$10,246,093.51 | \$486,398,100.00 | \$70,283,132.98 |
| B-17-DM-72-0001 | \$2,005,906.38 | \$75,358,950.00 | \$46,945,360.52 |
| B-18-DP-72-0001 | \$8,240,187.13 | \$411,039,150.00 | \$23,337,772.46 |
| Economic B-17-DM-72-0001, Economic | \$18,094,583.79 | \$335,000,000.00 | \$48,151,502.01 |
| B-17-DM-72-0001 | \$18,094,583.79 | \$117,500,000.00 | \$48,151,502.01 |
| B-18-DP-72-0001 | \$0.00 | \$217,500,000.00 | \$0.00 |
| Economic B-18-DP-72-0001, Economic | \$4,649,413.31 | \$892,500,000.00 | \$7,253,326.65 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$4,649,413.31 | \$892,500,000.00 | \$7,253,326.65 |
| Economic Non RLF B-17-DM-72-0001, Economic Non RLF | \$174,156.74 | \$90,000,000.00 | \$552,431.39 |
| B-17-DM-72-0001 | \$174,156.74 | \$47,500,000.00 | \$552,431.39 |
| B-18-DP-72-0001 | \$0.00 | \$42,500,000.00 | \$0.00 |
| Housing B-17-DM-72-0001, Housing | \$79,467,652.91 | \$4,592,530,619.00 | \$275,299,954.56 |
| B-17-DM-72-0001 | \$79,074,238.28 | \$1,003,570,050.00 | \$274,328,126.92 |
| B-18-DP-72-0001 | \$393,414.63 | \$3,588,960,569.00 | \$971,827.64 |
| Housing B-18-DP-72-0001, Housing | \$13,175,782.90 | \$300,000,000.00 | \$26,879,227.76 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$13,175,782.90 | \$300,000,000.00 | \$26,879,227.76 |
| Infrastructure B-17-DM-72-0001, Infrastructure | \$581,596.04 | \$1,436,039,431.00 | \$23,108,120.15 |
| B-17-DM-72-0001 | \$581,596.04 | \$100,000,000.00 | \$23,108,120.15 |
| B-18-DP-72-0001 | \$0.00 | \$1,336,039,431.00 | \$0.00 |
| Infrastructure B-18-DP-72-0001, Infrastructure | \$0.00 | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 | \$0.00 |
| Multi-Sector B-17-DM-72-0001, Multi-Sector | \$106,334.30 | \$1,298,000,000.00 | \$1,279,434.40 |
| B-17-DM-72-0001 | \$31,213.91 | \$23,000,000.00 | \$87,359.01 |
| B-18-DP-72-0001 | \$75,120.39 | \$1,275,000,000.00 | \$1,192,075.39 |
| Multi-Sector B-18-DP-72-0001, Multi-Sector | \$0.00 | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 | \$0.00 |
| Planning B-17-DM-72-0001, Planning | \$1,142,136.46 | \$297,493,850.00 | \$6,964,031.56 |
| B-17-DM-72-0001 | \$1,142,136.46 | \$140,250,000.00 | \$6,964,031.56 |
| B-18-DP-72-0001 | \$0.00 | \$157,243,850.00 | \$0.00 |

Activities





Grantee Activity Number: R01A01ADM-DOH-NA**Activity Title: Administration****Activity Type:**

Administration

Activity Status:

Under Way

Project Number:

Administration B-17-DM-72-0001

Project Title:

Administration

Projected Start Date:

09/20/2018

Projected End Date:

09/19/2026

Benefit Type:

N/A

Completed Activity Actual End Date:**National Objective:**

N/A

Responsible Organization:

Puerto Rico Department of Housing

Overall**Total Projected Budget from All Sources**

B-17-DM-72-0001

B-18-DP-72-0001

Total Budget

B-17-DM-72-0001

B-18-DP-72-0001

Total Obligated

B-17-DM-72-0001

B-18-DP-72-0001

Total Funds Drawdown

B-17-DM-72-0001

B-18-DP-72-0001

Program Funds Drawdown

B-17-DM-72-0001

B-18-DP-72-0001

Program Income Drawdown

B-17-DM-72-0001

B-18-DP-72-0001

Program Income Received

B-17-DM-72-0001

B-18-DP-72-0001

Total Funds Expended

Puerto Rico Department of Housing

Most Impacted and Distressed Expended

B-17-DM-72-0001

B-18-DP-72-0001

Jul 1 thru Sep 30, 2021**To Date**

| | |
|-----------------|------------------|
| \$0.00 | \$972,796,200.00 |
| \$0.00 | \$486,398,100.00 |
| \$0.00 | \$486,398,100.00 |
| \$0.00 | \$972,796,200.00 |
| \$0.00 | \$486,398,100.00 |
| \$0.00 | \$486,398,100.00 |
| \$22,952,766.90 | \$109,450,966.47 |
| \$853,862.25 | \$55,970,801.65 |
| \$22,098,904.65 | \$53,480,164.82 |
| \$10,246,093.51 | \$70,283,132.98 |
| \$2,005,906.38 | \$46,945,360.52 |
| \$8,240,187.13 | \$23,337,772.46 |
| \$10,246,093.51 | \$70,283,132.98 |
| \$2,005,906.38 | \$46,945,360.52 |
| \$8,240,187.13 | \$23,337,772.46 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$10,246,093.51 | \$70,283,132.98 |
| \$10,246,093.51 | \$70,283,132.98 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |

Activity Description:

Administrative expenses regarding the salaries and wages carry out under the CDBG-DR funds.

Location Description:

The administrative work is going to be performed at the Puerto Rico Department of Housing and their regional offices across the Island. The address for the Department of Housing is Barbosa Ave. #606, San Juan PR 00923.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) hired an additional eight (20) staff

members. These hires included: One (1) Economic Development Program Director, Two (2) Assistant Deputy Secretaries, One (1) Operations Director, One (1) Deputy Finance Director, Six (6) Federal Compliance & Subrecipient Management Specialists, One (1) Internal Auditor, One (1) Financial Specialist, Two (2) Legal Specialists, one (1) Title Clearance Specialist, One (1) Procurement Specialist, One (1) IT Manager and Two (2) Environmental Specialists. These hires will assist in general management, oversight and coordination of the CDBG-DR grant as a whole. The Federal Compliance-Subrecipient Management Unit added new staff to assist in establishing policies, procedures and guidance, to allow for the adequate management and oversight of Subrecipients. Federal Compliance unit continues to collect quarterly reporting from subrecipients and direct contractors as per requirements for gathering data for Section 3 compliance with 24 CFR 135 and 24 CFR 75. The team rolled out extensive training internally and externally on the final rule and has made available revised templates for implementation. The team has also provided Davis Bacon & Related Acts training to subrecipients and contractors. Finance and Legal team also received CHWSSA liquidated damages and FLSA additional training. In addition, the Monitoring Division experienced changes in staffing to fill positions in the new organizational chart as approved by local regulatory agencies. The changes consisted of two (2) Monitors becoming Monitoring Managers, two (2) Monitors becoming Senior Monitors and the Reporting Specialist becoming Monitor. Interviews were carried out in an effort to fill the vacant positions and it is expected to receive new personnel starting next quarter.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents: None

Project # / Economic B-17-DM-72-0001 / Economic



Grantee Activity Number: R01E15SBF-EDC-LM**Activity Title: Small Business Financing LMI****Activity Type:**

Econ. development or recovery activity that creates/retains

Project Number:

Economic B-17-DM-72-0001

Projected Start Date:

09/20/2018

Benefit Type:

Direct (Person)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Economic

Projected End Date:

09/19/2026

Completed Activity Actual End Date:**Responsible Organization:**

Economic Development Bank of Puerto Rico (BDE)

| Overall | Jul 1 thru Sep 30, 2021 | To Date |
|--|--------------------------------|------------------|
| Total Projected Budget from All Sources | \$0.00 | \$135,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$67,500,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$67,500,000.00 |
| Total Budget | \$0.00 | \$135,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$67,500,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$67,500,000.00 |
| Total Obligated | \$36,855.80 | \$20,479,545.81 |
| B-17-DM-72-0001 | \$2,806.47 | \$20,445,496.48 |
| B-18-DP-72-0001 | \$34,049.33 | \$34,049.33 |
| Total Funds Drawdown | \$274,784.81 | \$6,122,241.65 |
| B-17-DM-72-0001 | \$274,784.81 | \$6,122,241.65 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$274,784.81 | \$6,122,241.65 |
| B-17-DM-72-0001 | \$274,784.81 | \$6,122,241.65 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$274,784.81 | \$6,122,241.65 |
| Department of Economic Development and | \$0.00 | \$94,474.32 |
| Economic Development Bank of Puerto Rico (BDE) | \$274,784.81 | \$6,027,013.48 |
| Most Impacted and Distressed Expended | \$0.00 | \$5,338,474.15 |
| B-17-DM-72-0001 | \$0.00 | \$5,338,474.15 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |

Activity Description:

The SBF Program provides a range of flexible and thoroughly underwritten grant and loan options to assist with hurricane recovery and business expansion. PRDOH projects that at least 1,000 small businesses will receive assistance under this program. Specifically, SBF's financial financing options will be composed of the Recovery Grant Program, and Recovery and Expansion Loans, which will include Option A Working Capital Line of Credit and Option B Term Loans for Larger Projects as described below. The SBF Program objective is to provide capital to: • Expand growth opportunities and economic recovery by addressing local business needs for working capital; • Retain and expand employment of Puerto Rico residents through business expansion; and Fortify and coach businesses to expand their ability to work with private banking institutions and other financial entities for future financing needs. Eligible applicants can apply for the Recovery Grant Program and Recovery

and Expansion Loans in coordination with BDE, who will help applicants identify the type and amount of assistance that best serves their unmet business recovery and growth needs. BDE will offer technical assistance and guidance during the intake and application process as well. As loan underwriter, administrator and servicer, BDE will underwrite applications for grant and loan programs based on: • CDBG Economic Development underwriting criteria as specified in the CDBG-DR Notice with a focus on: credit, capacity, collateral, capital and character; and • CDBG-DR and Puerto Rico compliance requirements. The SBF Program will require and verify that all borrowers pay their employees living wages and adhere to all other federal compliance requirements as needed. As part of the SBF Program, a loan option of up to \$250,000 for construction or reconstruction and support of facilities may also be available for specialized medical providers -who qualify under Puerto Rican Incentives Act for the Retention and Return of Medical (Act 14-2017)- or other critical personnel.

Location Description:

The funding is available to be used in all Puerto Rico municipalities affected by the hurricanes across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks in support of the Small Business Financing Program: in collaboration with the Program’s administering Subrecipient: the Economic Development Bank of Puerto Rico (BDE), the Program has received over 5,700 applications submitted with 269 applications under underwriting review. During this quarter, the Program awarded three hundred and ten (313) cases (UN) for a total \$13.3 Million disbursed (UN), and one hundred and eleven (111) signed grant agreements, which represents over \$5 Million in committed grants pending disbursement. At the end of this quarter, the SBF Program has disbursed awards totaling \$38.05 million for eight-hundred sixty-six (866) entities, and has signed grant agreements with an additional one-hundred eleven (111) entities for a total amount pending disbursement of \$5.11 Million. Therefore, in total the Program has awarded 977 entities for a total of \$43.17 Million between awards disbursed and pending disbursement. To achieve this, PRDOH has held recurring weekly meetings with the Subrecipient: BDE to provide guidance and clarify questions; continued refining metric reports providing better oversight and accurate program progress information; reviewed and updated Program SOPs to provide more detail and ensure compliance, continued integrating Case Manager into the final phase of the program; developed and tested the Grant Management System in response to modifications of the program procedures; and consulted with subject matter experts to refine established procedures and comply diligently with existing regulations. Many of these implementations were executed as corrective actions resulting from the HUD Monitoring Review. For example: program policies and procedures were modified. The SBF Program is in the process of pursuing a Subrecipient Agreement (SRA) Amendment B with BDE to increase Subrecipient’s grant award budget by \$75M. Case Manager goals were doubled to ensure a timely Program delivery and overall Program performance. Additionally, PRDOH contracted two new underwriting service providers; a second underwriting vendor: PR SBTDC has begun its onboarding with the SBF Program. Outreach efforts continued throughout the quarter resulting in a historic influx of new cases. The SBF program worked with the Subrecipient in fine-tuning the Environmental Review process to ensure compliance and minimize Program disruption. The SBF Program continued its efforts in gathering National Objectives data. With this data, the Program been analyzing cases and working to ensure the Program meets its LMI objectives.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

| | This Report Period Total | Cumulative Actual Total / Expected Total |
|------------------------|-----------------------------|---|
| # of Businesses | 0 | 118/360 |

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found



Activity Supporting Documents:

None



Grantee Activity Number: R01E15SBF-EDC-UN**Activity Title: Small Business Financing UN****Activity Type:**

Econ. development or recovery activity that creates/retains

Project Number:

Economic B-17-DM-72-0001

Projected Start Date:

09/20/2018

Benefit Type:

Direct (Person)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

Economic

Projected End Date:

09/19/2024

Completed Activity Actual End Date:**Responsible Organization:**

Economic Development Bank of Puerto Rico (BDE)

| Overall | Jul 1 thru Sep 30, 2021 | To Date |
|--|--------------------------------|------------------|
| Total Projected Budget from All Sources | \$0.00 | \$315,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$157,500,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$157,500,000.00 |
| Total Budget | \$0.00 | \$315,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$157,500,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$157,500,000.00 |
| Total Obligated | \$85,996.91 | \$47,785,924.25 |
| B-17-DM-72-0001 | \$6,548.48 | \$47,706,475.82 |
| B-18-DP-72-0001 | \$79,448.43 | \$79,448.43 |
| Total Funds Drawdown | \$14,863,520.11 | \$34,552,761.86 |
| B-17-DM-72-0001 | \$14,863,520.11 | \$34,552,761.86 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$14,863,520.11 | \$34,552,761.86 |
| B-17-DM-72-0001 | \$14,863,520.11 | \$34,552,761.86 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$14,863,520.11 | \$34,552,761.86 |
| Department of Economic Development and | \$0.00 | \$5,803,147.58 |
| Economic Development Bank of Puerto Rico (BDE) | \$14,863,520.11 | \$28,749,614.28 |
| Most Impacted and Distressed Expended | \$12,380,742.11 | \$31,575,089.31 |
| B-17-DM-72-0001 | \$12,380,742.11 | \$31,575,089.31 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |

Activity Description:

The SBF Program provides a range of flexible and thoroughly underwritten grant and loan options to assist with hurricane recovery and business expansion. PRDOH projects that at least 1,000 small businesses will receive assistance under this program. Specifically, SBF's financial financing options will be composed of the Recovery Grant Program, and Recovery and Expansion Loans, which will include Option A Working Capital Line of Credit and Option B Term Loans for Larger Projects as described below. The SBF Program objective is to provide capital to: • Expand growth opportunities and economic recovery by addressing local business needs for working capital; • Retain and expand employment of Puerto Rico residents through business expansion; and Fortify and coach businesses to expand their ability to work with private banking institutions and other financial entities for future financing needs. Eligible applicants can apply for the Recovery Grant Program and Recovery

and Expansion Loans in coordination with BDE, who will help applicants identify the type and amount of assistance that best serves their unmet business recovery and growth needs. BDE will offer technical assistance and guidance during the intake and application process as well. As loan underwriter, administrator and servicer, BDE will underwrite applications for grant and loan programs based on: • CDBG Economic Development underwriting criteria as specified in the CDBG-DR Notice with a focus on: credit, capacity, collateral, capital and character; and • CDBG-DR and Puerto Rico compliance requirements. The SBF Program will require and verify that all borrowers pay their employees living wages and adhere to all other federal compliance requirements as needed. As part of the SBF Program, a loan option of up to \$250,000 for construction or reconstruction and support of facilities may also be available for specialized medical providers -who qualify under Puerto Rican Incentives Act for the Retention and Return of Medical (Act 14-2017)- or other critical personnel.

Location Description:

The funding is available to be used in all Puerto Rico municipalities affected by the hurricanes across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks in support of the Small Business Financing Program: in collaboration with the Program’s administering Subrecipient: the Economic Development Bank of Puerto Rico (BDE), the Program has received over 5,700 applications submitted with 269 applications under underwriting review. During this quarter, the Program awarded three hundred and ten (313) cases (UN) for a total \$13.3 Million disbursed (UN), and one hundred and eleven (111) signed grant agreements, which represents over \$5 Million in committed grants pending disbursement. At the end of this quarter, the SBF Program has disbursed awards totaling \$38.05 million for eight-hundred sixty-six (866) entities, and has signed grant agreements with an additional one-hundred eleven (111) entities for a total amount pending disbursement of \$5.11 Million. Therefore, in total the Program has awarded 977 entities for a total of \$43.17 Million between awards disbursed and pending disbursement. To achieve this, PRDOH has held recurring weekly meetings with the Subrecipient: BDE to provide guidance and clarify questions; continued refining metric reports providing better oversight and accurate program progress information; reviewed and updated Program SOPs to provide more detail and ensure compliance, continued integrating Case Manager into the final phase of the program; developed and tested the Grant Management System in response to modifications of the program procedures; and consulted with subject matter experts to refine established procedures and comply diligently with existing regulations. Many of these implementations were executed as corrective actions resulting from the HUD Monitoring Review. For example: program policies and procedures were modified. The SBF Program is in the process of pursuing a Subrecipient Agreement (SRA) Amendment B with BDE to increase Subrecipient’s grant award budget by \$75M. Case Manager goals were doubled to ensure a timely Program delivery and overall Program performance. Additionally, PRDOH contracted two new underwriting service providers; a second underwriting vendor: PR SBTDC has begun its onboarding with the SBF Program. Outreach efforts continued throughout the quarter resulting in a historic influx of new cases. The SBF program worked with the Subrecipient in fine-tuning the Environmental Review process to ensure compliance and minimize Program disruption. The SBF Program continued its efforts in gathering National Objectives data. With this data, the Program been analyzing cases and working to ensure the Program meets its LMI objectives.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

| | This Report Period | Cumulative Actual Total / Expected |
|-----------------|--------------------|------------------------------------|
| | Total | Total |
| # of Businesses | 313 | 748/1050 |

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

| Address | City | County | State | Zip | Status / Accept |
|---------|------|--------|-------|-----|-----------------|
|---------|------|--------|-------|-----|-----------------|

Other Funding Sources

No Other Funding Sources Found

Total Other Funding Sources

Amount

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found



Activity Supporting Documents:

None



Grantee Activity Number: R01E16BIA-EDC-LM

Activity Title: Business Incubators and Accelerators LMI

Activity Type:

Econ. development or recovery activity that creates/retains

Project Number:

Economic B-17-DM-72-0001

Projected Start Date:

09/19/2018

Benefit Type:

Direct (Person)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Economic

Projected End Date:

09/18/2026

Completed Activity Actual End Date:

Responsible Organization:

Puerto Rico Department of Housing

| Overall | Jul 1 thru Sep 30, 2021 | To Date |
|--|-------------------------|-----------------|
| Total Projected Budget from All Sources | \$0.00 | \$51,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$25,500,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$25,500,000.00 |
| Total Budget | \$0.00 | \$51,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$25,500,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$25,500,000.00 |
| Total Obligated | \$19,288,490.14 | \$20,185,031.43 |
| B-17-DM-72-0001 | \$7,258,205.90 | \$8,154,747.19 |
| B-18-DP-72-0001 | \$12,030,284.24 | \$12,030,284.24 |
| Total Funds Drawdown | \$3,087.37 | \$242,758.43 |
| B-17-DM-72-0001 | \$3,087.37 | \$242,758.43 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$3,087.37 | \$242,758.43 |
| B-17-DM-72-0001 | \$3,087.37 | \$242,758.43 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$3,087.37 | \$242,758.43 |
| Department of Economic Development and | \$0.00 | \$41,375.95 |
| Puerto Rico Department of Housing | \$3,087.37 | \$201,382.48 |
| Most Impacted and Distressed Expended | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |

Activity Description:

Puerto Rico recognizes that a blend of ideas, talent, capital, and a culture of openness and collaboration are all vital to nurturing an innovation ecosystem involving complex interactions among entrepreneurs, investors, suppliers, universities, government entities, large existing businesses, and a host of supporting actors and organizations.141 A business incubator and accelerator program supports the growth and success of small and/or new businesses in the early stages of operation by providing initial resources that significantly minimize the risk of small and/or new business failure and speeding up the time it takes to get to market. Business incubators and accelerators will be encouraged to incorporate resilience measures in businesses plans to allow for continuity of business operations in the event of power outages, natural disasters, or other disruptive events. This will provide the added benefit of creating business shelters during future events. PRDOH will



oversee this program and will fund incubator and accelerator activities with units of local government, non-profit organizations or governmental agencies with proven experience in the implementation of business incubators and accelerators. Through SBIA, small and/or new businesses will gain access to shared office space and supportive operational resources such as office equipment, telecommunication services, technical assistance, and conference space. Daily work in a shared, collaborative office environment provides for ongoing access to mentoring services, professional networking, idea-exchange, and hands-on management training. This will help support small and/or new companies in the early stage of operation. The grant maximum is \$2,500,000; however, it is possible that not all incubators and accelerators will receive the maximum amount. Funding will be based on incubator/ accelerator capacity as proposed in the submittal. Projects proposed in the floodplain will be evaluated on a case by case basis for risk and cost reasonableness and will comply with all applicable requirements. Organizations simply offering shared work spaces for lease or purchase as a private-sector business model, without providing supportive services, are not eligible applicants. Application criteria and program guidelines will be published on the CDBG-DR website subsequent to HUD approval of the Action Plan.

Location Description:

The funding is available to be used in all Puerto Rico municipalities affected by the hurricanes across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks pertaining to the Small Business Incubators and Accelerators Program (SBIA): Focused on finalizing the execution of pending Subrecipient Agreements (SRA) for the second and third rounds. Three (3) SRAs were executed with the following second round of entities: Fundación Borincana, Cooperativa de Ahorro y Crédito La Sagrada Familia and Community Development Venture Capital Alliance representing \$6.9M in obligated funds. In addition, the following sixteen (16) SRAs were executed for the third round of entities: Farm in the City Seeds LLC, Programa de Educación Comunal de Entrega y Servicio Inc. (P.E.C.E.S., Inc), Exl Network Corp, Ponce Neighborhood Housing Services, Inc., Universidad de Puerto Rico, Río Piedras, Centro para Emprendedores, Inc., Eco Recursos Comunitarios, Inc., Fondo de Inversión y Desarrollo Cooperativo (FIDECOOP), Puerto Rico Community Foundation, Universidad Interamericana de Puerto Rico, Mujer Emprende Puerto Rico, Inc., (DBA Mujer Emprende Latina), Vitrina Solidaria Inc., Centros Sor Isolina Ferré, Universidad Ana G. Méndez, Recinto de Carolina, Nuestra Escuela and CARBONO3 LLC, representing \$36M in obligated funds. The aforementioned executions represent a combined allocation of \$43.9M in obligated funds to support the growth and success of small and/or new businesses in the early stages of operation. The onboarding process was completed for the Subrecipients which included coordinating and providing a series of trainings as follows: Core Curriculum training registration, Monthly Report, Subrecipient Section 3 & Minority and Women Owned Business Enterprise (M/WBE), Invoicing, Timesheet Best Practices, and Subrecipient Content Review tool. The Programmatic Area identified a need of technical assistance for the Subrecipients regarding the procurement process which resulted in workshops focused on these processes. First round subrecipients completed their workshops while the Programmatic Area continues to coordinate the same technical assistance for remaining second and third round subrecipients. Also, the environmental review process relating to Categorically Excluded Not Subject To (CENST) activities for subrecipients of the first and second rounds was completed. Environmental clearance was issued as well for the following third round subrecipients: Farm in the City Seeds LLC, Ponce Neighborhood Housing Services, Inc., Universidad de Puerto Rico, Río Piedras, Centro para Emprendedores, Inc., Eco Recursos Comunitarios, Inc., Centros Sor Isolina Ferré, and CARBONO3 LLC. A compilation of invoicing packages was created and sent to all subrecipients. As per the current timeline, PRDOH plans to finalize the remaining environmental reviews and the development of the system of record which will be launched during the next quarter.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

No Other Funding Sources Found

Total Other Funding Sources

Amount



Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents:

None



Grantee Activity Number: R01E16BIA-EDC-UN**Activity Title: Business Incubators and Accelerators UN****Activity Type:**

Econ. development or recovery activity that creates/retains

Project Number:

Economic B-17-DM-72-0001

Projected Start Date:

09/19/2018

Benefit Type:

Direct (Person)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

Economic

Projected End Date:

09/18/2026

Completed Activity Actual End Date:**Responsible Organization:**

Puerto Rico Department of Housing

Overall**Total Projected Budget from All Sources**

B-17-DM-72-0001

B-18-DP-72-0001

Total Budget

B-17-DM-72-0001

B-18-DP-72-0001

Total Obligated

B-17-DM-72-0001

B-18-DP-72-0001

Total Funds Drawdown

B-17-DM-72-0001

B-18-DP-72-0001

Program Funds Drawdown

B-17-DM-72-0001

B-18-DP-72-0001

Program Income Drawdown

B-17-DM-72-0001

B-18-DP-72-0001

Program Income Received

B-17-DM-72-0001

B-18-DP-72-0001

Total Funds Expended

Department of Economic Development and

Puerto Rico Department of Housing

Most Impacted and Distressed Expended

B-17-DM-72-0001

B-18-DP-72-0001

Jul 1 thru Sep 30, 2021**To Date**

| | |
|-----------------|------------------|
| \$0.00 | \$119,000,000.00 |
| \$0.00 | \$59,500,000.00 |
| \$0.00 | \$59,500,000.00 |
| \$0.00 | \$119,000,000.00 |
| \$0.00 | \$59,500,000.00 |
| \$0.00 | \$59,500,000.00 |
| \$45,006,474.59 | \$47,098,484.11 |
| \$17,102,479.69 | \$19,194,489.21 |
| \$27,903,994.90 | \$27,903,994.90 |
| \$234,578.28 | \$392,945.47 |
| \$234,578.28 | \$392,945.47 |
| \$0.00 | \$0.00 |
| \$234,578.28 | \$392,945.47 |
| \$234,578.28 | \$392,945.47 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$234,578.28 | \$392,945.47 |
| \$0.00 | \$21,054.12 |
| \$234,578.28 | \$371,369.70 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |

Activity Description:

Puerto Rico recognizes that a blend of ideas, talent, capital, and a culture of openness and collaboration are all vital to nurturing an innovation ecosystem involving complex interactions among entrepreneurs, investors, suppliers, universities, government entities, large existing businesses, and a host of supporting actors and organizations.¹⁴¹ A business incubator and accelerator program supports the growth and success of small and/or new businesses in the early stages of operation by providing initial resources that significantly minimize the risk of small and/or new business failure and speeding up the time it takes to get to market. Business incubators and accelerators will be encouraged to incorporate resilience measures in businesses plans to allow for continuity of business operations in the event of power outages, natural disasters, or other disruptive events. This will provide the added benefit of creating business shelters during future events. PRDOH will

oversee this program and will fund incubator and accelerator activities with units of local government, non-profit organizations or governmental agencies with proven experience in the implementation of business incubators and accelerators. Through SBIA, small and/or new businesses will gain access to shared office space and supportive operational resources such as office equipment, telecommunication services, technical assistance, and conference space. Daily work in a shared, collaborative office environment provides for ongoing access to mentoring services, professional networking, idea-exchange, and hands-on management training. This will help support small and/or new companies in the early stage of operation. The grant maximum is \$2,500,000; however, it is possible that not all incubators and accelerators will receive the maximum amount. Funding will be based on incubator/ accelerator capacity as proposed in the submittal. Projects proposed in the floodplain will be evaluated on a case by case basis for risk and cost reasonableness and will comply with all applicable requirements. Organizations simply offering shared work spaces for lease or purchase as a private-sector business model, without providing supportive services, are not eligible applicants. Application criteria and program guidelines will be published on the CDBG-DR website subsequent to HUD approval of the Action Plan.

Location Description:

The funding is available to be used in all Puerto Rico municipalities affected by the hurricanes across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks pertaining to the Small Business Incubators and Accelerators Program (SBIA): Focused on finalizing the execution of pending Subrecipient Agreements (SRA) for the second and third rounds. Three (3) SRAs were executed with the following second round of entities: Fundación Borincana, Cooperativa de Ahorro y Crédito La Sagrada Familia and Community Development Venture Capital Alliance representing \$6.9M in obligated funds. In addition, the following sixteen (16) SRAs were executed for the third round of entities: Farm in the City Seeds LLC, Programa de Educación Comunal de Entrega y Servicio Inc. (P.E.C.E.S., Inc), Exl Network Corp, Ponce Neighborhood Housing Services, Inc., Universidad de Puerto Rico, Río Piedras, Centro para Emprendedores, Inc., Eco Recursos Comunitarios, Inc., Fondo de Inversión y Desarrollo Cooperativo (FIDECOOP), Puerto Rico Community Foundation, Universidad Interamericana de Puerto Rico, Mujer Emprende Puerto Rico, Inc., (DBA Mujer Emprende Latina), Vitrina Solidaria Inc., Centros Sor Isolina Ferré, Universidad Ana G. Méndez, Recinto de Carolina, Nuestra Escuela and CARBONO3 LLC, representing \$36M in obligated funds. The aforementioned executions represent a combined allocation of \$43.9M in obligated funds to support the growth and success of small and/or new businesses in the early stages of operation. The onboarding process was completed for the Subrecipients which included coordinating and providing a series of trainings as follows: Core Curriculum training registration, Monthly Report, Subrecipient Section 3 & Minority and Women Owned Business Enterprise (M/WBE), Invoicing, Timesheet Best Practices, and Subrecipient Content Review tool. The Programmatic Area identified a need of technical assistance for the Subrecipients regarding the procurement process which resulted in workshops focused on these processes. First round subrecipients completed their workshops while the Programmatic Area continues to coordinate the same technical assistance for remaining second and third round subrecipients. Also, the environmental review process relating to Categorically Excluded Not Subject To (CENST) activities for subrecipients of the first and second rounds was completed. Environmental clearance was issued as well for the following third round subrecipients: Farm in the City Seeds LLC, Ponce Neighborhood Housing Services, Inc., Universidad de Puerto Rico, Río Piedras, Centro para Emprendedores, Inc., Eco Recursos Comunitarios, Inc., Centros Sor Isolina Ferré, and CARBONO3 LLC. A compilation of invoicing packages was created and sent to all subrecipients. As per the current timeline, PRDOH plans to finalize the remaining environmental reviews and the development of the system of record which will be launched during the next quarter.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

No Other Funding Sources Found

Total Other Funding Sources

Amount

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found



Activity Supporting Documents:

None



Grantee Activity Number: R01E19TBM-EDC-UN**Activity Title: Tourism & Business Marketing Program****Activity Type:**

Tourism (Waiver Only)

Project Number:

Economic B-17-DM-72-0001

Projected Start Date:

09/20/2018

Benefit Type:

Area (Census)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

Economic

Projected End Date:

09/19/2026

Completed Activity Actual End Date:**Responsible Organization:**

Invest Puerto Rico Inc.

Overall**Total Projected Budget from All Sources**

B-17-DM-72-0001

B-18-DP-72-0001

Total Budget

B-17-DM-72-0001

B-18-DP-72-0001

Total Obligated

B-17-DM-72-0001

B-18-DP-72-0001

Total Funds Drawdown

B-17-DM-72-0001

B-18-DP-72-0001

Program Funds Drawdown

B-17-DM-72-0001

B-18-DP-72-0001

Program Income Drawdown

B-17-DM-72-0001

B-18-DP-72-0001

Program Income Received

B-17-DM-72-0001

B-18-DP-72-0001

Total Funds Expended

Department of Economic Development and

Invest Puerto Rico Inc.

Most Impacted and Distressed Expended

B-17-DM-72-0001

B-18-DP-72-0001

Jul 1 thru Sep 30, 2021**To Date**

| | |
|----------------|-----------------|
| \$0.00 | \$50,000,000.00 |
| \$0.00 | \$25,000,000.00 |
| \$0.00 | \$25,000,000.00 |
| \$0.00 | \$50,000,000.00 |
| \$0.00 | \$25,000,000.00 |
| \$0.00 | \$25,000,000.00 |
| \$9,607,006.19 | \$24,357,495.23 |
| \$7,006.19 | \$14,757,495.23 |
| \$9,600,000.00 | \$9,600,000.00 |
| \$2,718,613.22 | \$6,840,794.60 |
| \$2,718,613.22 | \$6,840,794.60 |
| \$0.00 | \$0.00 |
| \$2,718,613.22 | \$6,840,794.60 |
| \$2,718,613.22 | \$6,840,794.60 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$2,718,613.22 | \$6,840,794.20 |
| \$0.00 | \$72,525.09 |
| \$2,718,613.22 | \$6,765,965.15 |
| \$2,582,479.34 | \$6,339,347.59 |
| \$2,582,479.34 | \$6,339,347.59 |
| \$0.00 | \$0.00 |

Activity Description:

Given the impacts of Hurricane María on tourism assets and long-term economic conditions, PRDOH will fund subrecipients to develop a comprehensive marketing effort to promote outside the Island that the area is open for business. Additionally, Puerto Rico will create and implement strategies promoting Puerto Rico as an ideal place to do business. This will attract new businesses of external capital that can contribute additional capital to the economy. Marketing Puerto Rico as a pro-business jurisdiction will encourage new external investments, promote economic development and create new jobs. Efforts will work to prevent tourism and service sector displacement or business failure as a result of the hurricane impact. The projected use of funds for marketing and outreach efforts will be focused on advertising creation and media placement (television/radio/digital and out-of-home advertising) outside of Puerto Rico, as well as promoting the Island as an ideal place to do

business. No elected officials or political candidates will be featured or included in promotional marketing funded through this program. Per CDBG regulation, CDBG-DR funds shall not be used to engage in partisan political activities, such as candidate forums. The increased revenue brought to local economies through tourism will fund jobs that stabilize households through primary, secondary and tertiary jobs created in communities across the Island. Puerto Rico requested and received a waiver from HUD for \$15,000,000 for this activity. PRDOH sought a waiver request to incorporate additional funds allocated in the Substantial Amendment due to the critical importance of creating investment in the Island post-Maria.

Location Description:

The funding is available to be used in all Puerto Rico municipalities affected by the hurricanes across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks in support of the Tourism & Business Marketing Program: During August 2-6, the Department of Housing and Urban Development (HUD) performed a monitoring to review among other documents, the Sub-recipients (Discover PR & Invest PR) Administrative and Performance Reports, Discover PR (DPR) marketing plans, procured vendors, the PRDOH Report for the monitoring to DPR, and the description of the 'project management system' used to track the approval and the performance of the marketing activities. The TBM Program received positive comments during the HUD monitoring exit interview such as detailed metrics and clear SOPs. In terms of execution, the Tourism Marketing Media Plan completed the first tranche of investment (\$8,040,000) in the month of July and started in August the second tranche of implementation (\$7,200,000). Both procured advertising agencies work toward the TBM Program conclusion (February 8, 2021) and report against the established Key Performance Indicators (KPI). One of the objectives of the tourism campaign is to achieve results in hurricane-impacted communities/areas. The ads focus on featuring the municipalities as well as having a call-to-action on all media placements driving to Discover Puerto Rico's website which features landing pages and content for all 78 communities around Puerto Rico. DPR measures those exposed to their media to see the advertising's ability to disperse travelers around the Island.

Comparing historical visitation patterns against when CDBG-DR-influenced visitors arrived in Puerto Rico in Q2 and Q3 of 2021, there has been a significant shift outside of the San Juan Metro area. In 2019, 40.4% and 41.1% of visits were to the Metro area in Q2 and Q3, respectively. In 2021, after the placement of CDBG-DR, that was reduced to 31.8% and 29.6% in Q2 and Q3. But not only is there more visitation to regions outside of the Metro area, there has been a tremendous shift in the amount of time visitors are staying in other regions. Using Discover Puerto Rico's geo-tracking partner Arrivalist, we can see a dramatic increase in the number of hours spent in non-Metro regions. For example, in Q2 of 2019, visitors stayed just 3.7 hours in the East region, but after CDBG-DR funds investment, that increased to 14.7 hours in the same quarter of 2021.

In terms of targeting, while the objective is to drive a significant increase in overall visitation to the Island over the course of the campaigns and beyond, audience targeting takes into account Discover Puerto Rico's desire to attract visitors more likely to respect and follow safety protocols. Specifically, Discover Puerto Rico and its agencies have identified audiences with active search and online browsing behavior tied to safe travel and safe destinations and target them through programmatic advertising, social and paid search.

DPR is also applying a dynamic, weighted formula to select and adjust target markets on an ongoing basis in order to balance economic impact with the health and safety of travelers and Puerto Rico residents. The criteria for prioritizing markets takes into account: COVID-19 case counts for the trailing 2-week period; overall COVID case count total; Airline seat availability; Restrictions on departure and return; and vaccination rates by market.

For quantitative outcomes, the first tranche traditional media overall campaign reached millions of new prospective customers who were largely unaware of the destination for travel consideration. The Over the Top (OTT) campaign concluded after the two-day extension into July, delivering nearly forty million impressions and a video completion rate of 96.5%, exceeding benchmark. Spot TV campaign reached nearly twenty-three million adults aged 25-54, however, when looking at adults 25+, the campaign delivered an incremental forty-nine million impressions, bringing the total to nearly seventy-two million impressions. While Spot TV drove mass reach, the print placements were more niche and aligned specifically to reach a travel audience. All seven insertions ran as scheduled and delivered six and a half million impressions.

Using the most advanced technology in the industry, Discover Puerto Rico is able to monitor consumer behavior after exposure to CDBG-DR media. Its data partner, Adara, is able to measure consumer searches and bookings for Puerto Rico flights and hotels after exposure to the CDBG-DR funds targeted advertising.

The July influence of the CDBG-DR digital media was \$893,000 in hotel revenue. Not only is the revenue directly attributable to the number of bookings the advertising generated, but also the rate these exposed consumers paid for their rooms. The average rate in Puerto Rico in July was \$276; the same month, consumers exposed to CDBG-DR media paid \$344, a 25% higher rate than average. The revenue generated by the CDBG-DR funded campaign was significantly lower than previous months because digital media only ran for two days in the month of July.

In addition to driving bookings at a higher-than-average daily rate, using geo-tracking technology, DPR's data partner Arrivalist is able to monitor when consumers are exposed to CDBG-DR advertising and if they arrive on the Island. In the month of July, those exposed to the CDBG-DR impact of the paid media were 75% more likely to visit Puerto Rico than those unexposed to the advertising. In the same month, those exposed to the CDBG-DR media stayed an average of 2.4% longer than those unexposed to media.

Though the percentage of visitors traveling outside of the metro area decreased, the actual number of visitors to municipalities outside of San Juan increased from June. July 2021 local & external arrivals into Luis Muñoz Marín International Airport exceeded 550,000 for the first time ever. With this, total visitation to municipalities increased 68% over June. However, as consumers have become more comfortable with visiting cities with increasing vaccination rates, there were also more trips in the metro area, which pushes the percentage lower.

While the objective is to drive a significant increase in overall visitation to Puerto Rico over the course of the campaigns and beyond, it is important to measure real-time website/creative engagement, tied to the media placements/channels, as a means of optimization to ensure the campaign is reaching a qualified audience that is showing intent to travel. There was improvement month over month, ending the first tranche of the campaign with strong overall KPIs of a \$16.61 Cost Per Engagement, 74% completed view rate (video), 11.17% click-through-rate on SEM, and a \$1.10 Cost Per Landing Page View on social. Discover PR took this "momentum" into Tranche 2 to further our optimizations and overall efficiency.

The first tranche campaign ended with a slightly higher cost per landing page view on social ads and cost-per-

click for SEM. This is due to a rising average cost-per-click across all Facebook ads and our addition of health & safety ads mid-campaign, which have higher associated targeting costs to reach this quality audience for both placements. With the lower investment in media compared month-over-month, we also saw a decrease in website related metrics which is expected. Less traffic visiting the website, means less sessions and lower pageviews.

In late August Discover PR launched the implementation of the second tranche campaign with an approximate budget of \$7,200,000.00. Strategic Marketing & Research Insights, LLC, a leading tourism-industry research firm, delivered the 2021 Brand Health & Advertising Effectiveness Research Report. The report shows that visitors who were exposed to Discover Puerto Rico's marketing more often visited regions outside of the metro. Those who saw the paid media more often visited the West, Central Mountains, South, and North as well as Vieques and Culebra than those who did not see the paid media. Traditional media launched across both Spot TV and OTT. Within the four spot TV markets, there were more than five and a half million impressions within the adult 25-54 demographic. The OTT buy included the same markets, but also reached targeted audiences in Atlanta, Boston, Dallas, Houston and Philadelphia, delivering more than one million three hundred thousand impressions. Based on just the few days live, video completion rate is already strong, 97.5%, exceeding benchmark. Digital media started with a rolling launch with SEM in August. SEM CPC is up and CTR is down due to the pause and restart of the campaign. As the campaign continues and optimizations take place, we'll see this improve, and have already started seeing improvements in September. Because there was limited CDBG-DR funded digital media running in August, there are no metrics related to stays and spending directly influenced by the campaign. However, the previous five months of investment continues to influence the visitor economy in Puerto Rico. Total lodging demand for the month of August was 20% higher than 2017, the previous record high for the month. With significant demand driven by paid media investment, both hotels and independent rentals have been able to raise rates. So, both increased demand and higher rates, the revenue, and taxes the lodging industry has been able to bring in has surpassed all records year-to-date. Total revenues in the hotel rental industry have surpassed \$880 million through August, a 30% increase over the previous high.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

| | This Report Period | Cumulative Actual Total / Expected |
|--------------------------------|--------------------|------------------------------------|
| | Total | Total |
| # of Businesses | 887 | 1977/15 |
| # of Posted Advertisements for | 427 | 906/379422 |
| Number of new visitors | 118847 | 448125/44775 |

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents: None

Project # / Economic B-18-DP-72-0001 / Economic



Grantee Activity Number: R02E23RUR-DOA-LM

Activity Title: RE-GROW PR Urban-Rural Agriculture Program LMI

Activity Type:

Econ. development or recovery activity that creates/retains

Project Number:

Economic B-18-DP-72-0001

Projected Start Date:

02/20/2020

Benefit Type:

Direct (Person)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Economic

Projected End Date:

02/19/2026

Completed Activity Actual End Date:

Responsible Organization:

Puerto Rico Science, Technology and Research Trust

Overall

| | Jul 1 thru Sep 30, 2021 | To Date |
|--|--------------------------------|------------------|
| Total Projected Budget from All Sources | \$0.00 | \$129,500,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$64,750,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$64,750,000.00 |
| Total Budget | \$0.00 | \$129,500,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$64,750,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$64,750,000.00 |
| Total Obligated | \$241,880.82 | \$23,706,932.59 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$241,880.82 | \$23,706,932.59 |
| Total Funds Drawdown | \$4,451,169.24 | \$6,599,980.71 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$4,451,169.24 | \$6,599,980.71 |
| Program Funds Drawdown | \$4,451,169.24 | \$6,599,980.71 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$4,451,169.24 | \$6,599,980.71 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$4,451,169.24 | \$6,599,980.71 |
| Puerto Rico Department of Agriculture | \$4,451,169.24 | \$6,599,980.71 |
| Puerto Rico Science, Technology and Research Trust | \$0.00 | \$0.00 |
| Most Impacted and Distressed Expended | \$3,311,906.00 | \$4,618,931.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$3,311,906.00 | \$4,618,931.00 |

Activity Description:

RE-GROW PR has two primary aims: 1) Promote and increase food security island-wide, and 2) Enhance and expand agricultural production related to economic revitalization and development activity. Given its island status, Puerto Rico has long recognized the food security challenges of dependence on imported food products, and certainly experienced a heightened degree of risk during the aftermath of the hurricanes. Historically, Puerto Rico has focused agricultural production on several main crop typologies, and RE-GROW PR will address this food security risk by increasing local production and expanding diversity of agricultural production on the island. RE-GROW PR will serve both urban and rural areas and will seek to capitalize on urban in-fill opportunities to install community gardens where vacant lots may exist, or where blighted structures are being



demolished. RE-GROW PR will work to promote crop diversification and expand production of locally consumed produce, and further meet the needs of expansion in the tourism sector to meet culinary needs of Puerto Rico’s renowned and burgeoning gastronomic sector. Greenhouses may also be viable strategies considered to reduce crop losses in both rural and urban environments, utilizing large-scale formats in rural areas, converted warehouses or abandoned industrial sites, and roof-top greenhouses in urban areas. Crops to support goals in the tourism sector via culinary arts and eco-tourism needs will also be prioritized, such as growth of key produce and herbs such as cilantro, chives, basil, oregano, avocados, tomatoes, peppers, etc. as well as investments to address impacts to coffee production. This program represents an opportunity for collaboration between multiple potential partners within the public, academic, and non-governmental sectors. At the outset, RE-GROW PR aims to focus on the following potential activities to increase food security and bolster social and economic resilience: • Urban agriculture - community gardens • Rural agriculture investments, crop diversification to meet island food security needs • Rural and urban greenhouses • Agricultural cultivation and livestock production to feed into culinary programs and meet culinary tourism demands • Aquaculture/aquafarming This program will release a notice of funding availability (NOFA) in the first quarter of 2019 that will outline parameters for application and participation, and outline award caps. Over the long-term, RE-GROW PR will aim to create further economic development opportunities through exports of locally grown produce, livestock, and aquaculture outputs.

Location Description:

Municipalities across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks in support of the Re-Grow Urban Rural Agriculture (RGRW) Program: In collaboration with the Program’s administering Subrecipient: the Puerto Rico Science Technology and Research Trust (PRSTRT), the Program has received over 1,799 applications submitted with over 560 applications under underwriting review. During this quarter, 44 awards were disbursed. At the end of this quarter, the Re-Grow Program has disbursed awards totaling \$3.99 Million for sixty-three (66) entities, and has signed grant agreements with an additional thirty-four (34) entities for a total amount pending disbursement of \$2.04 Million. Therefore, in total the Program has awarded 100 entities for a total of \$6.04 Million between awards disbursed and pending disbursement. The Program continues working with Pathstone Corp. to provide underwriting capacity support to the PRSTRT; such entity has augmented its staffing capacity. Additionally, the Program continues working with the two currently on-boarded Case Management entities: Family Endeavors and LPG CPA, to support to the PRSTRT with its Intake process; both entities have augmented their staffing capacity. The PRSTRT has continued hiring staff to augment its capacity and accelerate its evaluation of submitted applications. PRSTRT continues to utilize its call center and dedicated email address to service Program applicants and provide necessary support pertaining to the application process. PRDOH has worked on the review of all program processes and procedures, evaluating Underwriting, Eligibility, DOB, LMI, Environmental Review and Intake processes of Program Subrecipient: PRSTRT. Continued negotiations with the Puerto Rico Department of Agriculture (PRDA) to integrate them as Subrecipient to provide Technical Assistance to Program applicants and support applicants building their agricultural capacity. Presented to PRDA draft version of the Subrecipient Agreement (SRA). Signed an MOU ISAA (Information Sharing Agreement) with PRDA facilitating their request to review Program files in order to calculate the cost of their technical assistance, and allow DOB related information sharing. Received data sharing agreement signed from SBA to assist in the efforts of properly identifying duplication of benefits (DOB) with RGRW applicants. Continued addressing stakeholder concerns through targeted communications and public hearing preparations. Also, held several events with PRDOH’s new initiative “Ruta CDBG-DR” to provide direct Program access to citizens.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

| | This Report Period | Cumulative Actual Total / Expected |
|------------------------|--------------------|------------------------------------|
| | Total | Total |
| # of Businesses | 44 | 63/462 |

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

| Address | City | County | State | Zip | Status / Accept |
|---------|------|--------|-------|-----|-----------------|
|---------|------|--------|-------|-----|-----------------|

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents: None



Grantee Activity Number: R02E23RUR-DOA-UN**Activity Title: RE-GROW PR Urban-Rural Agriculture Program UN****Activity Type:**

Econ. development or recovery activity that creates/retains

Project Number:

Economic B-18-DP-72-0001

Projected Start Date:

02/20/2020

Benefit Type:

Direct (Person)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

Economic

Projected End Date:

02/19/2026

Completed Activity Actual End Date:**Responsible Organization:**

Puerto Rico Science, Technology and Research Trust

Overall

| | Jul 1 thru Sep 30, 2021 | To Date |
|--|--------------------------------|-----------------|
| Total Projected Budget from All Sources | \$0.00 | \$55,500,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$27,750,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$27,750,000.00 |
| Total Budget | \$0.00 | \$55,500,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$27,750,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$27,750,000.00 |
| Total Obligated | \$103,663.21 | \$10,160,111.82 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$103,663.21 | \$10,160,111.82 |
| Total Funds Drawdown | \$110,341.28 | \$275,669.19 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$110,341.28 | \$275,669.19 |
| Program Funds Drawdown | \$110,341.28 | \$275,669.19 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$110,341.28 | \$275,669.19 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$110,341.28 | \$275,669.19 |
| Puerto Rico Department of Agriculture | \$110,341.28 | \$275,669.19 |
| Puerto Rico Science, Technology and Research Trust | \$0.00 | \$0.00 |
| Most Impacted and Distressed Expended | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |

Activity Description:

RE-GROW PR has two primary aims: 1) Promote and increase food security island-wide, and 2) Enhance and expand agricultural production related to economic revitalization and development activity. Given its island status, Puerto Rico has long recognized the food security challenges of dependence on imported food products, and certainly experienced a heightened degree of risk during the aftermath of the hurricanes. Historically, Puerto Rico has focused agricultural production on several main crop typologies, and RE-GROW PR will address this food security risk by increasing local production and expanding diversity of agricultural production on the island. RE-GROW PR will serve both urban and rural areas and will seek to capitalize on urban in-fill opportunities to install community gardens where vacant lots may exist, or where blighted structures are being



demolished. RE-GROW PR will work to promote crop diversification and expand production of locally consumed produce, and further meet the needs of expansion in the tourism sector to meet culinary needs of Puerto Rico’s renowned and burgeoning gastronomic sector. Greenhouses may also be viable strategies considered to reduce crop losses in both rural and urban environments, utilizing large-scale formats in rural areas, converted warehouses or abandoned industrial sites, and roof-top greenhouses in urban areas. Crops to support goals in the tourism sector via culinary arts and eco-tourism needs will also be prioritized, such as growth of key produce and herbs such as cilantro, chives, basil, oregano, avocados, tomatoes, peppers, etc. as well as investments to address impacts to coffee production. This program represents an opportunity for collaboration between multiple potential partners within the public, academic, and non-governmental sectors. At the outset, RE-GROW PR aims to focus on the following potential activities to increase food security and bolster social and economic resilience: • Urban agriculture - community gardens • Rural agriculture investments, crop diversification to meet island food security needs • Rural and urban greenhouses • Agricultural cultivation and livestock production to feed into culinary programs and meet culinary tourism demands • Aquaculture/aquafarming This program will release a notice of funding availability (NOFA) in the first quarter of 2019 that will outline parameters for application and participation, and outline award caps. Over the long-term, RE-GROW PR will aim to create further economic development opportunities through exports of locally grown produce, livestock, and aquaculture outputs.

Location Description:

Municipalities across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks in support of the Re-Grow Urban Rural Agriculture (RGRW) Program: In collaboration with the Program’s administering Subrecipient: the Puerto Rico Science Technology and Research Trust (PRSTRT), the Program has received over 1,799 applications submitted with over 560 applications under underwriting review. During this quarter, 44 awards were disbursed. At the end of this quarter, the Re-Grow Program has disbursed awards totaling \$3.99 Million for sixty-three (66) entities, and has signed grant agreements with an additional thirty-four (34) entities for a total amount pending disbursement of \$2.04 Million. Therefore, in total the Program has awarded 100 entities for a total of \$6.04 Million between awards disbursed and pending disbursement. The Program continues working with Pathstone Corp. to provide underwriting capacity support to the PRSTRT; such entity has augmented its staffing capacity. Additionally, the Program continues working with the two currently on-boarded Case Management entities: Family Endeavors and LPG CPA, to support to the PRSTRT with its Intake process; both entities have augmented their staffing capacity. The PRSTRT has continued hiring staff to augment its capacity and accelerate its evaluation of submitted applications. PRSTRT continues to utilize its call center and dedicated email address to service Program applicants and provide necessary support pertaining to the application process. PRDOH has worked on the review of all program processes and procedures, evaluating Underwriting, Eligibility, DOB, LMI, Environmental Review and Intake processes of Program Subrecipient: PRSTRT. Continued negotiations with the Puerto Rico Department of Agriculture (PRDA) to integrate them as Subrecipient to provide Technical Assistance to Program applicants and support applicants building their agricultural capacity. Presented to PRDA draft version of the Subrecipient Agreement (SRA). Signed an MOU ISAA (Information Sharing Agreement) with PRDA facilitating their request to review Program files in order to calculate the cost of their technical assistance, and allow DOB related information sharing. Received data sharing agreement signed from SBA to assist in the efforts of properly identifying duplication of benefits (DOB) with RGRW applicants. Continued addressing stakeholder concerns through targeted communications and public hearing preparations. Also, held several events with PRDOH’s new initiative “Ruta CDBG-DR” to provide direct Program access to citizens.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found



Activity Supporting Documents:

None



Grantee Activity Number: R02E24EDI-PPP-LM

Activity Title: Economic Dev. Investment Portafolio for Growth LM

Activitiy Type:

Econ. development or recovery activity that creates/retains

Project Number:

Economic B-18-DP-72-0001

Projected Start Date:

02/20/2020

Benefit Type:

Direct (Person)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Economic

Projected End Date:

02/19/2026

Completed Activity Actual End Date:

Responsible Organization:

Central Office for Recovery, Reconstruction and

| Overall | Jul 1 thru Sep 30, 2021 | To Date |
|---|--------------------------------|------------------|
| Total Projected Budget from All Sources | \$0.00 | \$240,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$120,000,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$120,000,000.00 |
| Total Budget | \$0.00 | \$240,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$120,000,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$120,000,000.00 |
| Total Obligated | \$579.59 | \$84,542.07 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$579.59 | \$84,542.07 |
| Total Funds Drawdown | \$540.58 | \$76,919.24 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$540.58 | \$76,919.24 |
| Program Funds Drawdown | \$540.58 | \$76,919.24 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$540.58 | \$76,919.24 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$540.58 | \$76,919.24 |
| Central Office for Recovery, Reconstruction and | \$540.58 | \$76,919.24 |
| Most Impacted and Distressed Expended | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |

Activity Description:

Responding to the varied opportunities that the designation of Opportunity Zones provides across the Island, the program will foster both mixed-use, community-based development, as well as major infrastructure improvements for Puerto Rico. The objective of this program is to develop a series of projects that are concentrated in opportunity zones across Puerto Rico, that result in large-scale commercial or industrial development is a broad-ranging category of activities and that cover a wide variety of economic development tasks. Projects are expected to result in the creation of public private partnerships in which CDBG-DR funds are used for the public infrastructure components of projects, while the leveraged funds will be used for the economic development activities or additional project costs. This may include, but is not limited to the development/redevelopment of:• Retail facilities such as shopping centers or stores; commercial facilities such as hotels, shipping distribution centers, or office buildings; Industrial/manufacturing complexes such as



factories or industrial parks; and public commercial spaces such as convention centers or parking garages. • Mixed-use developments in opportunity zones, whereby funds will be used to create housing, business opportunities, and other economic development, with an initial focus on housing stock being made available to low-to-moderate income persons. • Infrastructure development (such as a new road to a commercial facility) often accompanies these types of large economic development activities. Infrastructure activities, as related to large-scale development projects, are non-housing activities that support improvements to the public capital stock. This includes transportation facilities (street rehabilitation, sidewalk improvements, streetlights, parking facilities, etc.); and water, sewer, and drainage improvements. These projects will require large levels of financial investment, of which the CDBG-DR portion may range from minor to significant. The projects will have a large community impact, whether in terms of job creation, service to the neighborhood, or renewal of a given area. Depending on the nature of the project, they may involve real estate development, whether it is the construction of a new facility or the expansion of an existing building and will be expected to involve various types of financing and sources of funds. For example, large-scale projects often have a combination of private lender financing, various types of public financing, and business owner cash injections. This program will be established for the funding of projects that will significantly impact and enable the long-term economic growth and sustainability of the Island. This program has the capacity to be a funding stream for projects determined by the central government to be key drivers for Puerto Rico's new economy and to align with the economic recovery plan. As such, funds will be directed to innovative solutions that are forward-looking, cost efficient, and socially transformative. Targeting of new business incentives and existing business expansion will also be components of this program. Additionally, the program expects entities providing key services related to the project will result in the creation of activities that support LMI workers and key strategic growth sectors as outlined in the Recovery Plan and Fiscal Plan. The Public Private Partnerships (P3) will support this effort by cultivating potential projects with a high leverage component and initiatives that have long-term sustainability frameworks that do not solely rely upon CDBG-DR or other public funding streams. Other activities may include economic recovery subordinate loan components. PRDOH and/or P3 may enter into an agreement with BDE and/or Invest PR to provide assistance in the delivery of these programs as necessary. All potential projects will comply with HUD national objectives.

Location Description:

Municipalities across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks in support of the Economic Development Investment Portfolio for Growth Program: Published amendments to Program Guidelines v3.0 on 08/02/21 and 08/26/21 based on Evaluation Committee's feedback; created various packages for meetings held by the Evaluation Committee, which informed of revisions to the Program Guidelines and the Program application process; launched Program application period, beginning 08/02/21 and ending on 09/20/21, which received 113 submissions; drafted Frequently Asked Questions received from public with answers published on 08/19/21, 08/25/21 and 09/17/21; developed Application Threshold Review module, where an initial assessment is completed for received Program applications; reviewed all communications and social media correspondence and visuals to advise the public about the Program application period; provided technical assistance to applicants to resolve application or technical issues; worked on the first draft of the Underwriting Guidelines for the Program; and received three proposals on RFP process for Commercial Underwriting, Real Estate and Financial Advisory Services. A preliminary eligibility review will be completed for applications received, where applicants with missing information or documentation will be contacted. All eligible applications will be recommended for underwriting, which is expected to begin by early 2022.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

No Other Funding Sources Found

Total Other Funding Sources

Amount

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found



Activity Supporting Documents:

None



Grantee Activity Number: R02E24EDI-PPP-UN

Activity Title: Economic Dev. Investment Portafolio for Growth UN

Activitiy Type:

Econ. development or recovery activity that creates/retains

Project Number:

Economic B-18-DP-72-0001

Projected Start Date:

02/20/2020

Benefit Type:

Direct (Person)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

Economic

Projected End Date:

02/19/2026

Completed Activity Actual End Date:

Responsible Organization:

Central Office for Recovery, Reconstruction and

Overall

| | Jul 1 thru Sep 30, 2021 | To Date |
|---|--------------------------------|--------------------|
| Total Projected Budget from All Sources | \$0.00 | \$1,360,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$680,000,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$680,000,000.00 |
| Total Budget | \$0.00 | \$1,360,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$680,000,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$680,000,000.00 |
| Total Obligated | \$3,284.31 | \$479,071.53 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$3,284.31 | \$479,071.53 |
| Total Funds Drawdown | \$87,362.21 | \$300,757.51 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$87,362.21 | \$300,757.51 |
| Program Funds Drawdown | \$87,362.21 | \$300,757.51 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$87,362.21 | \$300,757.51 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$87,362.21 | \$300,757.51 |
| Central Office for Recovery, Reconstruction and | \$87,362.21 | \$300,757.51 |
| Most Impacted and Distressed Expended | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |

Activity Description:

Responding to the varied opportunities that the designation of Opportunity Zones provides across the Island, the program will foster both mixed-use, community-based development, as well as major infrastructure improvements for Puerto Rico. The objective of this program is to develop a series of projects that are concentrated in opportunity zones across Puerto Rico, that result in large-scale commercial or industrial development is a broad-ranging category of activities and that cover a wide variety of economic development tasks. Projects are expected to result in the creation of public private partnerships in which CDBG-DR funds are used for the public infrastructure components of projects, while the leveraged funds will be used for the economic development activities or additional project costs. This may include, but is not limited to the development/redevelopment of:• Retail facilities such as shopping centers or stores; commercial facilities such as hotels, shipping distribution centers, or office buildings; Industrial/manufacturing complexes such as



factories or industrial parks; and public commercial spaces such as convention centers or parking garages. • Mixed-use developments in opportunity zones, whereby funds will be used to create housing, business opportunities, and other economic development, with an initial focus on housing stock being made available to low-to-moderate income persons. • Infrastructure development (such as a new road to a commercial facility) often accompanies these types of large economic development activities. Infrastructure activities, as related to large-scale development projects, are non-housing activities that support improvements to the public capital stock. This includes transportation facilities (street rehabilitation, sidewalk improvements, streetlights, parking facilities, etc.); and water, sewer, and drainage improvements. These projects will require large levels of financial investment, of which the CDBG-DR portion may range from minor to significant. The projects will have a large community impact, whether in terms of job creation, service to the neighborhood, or renewal of a given area. Depending on the nature of the project, they may involve real estate development, whether it is the construction of a new facility or the expansion of an existing building and will be expected to involve various types of financing and sources of funds. For example, large-scale projects often have a combination of private lender financing, various types of public financing, and business owner cash injections. This program will be established for the funding of projects that will significantly impact and enable the long-term economic growth and sustainability of the Island. This program has the capacity to be a funding stream for projects determined by the central government to be key drivers for Puerto Rico's new economy and to align with the economic recovery plan. As such, funds will be directed to innovative solutions that are forward-looking, cost efficient, and socially transformative. Targeting of new business incentives and existing business expansion will also be components of this program. Additionally, the program expects entities providing key services related to the project will result in the creation of activities that support LMI workers and key strategic growth sectors as outlined in the Recovery Plan and Fiscal Plan. The Public Private Partnerships (P3) will support this effort by cultivating potential projects with a high leverage component and initiatives that have long-term sustainability frameworks that do not solely rely upon CDBG-DR or other public funding streams. Other activities may include economic recovery subordinate loan components. PRDOH and/or P3 may enter into an agreement with BDE and/or Invest PR to provide assistance in the delivery of these programs as necessary. All potential projects will comply with HUD national objectives.

Location Description:

Municipalities across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks in support of the Economic Development Investment Portfolio for Growth Program: Published amendments to Program Guidelines v3.0 on 08/02/21 and 08/26/21 based on Evaluation Committee's feedback; created various packages for meetings held by the Evaluation Committee, which informed of revisions to the Program Guidelines and the Program application process; launched Program application period, beginning 08/02/21 and ending on 09/20/21, which received 113 submissions; drafted Frequently Asked Questions received from public with answers published on 08/19/21, 08/25/21 and 09/17/21; developed Application Threshold Review module, where an initial assessment is completed for received Program applications; reviewed all communications and social media correspondence and visuals to advise the public about the Program application period; provided technical assistance to applicants to resolve application or technical issues; worked on the first draft of the Underwriting Guidelines for the Program; and received three proposals on RFP process for Commercial Underwriting, Real Estate and Financial Advisory Services. A preliminary eligibility review will be completed for applications received, where applicants with missing information or documentation will be contacted. All eligible applications will be recommended for underwriting, which is expected to begin by early 2022.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

No Other Funding Sources Found
Total Other Funding Sources

Amount

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found



Activity Supporting Documents:

None

Project # / Economic Non RLF B-17-DM-72-0001 / Economic Non RLF



Grantee Activity Number: R01E17WTP-EDC-LM**Activity Title: Workforce Training Program LMI****Activity Type:**

Public services

Activity Status:

Under Way

Project Number:

Economic Non RLF B-17-DM-72-0001

Project Title:

Economic Non RLF

Projected Start Date:

09/19/2018

Projected End Date:

09/18/2026

Benefit Type:

Direct (Person)

Completed Activity Actual End Date:**National Objective:**

Low/Mod

Responsible Organization:

Puerto Rico Department of Housing

Overall**Total Projected Budget from All Sources**

B-17-DM-72-0001

Jul 1 thru Sep 30, 2021

\$0.00

To Date

\$108,000,000.00

B-18-DP-72-0001

\$0.00

\$54,000,000.00

Total Budget

\$0.00

\$108,000,000.00

B-17-DM-72-0001

\$0.00

\$54,000,000.00

B-18-DP-72-0001

\$0.00

\$54,000,000.00

Total Obligated

\$34,167,985.49

\$43,242,144.98

B-17-DM-72-0001

\$15,716,077.49

\$24,790,236.98

B-18-DP-72-0001

\$18,451,908.00

\$18,451,908.00

Total Funds Drawdown

\$123,498.28

\$386,819.39

B-17-DM-72-0001

\$123,498.28

\$386,819.39

B-18-DP-72-0001

\$0.00

\$0.00

Program Funds Drawdown

\$123,498.28

\$386,819.39

B-17-DM-72-0001

\$123,498.28

\$386,819.39

B-18-DP-72-0001

\$0.00

\$0.00

Program Income Drawdown

\$0.00

\$0.00

B-17-DM-72-0001

\$0.00

\$0.00

B-18-DP-72-0001

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

B-17-DM-72-0001

\$0.00

\$0.00

B-18-DP-72-0001

\$0.00

\$0.00

Total Funds Expended

\$123,498.28

\$386,819.39

Department of Economic Development and

\$0.00

\$38,372.67

Puerto Rico Department of Housing

\$123,498.28

\$348,446.72

Most Impacted and Distressed Expended

\$0.00

\$0.00

B-17-DM-72-0001

\$0.00

\$0.00

B-18-DP-72-0001

\$0.00

\$0.00

Activity Description:

This program will help unemployed and underemployed residents find employment by providing job training in skill areas related to recovery efforts. The WFT Program will seek to work with other local government and other non-profit entities that will be able to provide training. Training curriculum offered will depend on the industries and areas with the greatest opportunity for growth and greatest need for trained individuals. Units of local government, non-profit organizations, and governmental and quasi-governmental agencies will be invited to propose workforce training programs under program guidelines, as approved by PRDOH. PRDOH or its designee will evaluate proposed training programs based on the entity's capacity to administer training, relationship of training material to job-readiness of participants and cost reasonableness. Entities interested in administering training programs are encouraged to develop and administer programs in partnership with

institutions of higher education, workforce investment boards, business groups or trade organizations, labor or community-based organizations and learning facilities. It is suggested that curriculum be developed in collaboration with subject matter experts in the area. Workforce training programs will be developed in those industry sectors identified in the economic development plan and may support recovery activities offered in the following categorical areas:

- Construction:
 - o Inspections and enforcement services for storm damage and health and safety codes
 - o Construction building trades (site preparation, masonry, framing and carpentry, plumbing, electrical, HVAC, painting and finish work, etc.)
 - o Green building and energy efficiency
 - o Renewable energy and Water Purification systems
 - o Lead and related hazard control and abatement
 - o Weatherization/ Sustainable Retrofitting
 - o Green Infrastructure, Hazard Mitigation and resilience
- Tourism and Hospitality
- Healthcare
- Technology training that supports new generation of digital workforce
- Manufacturing
- Other identified unmet workforce training needs to support Puerto Rico's long-term recovery goals.

This program will also consider learning opportunities for other areas of immediate need to enable the current labor force to gain skills and participate. Program design for these initiatives will include sponsors who can facilitate on the job learning or LMI candidates who complete an application to participate in the curriculum developed. The WFT Program may also find new opportunities to partner with established entities providing meaningful skillset training that facilitate new job opportunities.

Location Description:

The funding is available to be used in all Puerto Rico municipalities affected by the hurricanes across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks pertaining to the Workforce Training Program (WT): Focused on finalizing the execution of pending Subrecipient Agreements (SRA) for the second and third rounds of entities. SRAs were executed with the following entities: University of Puerto Rico Mayagüez, Mauro Inc., Fundación Biblioteca Rafael Hernández Colón, Inc., Universidad Interamericana de Puerto Rico, Alianza Municipal de Servicios Integrados, Puerto Rico Community Foundation, University of Puerto Rico at Humacao, Fundación Borincana, Puerto Rico Science Technology and Research Trust, Corporación para el Financiamiento Empresarial del Comercio y las Comunidades, Compañía para el Desarrollo Integral de la Península de Cantera, Habitat for Humanity of Puerto Rico, Inc., PROTECHOS INC, Municipio de Arecibo, Universidad Ana G. Méndez Recinto de Cupey, Universidad de Puerto Rico Recinto de Rio Piedras and Puerto Rico Techno Economic Corridor, Inc. (PRTEC), representing \$44.3M in granted funds to help unemployed and underemployed residents find employment by providing job training in skill areas related to recovery efforts. The execution of these agreements brings the total year to date granted funds to \$72.2M with a total of 25 subrecipients. As a result, the onboarding of subrecipients for the second and third rounds was provided, which included coordinating and providing a series of trainings as follows: Core Curriculum training registration, Monthly Report, Subrecipient Section 3 & Minority and Women Owned Business Enterprise (M/WBE), Invoicing, Timesheet Best Practices, and Subrecipient Content Review tool. The Programmatic Area identified a need of technical assistance for the subrecipients regarding the procurement process which resulted in workshops focused on these processes. First round subrecipients completed their workshops while the Programmatic Area continues to coordinate the same technical assistance for remaining second and third round subrecipients. Also, the environmental review process related to Categorically Excluded Not Subject To (CENST) activities for subrecipients pertaining to the first and second rounds was completed. Environmental clearance was issued as well for the following second and third round subrecipients: Institute for Building Technology and Safety, Mauro Inc and Universidad Ana G. Méndez Recinto de Cupey. The compilation of invoicing packages was created and sent to all subrecipients. During this period, two invoices from Boys and Girls Clubs Puerto Rico were approved and processed. As per the current timeline, PRDOH plans to finalize remaining the environmental reviews and the development of the system of record which will be launched during the next quarter.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

No Other Funding Sources Found

Total Other Funding Sources

Amount

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents:

None



Grantee Activity Number: R01E17WTP-EDC-UN

Activity Title: Workforce Training Program UN

Activity Type:

Public services

Activity Status:

Under Way

Project Number:

Economic Non RLF B-17-DM-72-0001

Project Title:

Economic Non RLF

Projected Start Date:

09/19/2018

Projected End Date:

09/18/2026

Benefit Type:

Direct (Person)

Completed Activity Actual End Date:

National Objective:

Urgent Need

Responsible Organization:

Puerto Rico Department of Housing

| Overall | Jul 1 thru Sep 30, 2021 | To Date |
|--|-------------------------|-----------------|
| Total Projected Budget from All Sources | \$0.00 | \$72,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$36,000,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$36,000,000.00 |
| Total Budget | \$0.00 | \$72,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$36,000,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$36,000,000.00 |
| Total Obligated | \$22,778,657.33 | \$28,828,096.01 |
| B-17-DM-72-0001 | \$10,702,905.13 | \$16,752,343.81 |
| B-18-DP-72-0001 | \$12,075,752.20 | \$12,075,752.20 |
| Total Funds Drawdown | \$50,658.46 | \$165,612.00 |
| B-17-DM-72-0001 | \$50,658.46 | \$165,612.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$50,658.46 | \$165,612.00 |
| B-17-DM-72-0001 | \$50,658.46 | \$165,612.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$50,658.00 | \$165,611.54 |
| Department of Economic Development and | \$0.00 | \$13,191.67 |
| Puerto Rico Department of Housing | \$50,658.00 | \$152,223.34 |
| Most Impacted and Distressed Expended | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |

Activity Description:

This program will help unemployed and underemployed residents find employment by providing job training in skill areas related to recovery efforts. The WFT Program will seek to work with other local government and other non-profit entities that will be able to provide training. Training curriculum offered will depend on the industries and areas with the greatest opportunity for growth and greatest need for trained individuals. Units of local government, non-profit organizations, and governmental and quasi-governmental agencies will be invited to propose workforce training programs under program guidelines, as approved by PRDOH. PRDOH or its designee will evaluate proposed training programs based on the entity's capacity to administer training, relationship of training material to job-readiness of participants and cost reasonableness. Entities interested in administering training programs are encouraged to develop and administer programs in partnership with



institutions of higher education, workforce investment boards, business groups or trade organizations, labor or community-based organizations and learning facilities. It is suggested that curriculum be developed in collaboration with subject matter experts in the area. Workforce training programs will be developed in those industry sectors identified in the economic development plan and may support recovery activities offered in the following categorical areas:

- Construction:
 - o Inspections and enforcement services for storm damage and health and safety codes
 - o Construction building trades (site preparation, masonry, framing and carpentry, plumbing, electrical, HVAC, painting and finish work, etc.)
 - o Green building and energy efficiency
 - o Renewable energy and Water Purification systems
 - o Lead and related hazard control and abatement
 - o Weatherization/ Sustainable Retrofitting
 - o Green Infrastructure, Hazard Mitigation and resilience
- Tourism and Hospitality
- Healthcare
- Technology training that supports new generation of digital workforce
- Manufacturing
- Other identified unmet workforce training needs to support Puerto Rico's long-term recovery goals.

This program will also consider learning opportunities for other areas of immediate need to enable the current labor force to gain skills and participate. Program design for these initiatives will include sponsors who can facilitate on the job learning or LMI candidates who complete an application to participate in the curriculum developed. The WFT Program may also find new opportunities to partner with established entities providing meaningful skillset training that facilitate new job opportunities.

Location Description:

The funding is available to be used in all Puerto Rico municipalities affected by the hurricanes across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks pertaining to the Workforce Training Program (WT): Focused on finalizing the execution of pending Subrecipient Agreements (SRA) for the second and third rounds of entities. SRAs were executed with the following entities: University of Puerto Rico Mayagüez, Mauro Inc., Fundación Biblioteca Rafael Hernández Colón, Inc., Universidad Interamericana de Puerto Rico, Alianza Municipal de Servicios Integrados, Puerto Rico Community Foundation, University of Puerto Rico at Humacao, Fundación Borincana, Puerto Rico Science Technology and Research Trust, Corporación para el Financiamiento Empresarial del Comercio y las Comunidades, Compañía para el Desarrollo Integral de la Península de Cantera, Habitat for Humanity of Puerto Rico, Inc., PROTECHOS INC, Municipio de Arecibo, Universidad Ana G. Méndez Recinto de Cupey, Universidad de Puerto Rico Recinto de Rio Piedras and Puerto Rico Techno Economic Corridor, Inc. (PRTEC), representing \$44.3M in granted funds to help unemployed and underemployed residents find employment by providing job training in skill areas related to recovery efforts. The execution of these agreements brings the total year to date granted funds to \$72.2M with a total of 25 subrecipients. As a result, the onboarding of subrecipients for the second and third rounds was provided, which included coordinating and providing a series of trainings as follows: Core Curriculum training registration, Monthly Report, Subrecipient Section 3 & Minority and Women Owned Business Enterprise (M/WBE), Invoicing, Timesheet Best Practices, and Subrecipient Content Review tool. The Programmatic Area identified a need of technical assistance for the subrecipients regarding the procurement process which resulted in workshops focused on these processes. First round subrecipients completed their workshops while the Programmatic Area continues to coordinate the same technical assistance for remaining second and third round subrecipients. Also, the environmental review process related to Categorically Excluded Not Subject To (CENST) activities for subrecipients pertaining to the first and second rounds was completed. Environmental clearance was issued as well for the following second and third round subrecipients: Institute for Building Technology and Safety, Mauro Inc and Universidad Ana G. Méndez Recinto de Cupey. The compilation of invoicing packages was created and sent to all subrecipients. During this period, two invoices from Boys and Girls Clubs Puerto Rico were approved and processed. As per the current timeline, PRDOH plans to finalize remaining the environmental reviews and the development of the system of record which will be launched during the next quarter.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

No Other Funding Sources Found

Total Other Funding Sources

Amount

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents:

None

Project # / Housing B-17-DM-72-0001 / Housing



Grantee Activity Number: R01H07RRR-DOH-LM

Activity Title: Repair, Reconstruction, Relocation Program LMI

Activity Type:

Rehabilitation/reconstruction of residential structures

Project Number:

Housing B-17-DM-72-0001

Projected Start Date:

09/20/2018

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Housing

Projected End Date:

09/19/2026

Completed Activity Actual End Date:

Responsible Organization:

Puerto Rico Department of Housing

Overall

| | Jul 1 thru Sep 30, 2021 | To Date |
|--|--------------------------------|--------------------|
| Total Projected Budget from All Sources | \$0.00 | \$6,439,061,238.00 |
| B-17-DM-72-0001 | \$0.00 | \$3,219,530,619.00 |
| B-18-DP-72-0001 | \$0.00 | \$3,219,530,619.00 |
| Total Budget | \$0.00 | \$6,439,061,238.00 |
| B-17-DM-72-0001 | \$0.00 | \$3,219,530,619.00 |
| B-18-DP-72-0001 | \$0.00 | \$3,219,530,619.00 |
| Total Obligated | \$142,156,410.03 | \$898,276,569.43 |
| B-17-DM-72-0001 | \$93,758,690.35 | \$789,878,849.75 |
| B-18-DP-72-0001 | \$48,397,719.68 | \$108,397,719.68 |
| Total Funds Drawdown | \$71,931,184.49 | \$237,667,951.06 |
| B-17-DM-72-0001 | \$69,422,184.49 | \$235,158,951.06 |
| B-18-DP-72-0001 | \$2,509,000.00 | \$2,509,000.00 |
| Program Funds Drawdown | \$71,931,184.49 | \$237,667,951.06 |
| B-17-DM-72-0001 | \$69,422,184.49 | \$235,158,951.06 |
| B-18-DP-72-0001 | \$2,509,000.00 | \$2,509,000.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$71,931,184.49 | \$237,666,502.31 |
| Puerto Rico Department of Housing | \$71,931,184.49 | \$237,666,502.31 |
| Most Impacted and Distressed Expended | \$58,941,197.55 | \$94,335,107.07 |
| B-17-DM-72-0001 | \$56,432,197.55 | \$91,826,107.07 |
| B-18-DP-72-0001 | \$2,509,000.00 | \$2,509,000.00 |

Activity Description:

R3 provides assistance to homeowners to repair damaged homes or rebuild substantially damaged homes in place in non-hazard areas. Reconstruction activity returns otherwise displaced families to their homes in their same community. Homes become eligible for reconstruction when the property estimated cost of repair exceeds \$60,000 as confirmed through program inspection, the property is determined to be substantially damaged by an authority having jurisdiction, or a feasibility inspection determines that reconstruction is required. Homes meeting this damage threshold will be reconstructed to include resilient measures in structural materials. Homes that may not be rebuilt in place due to legal, engineering or environmental constraints (permitting, extraordinary site conditions, etc.) will not be reconstructed and the homeowner will be provided relocation options. Homes in the floodplain that meet the \$60,000 damage threshold for reconstruction, substantial damage, or



substantial improvement (repairs exceeding 50% of the current market value of the structure) as defined in 24 C.F.R. § 55.2 will not be reconstructed and eligible homeowners will be offered relocation options. For reconstruction and relocation activities, the homeowner must agree to own the home and use it as their primary residence for a period of 5 years after reconstruction or successful relocation, as secured through a Sworn Grant Agreement in compliance with Rule 35.4 of the Puerto Rico Civil Procedure Rules, 32 LPRA Ap. V, R.35.4 (Entry of Judgement by Confession). Additionally, for relocation activities, the homeowner and PRDOH will execute a Direct Mortgage Deed with Imposition of Restrictive Covenants, which shall be recorded in the Puerto Rico Property Registry. The latter is a unit of the Puerto Rico Department of Justice. If a homeowner moves, sells, leases, transfers, exchanges, donates, vacates, abandons or otherwise alienates the property during the affordability period, the amount of benefit that must be repaid will be determined by the straight-line, linear amortization schedule as established by the Program in the Applicant's Grant Agreement.

For repair activities only, the ownership and occupancy compliance period will be three (3) years as secured through a Sworn Grant Agreement in compliance with the above-mentioned Rule 35.4, supra. If a homeowner moves, sells, leases, transfers, exchanges, donates, vacates, abandons or otherwise alienates the property within the first three (3) years, the entire (100%) amount of the benefit received must be repaid in full to PRDOH. Further information about program award requirements, occupancy and residency periods, ongoing monitoring during compliance periods, and/or applicant responsibilities related to acceptance of an R3 Program award can be found in the R3 Program Guidelines, available at www.cdbg-dr.pr.gov.

Ownership & Primary Residency

Applicants must prove not only ownership of property, but primary residency at the time of the hurricane. Secondary or vacation homes are not eligible for assistance through this program. PRDOH will work to reasonably accommodate households with non-traditional documentation validating both ownership and residency. Documentation used to verify a primary residence may include a variety of documentation including, but not limited to, tax returns, homestead exemptions, driver's licenses, and utility bills when used in conjunction with other documents. Applicants may be required to prove primary residency by providing documentation validating occupancy for consecutive weeks and/or months leading up to the time of the hurricane. To the extent possible, PRDOH will validate ownership and/or primary residency through electronic verification utilizing locally or federally maintained registries. After conducting a due-diligence process, the R3 Program may also allow alternative methods for documenting ownership, including an affidavit process. As needed, the Program will refer applicants to the Title Clearance Program for support in obtaining clear title.

Duplication of Benefit

In accordance with the Robert T. Stafford Act, as amended, Puerto Rico will implement policies and procedures to ensure no individual receives duplication of benefit for the same purpose and/or effect to recover from the hurricanes. Federal law prohibits any person, business concern, or other entity from receiving federal funds for any part of such loss as to which he has received financial assistance under any other program, from private insurance, charitable assistance or any other source. If eligible and awarded, housing assistance award calculations are based on the following factors: damage/scope of project work needed; a review of funding from all sources to ensure no Duplication of Benefits (DOB); and availability of DOB funds, if any, for use in the project. Housing assistance awards will be determined after factoring in the inputs listed above, subtracting any unaccounted-for DOB, and then factoring in the pre-determined program caps that apply to the particular housing assistance activities to be used. Applicant awardees must subrogate any additional funds received for damage caused by hurricanes Irma or María back to the housing program. CDBG-DR funds must be funding of last resort and if additional funds are paid to applicant awardees for the same purpose as the housing assistance award they receive through PRDOH assistance (i.e., repair or replacement of the damaged structure) after PRDOH has completed the repair/rehabilitation project, those funds must be returned to PRDOH.

Location Description:

The funding is available to be used in all Puerto Rico municipalities affected by the hurricanes across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing completed the following tasks in support of the Repair, Reconstruction, or Relocation Program:

Program Team continued to make significant progress towards its goal to assist applicants recovering from the 2017 storms. Program's field operations continued working under COVID-19 protocols required within the guidelines established by the Government of Puerto Rico to protect applicants and program staff's health and safety. Applicants with concerns related to COVID-19 were continued to be allowed to put their applications on administrative hold without being withdrawn from the Program.

The R3 Program continued with intake and eligibility activities to continue moving applications into the Program's assessment phase during the current reporting period. Over this time, the R3 Program also continued efforts on performing site walks for scoping and the development of final scopes of work for repair and reconstruction projects to support the execution of Grant Agreements, which is a critical step to keep the flow of applications into the construction phase.

During the reporting period, the Program sent out (3,111) pre-eligibility notifications to applicants; (2,136) damage assessments were approved along with (226) appraisal reports and (1,917) Environmental Tier-2 reports. As a result, the Program reached a cumulative total of (4,051) awards executed. By the end of the reporting period, construction had started for a total of (2,933) awards, of which (1,923) were for Repairs and (1,010) were for Reconstruction. Additionally, the Program has issued (174) vouchers for Relocation, enabling these applicants to start their search for a home. The R3 Program has completed (20) relocations.

The Program continued prioritizing applications according to the following structure: (P-1) Applications with an elderly applicant or co-applicant, and a disabled household member, and the house still significantly damaged; (P-2) Applications with an elderly applicant or co-applicant, and the house still significantly damaged, or applications with a disabled household member, and the house still significantly damaged; (P-3) Applications with the house still significantly damaged; (P-4) Applications with an elderly applicant or co-applicant, and/or a disabled household member.

By the end of the reporting period, (1,737) grant awards were executed for homes still with blue roofs/or significantly damaged. Construction had started on (1,197) of those.

Program Guidelines v10 were published on September 28, 2021 with revisions to sections 7, 12, 13, 14 and 18. The R3 Program will continue to work promptly to ensure an effective recovery process by repairing damaged homes or replacing substantially damaged homes throughout the Island.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

| | This Report Period | | Cumulative Actual Total / Expected | |
|----------------------------------|--------------------|--|------------------------------------|--|
| | Total | | Total | |
| # of Properties | 510 | | 1802/2375 | |
| # of Substantially Rehabilitated | 376 | | 1370/2375 | |

| | This Report Period | | Cumulative Actual Total / Expected | |
|-------------------------|--------------------|--|------------------------------------|--|
| | Total | | Total | |
| # of Housing Units | 510 | | 1802/2375 | |
| # of Singlefamily Units | 510 | | 1802/2375 | |

Beneficiaries Performance Measures

| | This Report Period | | | Cumulative Actual Total / Expected | | | |
|--------------------|--------------------|-----|-------|------------------------------------|----------|-----------|---------|
| | Low | Mod | Total | Low | Mod | Total | Low/Mod |
| # of Households | 444 | 66 | 510 | 1608/1188 | 193/1187 | 1801/2375 | 100.00 |
| # Owner Households | 444 | 66 | 510 | 1608/1188 | 193/1187 | 1801/2375 | 100.00 |

Activity Locations

| Address | City | County | State | Zip | Status / Accept |
|---------|------|--------|-------|-----|-----------------|
|---------|------|--------|-------|-----|-----------------|

Other Funding Sources

| | Amount |
|--------------------------------|--------|
| No Other Funding Sources Found | |
| Total Other Funding Sources | |

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents: None



Grantee Activity Number: R01H08TCP-DOH-LM**Activity Title: Title Clearance Program LMI****Activity Type:**

Homeownership Assistance to low- and moderate-income

Activity Status:

Under Way

Project Number:

Housing B-17-DM-72-0001

Project Title:

Housing

Projected Start Date:

09/19/2018

Projected End Date:

09/18/2026

Benefit Type:

Direct (HouseHold)

Completed Activity Actual End Date:**National Objective:**

Low/Mod

Responsible Organization:

Puerto Rico Department of Housing

Overall

| | Jul 1 thru Sep 30, 2021 | To Date |
|--|--------------------------------|-----------------|
| Total Projected Budget from All Sources | \$0.00 | \$80,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$40,000,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$40,000,000.00 |
| Total Budget | \$0.00 | \$80,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$40,000,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$40,000,000.00 |
| Total Obligated | \$19,770.09 | \$21,812,633.89 |
| B-17-DM-72-0001 | \$19,770.09 | \$21,812,633.89 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Drawdown | \$394,463.90 | \$1,790,889.81 |
| B-17-DM-72-0001 | \$394,463.90 | \$1,790,889.81 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$394,463.90 | \$1,790,889.81 |
| B-17-DM-72-0001 | \$394,463.90 | \$1,790,889.81 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$394,463.90 | \$1,790,889.81 |
| Puerto Rico Department of Housing | \$394,463.90 | \$1,790,889.81 |
| Most Impacted and Distressed Expended | \$352,879.43 | \$637,393.19 |
| B-17-DM-72-0001 | \$352,879.43 | \$637,393.19 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |

Activity Description:

The goal of the Title Clearance Program is to provide clear title to homeowners throughout the hurricane-impacted area, therefore resulting in long-term sustainability and security for residents. Applicants who are otherwise eligible for rehabilitation or reconstruction assistance under the housing program who need remedial and curative title/ownership actions will be prioritized for limited legal services assistance. Limited legal services to resolve title issues will be performed under the direction or supervision of one or more attorneys duly licensed and authorized to practice law within the Commonwealth of Puerto Rico under rates that are subject to program approval. Homeowners unable to resolve title issues with the assistance of the legal service providers may be recommended for longer-term assistance, separate and apart from the services provided under this program.

Location Description:

The funding is available to be used in all Puerto Rico municipalities affected by the hurricanes across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks in support of the Title Clearance Program (TCP): updated the Standard Operating Procedures for Eligibility Determination, Case Analysis, Title Clearance Process, Title and Title Related Services; completed development and tested the new Grant Management System Program workflow dividing Applications between privately- and publicly-owned properties from Case Analysis, including new workflows for service vendors' request for information, privately-owned properties case updates, and decoupling of Unobtainable Title workflow from Case Analysis and Title Clearance Process; deployed new legal tasks for service vendors in the Grant Management System; began implementation of the new Program workflow for privately- and publicly-owned properties, as well as the new workflow for service vendors' requests for information, among other tasks; provided training to Program staff and service vendors regarding changes made to the Grant Management System Program workflow; held meetings with Program staff and vendors to receive feedback on the implementation of the new workflows; and prepared development requests and implemented changes in the Grant Management System to adjust tasks identified by vendors and title specialists. In addition, Program has been interviewing candidates for Title Specialist job positions in order to meet human resources needs in several regional offices. A legal advisor was also hired.

The Program continues to confront multiple challenges. Due to the COVID-19 pandemic restrictions, the Regional Offices continue to provide services with limited capacity. Also, many Agencies continue to provide limited services, directly impacting the title related services tasks deliverable (provided by the contractors). In order to address this situation, the PRDOH along with the Program have held meeting with directors and secretaries of several agencies and governmental offices. The purpose has been to receive priority with the requests and the delivery of documents. Multiple applicants continued to encountered problems submitting documents, due to lack of access to internet, computers, or scanners -among other issues. The program has provided a number of orientations and carried out several intake activities in communities across Puerto Rico. Moreover, Program has met with mayors and legislators as part of the processes, necessary to acquire the land where citizens have their houses, and of which Municipalities or other agencies are the owners.

As of this moment, there are 9,179 applications, of which 7,319 intakes have been completed and 7,037 deemed eligible. The Program has assigned a total of 2,588 title related services tasks to vendors, of which 255 are currently in process and 2,051 has been completed. By the end of this quarter, 1,764 proprietary interest determinations (PID) have been performed, allowing Program R3 to continue the repairs and reconstructions assistance on cases referred to the TCP. The TCP have granted 94 titles.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

| | This Report Period | Cumulative Actual Total / Expected |
|-------------------------|--------------------|------------------------------------|
| | Total | Total |
| # of Housing Units | 46 | 94/20000 |
| # of Singlefamily Units | 46 | 94/20000 |

Beneficiaries Performance Measures

| | This Report Period | | | Cumulative Actual Total / Expected | | | |
|--------------------|--------------------|-----|-------|------------------------------------|---------|----------|---------|
| | Low | Mod | Total | Low | Mod | Total | Low/Mod |
| # of Households | 37 | 9 | 46 | 45/18000 | 19/2000 | 94/20000 | 68.09 |
| # Owner Households | 37 | 9 | 46 | 75/18000 | 19/2000 | 94/20000 | 100.00 |

Activity Locations

| Address | City | County | State | Zip | Status / Accept |
|---------|------|--------|-------|-----|-----------------|
|---------|------|--------|-------|-----|-----------------|

Other Funding Sources

No Other Funding Sources Found

Total Other Funding Sources

Amount

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents:

None



Grantee Activity Number: R01H09RAP-DOH-LM**Activity Title: Rental Assistance Program LMI****Activity Type:**

Rental Assistance (waiver only)

Project Number:

Housing B-17-DM-72-0001

Projected Start Date:

09/18/2018

Benefit Type:

Direct (Person)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Housing

Projected End Date:

09/17/2026

Completed Activity Actual End Date:**Responsible Organization:**

Puerto Rico Department of Housing

Overall**Total Projected Budget from All Sources**

B-17-DM-72-0001

B-18-DP-72-0001

Total Budget

B-17-DM-72-0001

B-18-DP-72-0001

Total Obligated

B-17-DM-72-0001

B-18-DP-72-0001

Total Funds Drawdown

B-17-DM-72-0001

B-18-DP-72-0001

Program Funds Drawdown

B-17-DM-72-0001

B-18-DP-72-0001

Program Income Drawdown

B-17-DM-72-0001

B-18-DP-72-0001

Program Income Received

B-17-DM-72-0001

B-18-DP-72-0001

Total Funds Expended

Puerto Rico Department of Housing

Most Impacted and Distressed Expended

B-17-DM-72-0001

B-18-DP-72-0001

Jul 1 thru Sep 30, 2021**To Date**

| | |
|----------------|-----------------|
| \$0.00 | \$40,000,000.00 |
| \$0.00 | \$20,000,000.00 |
| \$0.00 | \$20,000,000.00 |
| \$0.00 | \$40,000,000.00 |
| \$0.00 | \$20,000,000.00 |
| \$0.00 | \$20,000,000.00 |
| \$2,293,675.99 | \$15,484,588.23 |
| \$131,890.31 | \$9,876,402.55 |
| \$2,161,785.68 | \$5,608,185.68 |
| \$987,455.41 | \$5,063,920.78 |
| \$594,040.78 | \$4,092,093.14 |
| \$393,414.63 | \$971,827.64 |
| \$987,455.41 | \$5,063,920.78 |
| \$594,040.78 | \$4,092,093.14 |
| \$393,414.63 | \$971,827.64 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$987,455.41 | \$5,063,920.78 |
| \$987,455.41 | \$5,063,920.78 |
| \$914,254.25 | \$3,140,697.62 |
| \$520,839.62 | \$2,168,869.98 |
| \$393,414.63 | \$971,827.64 |

Activity Description:

Grant funds will provide temporary rental assistance to residents of storm-impacted areas (pursuant to Federal Register Notice of allocation) who are experiencing homelessness or are at risk of becoming homeless. Those at risk of homelessness are further defined as persons living in unstable or overcrowded housing; those forced to move frequently due to economic hardship; those being evicted from a private dwelling unit and lacking resources or a support network to obtain other housing; living in a shelter or transitional housing; and other reasons such as those associated with increased risk of homelessness as defined by HUD.

Location Description:

The funding is available to be used in all Puerto Rico municipalities affected by the hurricanes across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks in support of the Rental Assistance Program (RAP): The Program currently has a total of 1,588 eligible and active participants. The PRDOH continues in the process of finalizing an exit strategy for Golden Age Tower – Toa Baja Elderly, which will be acquired by the Puerto Rico Public Housing Authority. Their 150 tenants will end their Rental Assistance Program participation with their affordable rental housing assured permanently. Tenant Subsidy Agreements for 237 new eligible tenants were signed and processed. Four housing properties and their tenants signed agreements and began receiving subsidy during Q3 2021. Three housing properties and their tenants will sign agreements and begin receiving subsidy during Q4 2021. The Program drafted an Exit Strategy Plan for Law 173 and Rental Assistance Program rental units and presented it for recommendations to HUD TA during monthly meetings. Program received recommendations from HUD TA in respect to the Exit Strategy Plan and will incorporate recommendations to Plan before presenting for approval of PRDOH. During Q3, Program extended the MOU with the Puerto Rico Public Housing Authority for the continuation of services of inspectors for Housing Quality Standard (HQS) inspections. Inspections and re-inspections continued during this reporting period in all rental units in two housing properties; inspections will continue in the remaining rental units from all housing properties. Tenant Subsidy Agreements have continued to be signed according to COVID-19 protocols. Virtual invoice process trainings with housing property administrators from four different properties were conducted during this reporting period.

The Rental Assistance Program has disbursed a total of \$4,513,010.28 in rental assistance to eligible elderly applicants. A total of \$1,642,802.76 was disbursed during this reporting period. The total outcome for the current reported period is 236 (the 237 new eligible tenants mentioned above, composed of move-ins and new housing property application submissions and -1, a deduction of one case inactivated/eliminated during the current reporting period due to non-participation (move-out) before receiving any subsidy. A total of 114 new tenant applications were received at the end of the reporting period and will be evaluated for eligibility and award determinations during the next Quarter.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

| | This Report Period | | | Cumulative Actual Total / Expected | | | |
|--------------|--------------------|-----|-------|------------------------------------|-----|-----------|---------|
| | Low | Mod | Total | Low | Mod | Total | Low/Mod |
| # of Persons | 235 | 1 | 236 | 1582/1200 | 5/0 | 1587/1200 | 100.00 |

Activity Locations

| Address | City | County | State | Zip | Status / Accept |
|---------|------|--------|-------|-----|-----------------|
|---------|------|--------|-------|-----|-----------------|

Other Funding Sources

No Other Funding Sources Found

Total Other Funding Sources

Amount

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents: None

Grantee Activity Number: R01H11SIH-DOH-LM**Activity Title: Social Interest Housing LMI****Activity Type:**

Construction of new housing

Project Number:

Housing B-17-DM-72-0001

Projected Start Date:

09/19/2018

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Housing

Projected End Date:

09/18/2026

Completed Activity Actual End Date:**Responsible Organization:**

Puerto Rico Department of Housing

| Overall | Jul 1 thru Sep 30, 2021 | To Date |
|--|--------------------------------|-----------------|
| Total Projected Budget from All Sources | \$0.00 | \$65,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$32,500,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$32,500,000.00 |
| Total Budget | \$0.00 | \$65,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$32,500,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$32,500,000.00 |
| Total Obligated | \$23,056,905.39 | \$28,266,070.98 |
| B-17-DM-72-0001 | \$11,004,702.39 | \$12,413,867.98 |
| B-18-DP-72-0001 | \$12,052,203.00 | \$15,852,203.00 |
| Total Funds Drawdown | \$125,015.69 | \$507,834.56 |
| B-17-DM-72-0001 | \$120,855.69 | \$503,674.56 |
| B-18-DP-72-0001 | \$4,160.00 | \$4,160.00 |
| Program Funds Drawdown | \$125,015.69 | \$507,834.56 |
| B-17-DM-72-0001 | \$120,855.69 | \$503,674.56 |
| B-18-DP-72-0001 | \$4,160.00 | \$4,160.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$125,015.69 | \$507,834.56 |
| Puerto Rico Department of Housing | \$125,015.69 | \$483,355.22 |
| Most Impacted and Distressed Expended | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |

Activity Description:

This program creates housing capacity for special needs populations such as: homeless, senior citizens, domestic violence victims, persons with intellectual disability, persons with developmental and/ or physical disability, persons living with HIV/AIDS, individuals recovering from addiction and individuals with other functional or access needs. Residents of social interest housing constructed through this program may have access to housing counseling services, which may connect the resident with support services such as HOPWA, Section 8, or rental subsidy programs. The goal for the program is to create high-quality, modern, resilient housing solutions for these populations in need. Non-profit, non-governmental organizations will provide project proposals for social interest housing. PRDOH will evaluate submissions based on cost reasonableness, number of beneficiaries served, project duration, leverage, and shovel readiness. NGOs with projects approved by PRDOH will be responsible for execution of the project under the guidance of PRDOH. Facilities may be overseen by HUD Continuum of Care Program providers and may supplement Emergency Solutions Grants



(ESG) programs for a coordinated impact to:• Provide essential services to shelter residents;• Rapid re-housing of homeless individuals and families; and• Prevention of families and individuals from becoming homeless.Submissions may include proposals for more than one project. Public services provided as part of a proposed project may not supplant other funds and must be part of a new service or quantifiable increase in the level of a service previously provided. Program guidelines regarding the application process will be published on the disaster recovery website subsequent to HUD approval of the Action Plan.

Location Description:

The funding is available to be used in all Puerto Rico municipalities affected by the hurricanes across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks in support of the Social Interest Housing (SIH) Program: the Program Team provided technical assistance to the Subrecipients with the preparation and courtesy reviews of the procurement packages for Project Management Services, Architects and Engineers Services, and Inspection Services. The Subrecipients received training about monthly reports, invoicing process, and compliance with the CDBG-DR Program regulations. By the end of this period, 9 out of 12 Subrecipients had issued invitations for the acquisition of the planning related services for their projects. A total of 13 out of 17 projects will benefit from these procurement processes.

After the Puerto Rico Department of Housing (PRDOH) performed the assessments and negotiations with the awarded entities prior to issuance of the Subrecipient Agreements (SRA), the PRDOH decided not to pursue the agreement with one of the entities, whose initial proposal's circumstances had changed. Opening the opportunity to the next qualified entity due to funds availability.

PRDOH issued a Second Notice of Award Determination to one of the already awarded Subrecipients whose proposal had reached the Technical Requirements Threshold from the Notice of Funds Availability (NOFA). The revised allocated amount for the SIH Program Subrecipient Agreements will be for the amount of \$29,352,203.00.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents:

None

Grantee Activity Number: R01H12HCP-DOH-LM

Activity Title: Housing Counseling Program LMI

Activity Type:

Public services

Project Number:

Housing B-17-DM-72-0001

Projected Start Date:

09/20/2018

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Housing

Projected End Date:

09/19/2026

Completed Activity Actual End Date:

Responsible Organization:

Puerto Rico Department of Housing

| Overall | Jul 1 thru Sep 30, 2021 | To Date |
|--|-------------------------|-----------------|
| Total Projected Budget from All Sources | \$0.00 | \$26,250,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$13,125,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$13,125,000.00 |
| Total Budget | \$0.00 | \$26,250,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$13,125,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$13,125,000.00 |
| Total Obligated | \$2,629,270.61 | \$7,916,142.70 |
| B-17-DM-72-0001 | \$5,146.45 | \$5,292,018.54 |
| B-18-DP-72-0001 | \$2,624,124.16 | \$2,624,124.16 |
| Total Funds Drawdown | \$635,315.40 | \$3,609,952.48 |
| B-17-DM-72-0001 | \$635,315.40 | \$3,609,952.48 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$635,315.40 | \$3,609,952.48 |
| B-17-DM-72-0001 | \$635,315.40 | \$3,609,952.48 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$635,315.40 | \$3,609,952.48 |
| Puerto Rico Department of Housing | \$635,315.40 | \$3,609,952.48 |
| Most Impacted and Distressed Expended | \$492,786.93 | \$2,959,660.83 |
| B-17-DM-72-0001 | \$492,786.93 | \$2,959,660.83 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |

Activity Description:

This program will provide recovering residents with wrap-around educational services to promote understanding of housing and financial options such as: financial literacy education, homebuyer counseling, credit repair counseling, mitigate default/foreclosure proceedings, etc. Housing Counselors will be equipped to connect program participants with resources including, but not limited to Voluntary Organizations Active in Disaster (VOAD), and other federally funded programs like CoC and Section 8, and rental subsidy programs. Puerto Rico will work with HUD-approved housing counseling agencies which are uniquely situated to assist with long-term recovery. They are regulated extensively by HUD to ensure they are trained, knowledgeable, unbiased and acting with the best interests of the household. Most of them have worked in the communities for long periods of time, with neighborhood-based staff and board members, and are known and trusted by the residents. Special training seminar(s) will be held to ensure current and new counselors are well-informed about programs offered under CDBG-DR. Curriculum will cover the scope of available programs and cover eligibility



requirements in depth. Additional efforts will be made, as necessary, to hold special training sessions to educate surge staff new to housing counseling services to ensure service providers are appropriately staffed to meet applicant needs. Counseling services can range from individualized, one-on-one counseling to help homeowners identify and address barriers to permanent, safe and affordable housing, to advocacy with insurance and mortgage companies, to group education addressing how to remediate mold or how to avoid common scams. Services will be made accessible to individuals having wide-ranging disabilities including mobility, sensory, developmental, emotional, and other impairments through communication standards and or program guidelines that adhere to HUD policies such as ADA compliance and 504. Services may also be provided related to rental counseling. The maximum award for a single housing counseling entity is \$3,000,000. PRDOH estimates that multiple counseling programs will be implemented. If distinct HUD-certified counseling organizations are unable to provide services, any remaining funds may be made available to one or more of the other contracted counseling providers. Program guidelines regarding the application process will be published on the disaster recovery website subsequent to HUD approval of the Action Plan.

Location Description:

The funding is available to be used in all Puerto Rico municipalities affected by the hurricanes across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks in support of the Housing Counseling Program: One-on-one monthly meetings continued to be scheduled during each quarter with each one of the 6 Housing Counseling Agencies. The purpose for these meetings is to go over any pending tasks and to ensure that each housing counseling agency is meeting the programmatic goals. These meetings have continued to show that they have been very successful thus far because it allows the Housing Counseling Agency to get a clear picture of what is expected of them in terms of established Program goals and milestones. These meetings have also helped greatly in developing and establishing strong lines of communications between all 6 Housing Counseling Agencies and the Puerto Rico Department of Housing (PRDOH). In addition, Program “Check In” meetings have also been implemented throughout the quarter and both Program Staff and the 6 Housing Counseling Agencies are in attendance. The purpose for these meetings is to establish upcoming deadlines, provide resources and important Program updates. In terms of YTD Program totals currently there are 17,763 courses completed, of these courses 2,237 still need to be completed to reach this goal. This would mean that based upon the last 3 months remaining in the last quarter, each housing counseling agency would have to complete 124 cases within this quarter to reach the year end goal. Since the Program’s launch back in August 2019 over 17,024 participants have been served under the Housing Counseling Program. Currently there are 7,631 participants that have reached the Closeout Phase. In addition, PRDOH along with the Program Staff are assisting Housing Counseling participants in completing their Closeout Survey’s. Currently there are a total of 7,882 applicants who have reached the Closeout phase. The end goal in assisting the participants complete their closeout survey is to increase the number of participants that have completed this survey. Also, the information shown in this survey will assist the Program in addressing any issues in terms of the services that the participants have received from the housing counseling agencies. Program Staff is assisting PRDOH by sending to all housing counseling agencies on a weekly basis a Survey Performance Report. The purpose of this report is to offer the housing counseling agencies (based on a rating scale) feedback given by the applicant in terms of the services they have received. During this quarter, the Program continues to offer support to the Homebuyer Assistance Program and R3 Program’s Relocation phase respectively. In terms of monthly reporting the Program Staff has trained all 6 housing counseling agencies on the new Subrecipient Agreement Performance Report (SAPR). The housing counseling agencies will start to use this new format in October to report their accomplishments such as Key Deliverables, Activities, Staff Changes, Disbursements, and other transactions involving grant funds which will be monitored on this report for compliance. Due to the ongoing pandemic caused by COVID-19, all courses offered to applicants to the Program continue to be offered in most cases on a virtual basis. Lastly, as mentioned on the previous Quarterly Performance Report (QPR) the Housing Counseling Program has been extended for an additional 2-year period. The Program will now run until 2023.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

| | This Report Period | | | Cumulative Actual Total / Expected | | | |
|-----------------|--------------------|-----|-------|------------------------------------|-----------|-----------|---------|
| | Low | Mod | Total | Low | Mod | Total | Low/Mod |
| # of Households | 733 | 565 | 1763 | 3021/2375 | 1927/2375 | 6723/5000 | 73.60 |

Activity Locations

| Address | City | County | State | Zip | Status / Accept |
|---------|------|--------|-------|-----|-----------------|
|---------|------|--------|-------|-----|-----------------|

Other Funding Sources

No Other Funding Sources Found

Total Other Funding Sources



Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents:

None



Grantee Activity Number: R01H12HCP-DOH-UN

Activity Title: Housing Counseling Program UN

Activity Type:

Public services

Activity Status:

Under Way

Project Number:

Housing B-17-DM-72-0001

Project Title:

Housing

Projected Start Date:

09/19/2018

Projected End Date:

09/18/2026

Benefit Type:

Direct (HouseHold)

Completed Activity Actual End Date:

National Objective:

Urgent Need

Responsible Organization:

Puerto Rico Department of Housing

| Overall | Jul 1 thru Sep 30, 2021 | To Date |
|--|-------------------------|----------------|
| Total Projected Budget from All Sources | \$0.00 | \$8,750,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$4,375,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$4,375,000.00 |
| Total Budget | \$0.00 | \$8,750,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$4,375,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$4,375,000.00 |
| Total Obligated | \$878,228.41 | \$878,228.41 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$878,228.41 | \$878,228.41 |
| Total Funds Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$0.00 | \$0.00 |
| Puerto Rico Department of Housing | \$0.00 | \$0.00 |
| Most Impacted and Distressed Expended | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |

Activity Description:

This program will provide recovering residents with wrap-around educational services to promote understanding of housing and financial options such as: financial literacy education, homebuyer counseling, credit repair counseling, mitigate default/foreclosure proceedings, etc. Housing Counselors will be equipped to connect program participants with resources including, but not limited to Voluntary Organizations Active in Disaster (VOAD), and other federally funded programs like CoC and Section 8, and rental subsidy programs. Puerto Rico will work with HUD-approved housing counseling agencies which are uniquely situated to assist with long-term recovery. They are regulated extensively by HUD to ensure they are trained, knowledgeable, unbiased and acting with the best interests of the household. Most of them have worked in the communities for long periods of time, with neighborhood-based staff and board members, and are known and trusted by the residents. Special training seminar(s) will be held to ensure current and new counselors are well-informed about programs offered under CDBG-DR. Curriculum will cover the scope of available programs and cover eligibility



requirements in depth. Additional efforts will be made, as necessary, to hold special training sessions to educate surge staff new to housing counseling services to ensure service providers are appropriately staffed to meet applicant needs. Counseling services can range from individualized, one-on-one counseling to help homeowners identify and address barriers to permanent, safe and affordable housing, to advocacy with insurance and mortgage companies, to group education addressing how to remediate mold or how to avoid common scams. Services will be made accessible to individuals having wide-ranging disabilities including mobility, sensory, developmental, emotional, and other impairments through communication standards and or program guidelines that adhere to HUD policies such as ADA compliance and 504. Services may also be provided related to rental counseling. The maximum award for a single housing counseling entity is \$3,000,000. PRDOH estimates that multiple counseling programs will be implemented. If distinct HUD-certified counseling organizations are unable to provide services, any remaining funds may be made available to one or more of the other contracted counseling providers. Program guidelines regarding the application process will be published on the disaster recovery website subsequent to HUD approval of the Action Plan.

Location Description:

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks in support of the Housing Counseling Program: One-on-one monthly meetings continued to be scheduled during each quarter with each one of the 6 Housing Counseling Agencies. The purpose for these meetings is to go over any pending tasks and to ensure that each housing counseling agency is meeting the programmatic goals. These meetings have continued to show that they have been very successful thus far because it allows the Housing Counseling Agency to get a clear picture of what is expected of them in terms of established Program goals and milestones. These meetings have also helped greatly in developing and establishing strong lines of communications between all 6 Housing Counseling Agencies and the Puerto Rico Department of Housing (PRDOH). In addition, Program “Check In” meetings have also been implemented throughout the quarter and both Program Staff and the 6 Housing Counseling Agencies are in attendance. The purpose for these meetings is to establish upcoming deadlines, provide resources and important Program updates. In terms of YTD Program totals currently there are 17,763 courses completed, of these courses 2,237 still need to be completed to reach this goal. This would mean that based upon the last 3 months remaining in the last quarter, each housing counseling agency would have to complete 124 cases within this quarter to reach the year end goal. Since the Program’s launch back in August 2019 over 17,024 participants have been served under the Housing Counseling Program. Currently there are 7,631 participants that have reached the Closeout Phase. In addition, PRDOH along with the Program Staff are assisting Housing Counseling participants in completing their Closeout Survey’s. Currently there are a total of 7,882 applicants who have reached the Closeout phase. The end goal in assisting the participants complete their closeout survey is to increase the number of participants that have completed this survey. Also, the information shown in this survey will assist the Program in addressing any issues in terms of the services that the participants have received from the housing counseling agencies. Program Staff is assisting PRDOH by sending to all housing counseling agencies on a weekly basis a Survey Performance Report. The purpose of this report is to offer the housing counseling agencies (based on a rating scale) feedback given by the applicant in terms of the services they have received. During this quarter, the Program continues to offer support to the Homebuyer Assistance Program and R3 Program’s Relocation phase respectively. In terms of monthly reporting the Program Staff has trained all 6 housing counseling agencies on the new Subrecipient Agreement Performance Report (SAPR). The housing counseling agencies will start to use this new format in October to report their accomplishments such as Key Deliverables, Activities, Staff Changes, Disbursements, and other transactions involving grant funds which will be monitored on this report for compliance. Due to the ongoing pandemic caused by COVID-19, all courses offered to applicants to the Program continue to be offered in most cases on a virtual basis. Lastly, as mentioned on the previous Quarterly Performance Report (QPR) the Housing Counseling Program has been extended for an additional 2-year period. The Program will now run until 2023.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

No Other Funding Sources Found

Total Other Funding Sources

Amount



Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents:

None



Grantee Activity Number: R01H13LIH-AFV-LM**Activity Title: CDBG-DR Gap to LIHTC LMI****Activity Type:**

Rehabilitation/reconstruction of residential structures

Activity Status:

Under Way

Project Number:

Housing B-17-DM-72-0001

Project Title:

Housing

Projected Start Date:

09/19/2018

Projected End Date:

09/18/2026

Benefit Type:

Direct (HouseHold)

Completed Activity Actual End Date:**National Objective:**

Low/Mod

Responsible Organization:

Puerto Rico Housing Finance (AFV)

Overall**Total Projected Budget from All Sources**

B-17-DM-72-0001

Jul 1 thru Sep 30, 2021

\$0.00

To Date

\$1,926,000,000.00

B-18-DP-72-0001

\$0.00

\$963,000,000.00

Total Budget

B-17-DM-72-0001

\$0.00

\$1,926,000,000.00

B-18-DP-72-0001

\$0.00

\$963,000,000.00

Total Obligated

B-17-DM-72-0001

\$4,618.20

\$99,671,810.10

B-18-DP-72-0001

\$4,618.20

\$99,671,810.10

Total Funds Drawdown

B-17-DM-72-0001

\$7,907,378.02

\$29,172,565.87

B-18-DP-72-0001

\$7,907,378.02

\$29,172,565.87

Program Funds Drawdown

B-17-DM-72-0001

\$7,907,378.02

\$29,172,565.87

B-18-DP-72-0001

\$7,907,378.02

\$29,172,565.87

Program Income Drawdown

B-17-DM-72-0001

\$0.00

\$0.00

B-18-DP-72-0001

\$0.00

\$0.00

Program Income Received

B-17-DM-72-0001

\$0.00

\$0.00

B-18-DP-72-0001

\$0.00

\$0.00

Total Funds Expended

Puerto Rico Housing Finance (AFV)

\$7,907,378.02

\$29,172,565.87

\$7,907,378.02

\$29,172,565.87

Most Impacted and Distressed Expended

B-17-DM-72-0001

\$7,898,992.58

\$23,474,439.58

B-18-DP-72-0001

\$7,898,992.58

\$23,474,439.58

\$0.00

\$0.00

Activity Description:

Puerto Rico receives millions of dollars each year in Low Income Housing Tax Credits (LIHTC) and currently has more than \$1 billion in LIHTC 4% projects available to be leveraged. Additionally, several projects under the 9% tax-credit program are shovel-ready and awaiting gap financing. PRDOH will provide gap funding, using CDBG-DR dollars, for properties being developed with LIHTC, thus maximizing the benefit provided by both federal funding streams.

PRDOH will partner with the Housing Finance Authority (AFV, for its Spanish acronym), to select projects for this Program, as proposed by developers qualified to use LIHTC. Projects will be evaluated based on cost reasonableness, developer capacity, number of beneficiaries served and shovel readiness. The LIHTC program will provide gap funding through either grants and/or loans (when needed) to developers with approved projects. Repaid loan funding will be used to fund additional approved LIHTC projects. Any program income generated will be used to fund program activities or administrative costs.

The maximum total award (grant and any necessary loan funding) per project is contingent on proposals already submitted to AFV to qualify for the pre-approved 9% tax credit roster. Additional project rosters will be curated by AFV. The Program will consist of \$963,000,000 for funding multiple projects, and projects will be funded to the extent that funds are available. Each project will include multiple individual housing units. Either 4% or 9% tax credit projects are eligible, as approved by AFV. The AFV has participated in the rehabilitation of low-income housing projects throughout the Island using, among others, LIHTC. This program, established in 1988, has been instrumental in the rehabilitation of 19,507 units. Currently, 1,533 units are in the pipeline with 9% low income housing tax credits program and will be completed within 24 months of each project start. CDBG-DR loans with 9% LIHTC will be leveraged to stretch both funding sources and to create projects that accomplish several goals.

All projects must generate affordable LMI housing in a supply proportional to the area LMI population, and in compliance with HUD Fair Market rent rates for an affordability period. Projects funded through this program must maintain affordable housing in accordance with the 15 or 20 -year affordability period outlined in 83 FR 40314, or the affordability period required by LIHTC, whichever is longer. 2020 LIHTC rent rates are included as Appendix H to this Action Plan.

Proposed LIHTC projects that include specific targets that consider and correlate to greater city revitalization efforts, opportunity zones, or strategic growth nodes will be weighted more strongly upon evaluation. Further, project proposals incorporating transportation services for the elderly (Égidas) under the second tranche will also be weighted more favorably.

PRDOH may include targeted funds under this LIHTC Program to address affordable housing needs based on data provided by the Puerto Rico Public Housing Authority (PRPHA) regarding potential public housing projects. Taking into account the above, PRDOH has set-aside \$250,000,000 from the CDBG-DR allocation for preservation, rehabilitation, or qualified new construction projects that are part of a comprehensive plan to redevelop, replace, and/or rehabilitate existing Puerto Rico Public Housing Administration's inventory.

Location Description:

The funding is available to be used in all Puerto Rico municipalities affected by the hurricanes across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks, in support of the collaborative efforts for the CDBG-DR Gap to Low-Income Housing Tax Credits (LIHTC) Program with the Subrecipient, the Puerto Rico Housing Finance Authority (PRHFA): continued construction regarding the LIHTC 9% projects, (Phase 1 of the Program); maintained efforts towards invoicing and completion of advanced closings for remaining LIHTC 9% projects as well as gave continuance towards Program goals.

The status for each LIHTC 9% project is as follows: José Gautier Benítez (Elderly) 33.35%, José Gautier Benítez (Multifamily) 56.14%, De Diego Village Apartments 15.60%, San Blas Apartments 39.20%, Sabana Village Apartments 39.40%, Hogar Manuel Mediavilla 6.41%.

PRHFA submitted to Viewpoint at Roosevelt project an Amended CDBG-DR Award and LIHTC- Reservation Letter. Pending CDBG-DR Agreement between PRHFA and the Developer.

During this period, the PRDOH and PRHFA completed the Basic Threshold Review of the 33 project proposals received and issued Point Ranking Letters for phase 2 of the Program (LIHTC 4%).

The PRDOH provided continued support to PRHFA throughout these efforts. As part of implementing the second phase of the Program, PRDOH is working on the latest version (v.4) of the Program Guidelines and issuing the SRA Amendment between PRDOH and PRHFA to continue further reaching Program goals.

Similarly, with the published (Nonsubstantial) amendment to the Action Plan (APA6), the Program budget was increased to include a set-aside for public housing projects. In total, the allocation for the CDBG-DR Gap to LIHTC Program is \$963M that of which \$250M will be for the set-aside. This set-aside will be used in a new round of projects. The PRPHA Set-Aside will be incorporated into the QAP 2021 that PRHFA is currently drafting.

During this period, the PRDOH and the PRHFA conducted monthly conference calls to discuss Program updates and progress. Also, the Program continued working with other PRDOH areas to discuss outreach, finance, and other pertinent matters.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents: None



Grantee Activity Number: R01H14HER-DOH-LM

Activity Title: COMMUNITY ENERGY AND WATER RESILIENCE INSTALLATION

Activity Type:

Public services

Activity Status:

Under Way

Project Number:

Housing B-17-DM-72-0001

Project Title:

Housing

Projected Start Date:

09/19/2018

Projected End Date:

09/18/2026

Benefit Type:

Direct (HouseHold)

Completed Activity Actual End Date:

National Objective:

Low/Mod

Responsible Organization:

Puerto Rico Department of Housing

| Overall | Jul 1 thru Sep 30, 2021 | To Date |
|--|--------------------------------|------------------|
| Total Projected Budget from All Sources | \$0.00 | \$540,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$270,000,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$270,000,000.00 |
| Total Budget | \$0.00 | \$540,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$270,000,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$270,000,000.00 |
| Total Obligated | \$7,109.53 | \$7,109.53 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$7,109.53 | \$7,109.53 |
| Total Funds Drawdown | \$4,773.76 | \$4,773.76 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$4,773.76 | \$4,773.76 |
| Program Funds Drawdown | \$4,773.76 | \$4,773.76 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$4,773.76 | \$4,773.76 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$4,773.76 | \$4,773.76 |
| Puerto Rico Department of Housing | \$4,773.76 | \$4,773.76 |
| Most Impacted and Distressed Expended | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |

Activity Description:

The island-wide power outages and potable water disruptions as a result of Hurricane María have complicated recovery across the entire spectrum. Businesses have closed, critical services have been disrupted, and residents have been unable to stay in their homes because of lack of light, cooking facilities, cooling, water heating, and refrigeration. This is especially perilous for families with special needs and medical conditions.

While the Island begins the larger process of transforming the power grid and distribution systems, and addresses vulnerabilities to potable water supplies, residents must receive assurance that they can avoid displacement or threats to health and safety caused by interruptions to power service and potable water.

To address the energy and water system vulnerabilities, a portion of the Community Energy and Water Resilience Installation program will provide energy and water efficiency improvements and any other improvement, to promote energy and water resilience to eligible owned or rented household units, without any



cost to the applicant. The eligible household units may receive improvements which include, but are not limited to, refrigerator replacement; electric water heater replacement with solar or gas powered water heater; electric stove with gas powered stove; lighting replacements with LED; water fixture replacement with low flush fixtures; installation of aerators; installation of a small solar (photovoltaic) system with battery system for essential plug loads to supply energy in the event of a power outage situation; installation of a water catchment system; and installation of health and safety measures such as CO2 monitoring alarm.

Priority will be given to the elderly and to households below 80% AMFI. Priority for the elderly does not preclude assistance to other eligible applicants.

A longer-term component of this program will entail energy and water resilience installations. Eligible applicants will have the ability to apply for solar panel systems retrofits for residential structures. This component involves a variable scale solar power retrofit designed to backfeed the structure to provide sufficient power to run critical household appliances and allow for shelter-in-place options during outages. The scale of the solar power system will depend on the size of the household, income, and the ability of the applicant to finance a portion of the system. In the case for water resilience installation, the water catchment system will provide households with the ability to collect and store rainwater to be used for bathing and/or consumption, if used in concert with a purification filter or system. This program may also be used as an add-on to, or potentially integrated within the R3 program.

Location Description:

The funding is available to be used in all Puerto Rico municipalities affected by the hurricanes across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing completed the following tasks in support of the Community Energy and Water Resilience Installations Program: Version 2 of the Program Guidelines was approved and published to the CDBG-DR website in both Spanish and English. The memorandum regarding the Work Approach Observations Request for Proposal CDBG-DR-RFP-2021-01 and the Re-Inspection Activity Costs memo for the CEWRI Program were developed and approved. The CDBG-DR-IFB-2021-01 CEWRI PV System and Water Storage System Acquisition and Installation Services (AIS) was awarded to five (5) companies, but one (1) of those companies declined to participate after the award. Therefore, the Program is counting on four (4) companies for Acquisition and Installation Services. The process for contract execution with these companies is underway. The CDBG-DR-RFP-2021-01 for the Program Management (PM) Services was awarded to one company. The process for contract execution for PM Services is underway. Program Notifications, Forms, and Templates, versions 1 and 2, in Spanish and English, were completed and approved. The Pre-installation Assessment Report Template version 2 was approved. The CEWRI Program Manager (PM) & Installer/Contractor (AIS) Training Curriculum Courses, including the Basis curriculum, Civil Rights Compliance Training Program, OSHA Training, and Programmatic Training was approved. The NREL Program Training Curriculum outline of the courses for the PM, AIS Installer/Contractors, and End-User was completed and approved. Continued coordination with the Department of Energy (DOE) and National Renewable Energy Laboratory (NREL) regarding the development of Training Courses for the CEWRI Program Managers (PM), the AIS Installers/Contractors, as well as End-Users. The CEWRI Pre-Training Assessment Questionnaire Survey was approved and distributed to the Installer/Contractors. The Programmatic Area participated in the DOE Review & Permitting Working Group meeting and presented the CEWRI Program overview to the DOE, State, and Federal agencies. System modules for Design and Environmental Review, as well as Award were completed. The Program continues working on system development, training, standard operating procedures, documents, template forms. The CEWRI Program is expected to launch during the next Quarter Reporting Period.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

No Other Funding Sources Found

Total Other Funding Sources

Amount

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found



Activity Supporting Documents:

None



Grantee Activity Number: R01H14HER-DOH-UN

Activity Title: COMMUNITY ENERGY AND WATER RESILIENCE INSTALLATION

Activity Type:

Public services

Activity Status:

Under Way

Project Number:

Housing B-17-DM-72-0001

Project Title:

Housing

Projected Start Date:

09/19/2018

Projected End Date:

09/18/2026

Benefit Type:

N/A

Completed Activity Actual End Date:

National Objective:

Urgent Need

Responsible Organization:

Puerto Rico Department of Housing

| Overall | Jul 1 thru Sep 30, 2021 | To Date |
|--|-------------------------|-----------------|
| Total Projected Budget from All Sources | \$0.00 | \$60,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$30,000,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$30,000,000.00 |
| Total Budget | \$0.00 | \$60,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$30,000,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$30,000,000.00 |
| Total Obligated | \$789.95 | \$789.95 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$789.95 | \$789.95 |
| Total Funds Drawdown | \$530.42 | \$530.42 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$530.42 | \$530.42 |
| Program Funds Drawdown | \$530.42 | \$530.42 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$530.42 | \$530.42 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$530.42 | \$530.42 |
| Puerto Rico Department of Housing | \$530.42 | \$530.42 |
| Most Impacted and Distressed Expended | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |

Activity Description:

The island-wide power outages and potable water disruptions as a result of Hurricane María have complicated recovery across the entire spectrum. Businesses have closed, critical services have been disrupted, and residents have been unable to stay in their homes because of lack of light, cooking facilities, cooling, water heating, and refrigeration. This is especially perilous for families with special needs and medical conditions. While the Island begins the larger process of transforming the power grid and distribution systems, and addresses vulnerabilities to potable water supplies, residents must receive assurance that they can avoid displacement or threats to health and safety caused by interruptions to power service and potable water. To address the energy and water system vulnerabilities, a portion of the Community Energy and Water Resilience Installation program will provide energy and water efficiency improvements and any other improvement, to promote energy and water resilience to eligible owned or rented household units, without any



cost to the applicant. The eligible household units may receive improvements which include, but are not limited to, refrigerator replacement; electric water heater replacement with solar or gas powered water heater; electric stove with gas powered stove; lighting replacements with LED; water fixture replacement with low flush fixtures; installation of aerators; installation of a small solar (photovoltaic) system with battery system for essential plug loads to supply energy in the event of a power outage situation; installation of a water catchment system; and installation of health and safety measures such as CO2 monitoring alarm. Priority will be given to the elderly and to households below 80% AMFI. Priority for the elderly does not preclude assistance to other eligible applicants. A longer-term component of this program will entail energy and water resilience installations. Eligible applicants will have the ability to apply for solar panel systems retrofits for residential structures. This component involves a variable scale solar power retrofit designed to backfeed the structure to provide sufficient power to run critical household appliances and allow for shelter-in-place options during outages. The scale of the solar power system will depend on the size of the household, income, and the ability of the applicant to finance a portion of the system. In the case for water resilience installation, the water catchment system will provide households with the ability to collect and store rainwater to be used for bathing and/or consumption, if used in concert with a purification filter or system. This program may also be used as an add-on to, or potentially integrated within the R3 program.

Location Description:

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing completed the following tasks in support of the Community Energy and Water Resilience Installations Program: Version 2 of the Program Guidelines was approved and published to the CDBG-DR website in both Spanish and English. The memorandum regarding the Work Approach Observations Request for Proposal CDBG-DR-RFP-2021-01 and the Re-Inspection Activity Costs memo for the CEWRI Program were developed and approved. The CDBG-DR-IFB-2021-01 CEWRI PV System and Water Storage System Acquisition and Installation Services (AIS) was awarded to five (5) companies, but one (1) of those companies declined to participate after the award. Therefore, the Program is counting on four (4) companies for Acquisition and Installation Services. The process for contract execution with these companies is underway. The CDBG-DR-RFP-2021-01 for the Program Management (PM) Services was awarded to one company. The process for contract execution for PM Services is underway. Program Notifications, Forms, and Templates, versions 1 and 2, in Spanish and English, were completed and approved. The Pre-installation Assessment Report Template version 2 was approved. The CEWRI Program Manager (PM) & Installer/Contractor (AIS) Training Curriculum Courses, including the Basis curriculum, Civil Rights Compliance Training Program, OSHA Training, and Programmatic Training was approved. The NREL Program Training Curriculum outline of the courses for the PM, AIS Installer/Contractors, and End-User was completed and approved. Continued coordination with the Department of Energy (DOE) and National Renewable Energy Laboratory (NREL) regarding the development of Training Courses for the CEWRI Program Managers (PM), the AIS Installers/Contractors, as well as End-Users. The CEWRI Pre-Training Assessment Questionnaire Survey was approved and distributed to the Installer/Contractors. The Programmatic Area participated in the DOE Review & Permitting Working Group meeting and presented the CEWRI Program overview to the DOE, State, and Federal agencies. System modules for Design and Environmental Review, as well as Award were completed. The Program continues working on system development, training, standard operating procedures, documents, template forms. The CEWRI Program is expected to launch during the next Quarter Reporting Period.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found



Activity Supporting Documents:

None

Project # / Housing B-18-DP-72-0001 / Housing



Grantee Activity Number: R02H22HA-DOH-LM

Activity Title: Homebuyer Assistance Program LMI

Activity Type:

Homeownership Assistance to low- and moderate-income

Project Number:

Housing B-18-DP-72-0001

Projected Start Date:

02/20/2020

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Housing

Projected End Date:

02/19/2026

Completed Activity Actual End Date:

Responsible Organization:

Puerto Rico Housing Finance (AFV)

| Overall | Jul 1 thru Sep 30, 2021 | To Date |
|--|-------------------------|------------------|
| Total Projected Budget from All Sources | \$0.00 | \$180,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$90,000,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$90,000,000.00 |
| Total Budget | \$0.00 | \$180,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$90,000,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$90,000,000.00 |
| Total Obligated | \$1,020,290.28 | \$47,672,096.70 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$1,020,290.28 | \$47,672,096.70 |
| Total Funds Drawdown | \$6,867,245.57 | \$10,618,052.93 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$6,867,245.57 | \$10,618,052.93 |
| Program Funds Drawdown | \$6,867,245.57 | \$10,618,052.93 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$6,867,245.57 | \$10,618,052.93 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$6,867,245.57 | \$10,618,052.93 |
| Puerto Rico Housing Finance (AFV) | \$6,867,245.57 | \$10,618,052.93 |
| Most Impacted and Distressed Expended | \$6,283,931.59 | \$9,830,358.44 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$6,283,931.59 | \$9,830,358.44 |

Activity Description:

Outmigration and loss of population base due to disaster creates a negative cycle for communities struggling to recover across the Island. Loss of population base post-disaster is a challenge that impacts communities of all sizes. When residents leave after a disaster and do not return, this creates ripple effects across the community, impacting schools, the economy through closure of businesses, loss of workforce, revenue declines, and reducing public financial capacity to provide services to the community. Availability of affordable housing options is part of the equation, but the financial stability and resources available to residents to purchase their own homes are also important parameters related to an individual or family's ability to secure long-term options and contributes to long-term community recovery. For individuals and families considering the option to return to their community or move, it is often a consideration of the ability to reestablish a firm foundation, whether to re-root themselves or seek a new opportunity elsewhere. This program, under the administration of the Puerto Rico Public Housing Authority (AFV), helps Puerto Ricans purchase homes through a variety of



support mechanisms, thereby increasing the level of homeownership in impacted communities and contributing to long-term sustainability and viability of communities across the Island. This program will seek to target critical recovery workforce sectors, recognizing the importance of first responders, teachers, healthcare workers and medical personnel, and law enforcement. This program understands that these members of the workforce are instrumental in incentivizing the return of other residents to ensure community viability, and sufficient workforce in these critical sectors is vital in creating positive ripple effects across communities, as they provide public safety, emergency support, education for school age children, and healthcare for families in communities working to recover and rebuild. Eligible applicants will be provided with closing cost assistance (e.g. Title insurance, mortgage insurance premium, etc.), down payment assistance and/or soft second mortgage, to assist with the gap between the amount of first mortgage the household is able to obtain from a lender and the purchase price of a home. No interest or payments are required on this "silent second" mortgage provided that the recipient fulfills the five-year occupancy requirement. Before a prospective buyer is referred to counseling or to execute a sales agreement for CDBG-DR assistance, the buyer must complete an Application for Assistance. The information obtained in the application will be used – along with verifications – to determine a buyer's eligibility to purchase a home and to receive CDBG-DR assistance. Before approved buyers begin looking for a home to purchase, they should be pre-qualified for a mortgage loan. This takes the guess work out of deciding how much for a house a prospective homeowner can afford. Lenders sometimes call this preliminary paperwork a "pre-approval" and will issue a letter stating that a prospective homeowner has been conditionally approved up to a certain amount. Also, the housing counselor will help a buyer to analyze how much he or she will likely be able to borrow using these factors before the buyer approaches a lender to apply for mortgage qualification or pre-qualification. All applicants will receive housing counseling services to determine which form of housing assistance offers the best option for the household and to educate prospective homebuyers about the responsibilities of being a homeowner. Upon notification of approval of an application for assistance, buyers will be referred to an approved program that offers pre-purchase counseling and education. All homebuyers must also complete 8 hours of homeowner training to be considered eligible. PRDOH will proactively communicate with realty and lending professionals who work with the general homebuying public. These professionals can identify potentially eligible buyers and refer them for CDBG-DR qualification, effectively expanding program marketing. The real-estate professionals are experienced with the home purchase process and can help guide eligible buyers through that process, ensuring that buyers attain their goal within reasonable times.

Location Description:

Municipalities across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks supporting the Homebuyer Assistance Program with the Subrecipient, the Puerto Rico Housing Finance Authority (PRHFA): conducted continuous meetings and working sessions to address Program needs regarding daily program tasks. The PRDOH participates in various weekly meetings with PRHFA Program staff to offer orientation, discuss changes to Program design, review submitted documents, and serve as a liaison between subrecipient and grantee. Also, offer support with other Program tasks, like notifications, communications, and environmental reviews. The PRDOH participated in the HUD monitoring event regarding the HBA Program, whose preparation entailed reviewing cases for QA/QC, preparing and updating documents and SOPs, and assuring everything HUD requested for the Program was delivered or responded. In addition, the PRDOH submitted various Program modifications as Action Plan Amendment 7 and is awaiting approval. The PRDOH reviewed the Program Guidelines submitted by the subrecipient and sent back for changes, reviewed and signed an amendment to the Subrecipient Agreement to modify Staffing by the Subrecipient, to allow more personnel to work the Program. The PRDOH has reviewed Monthly Reports submitted by the Program subrecipient and sent back for corrections when needed. As part of the Program, the PRDOH has conducted and participated actively in Outreach sessions around the Island to offer Program orientation to potential applicants and the general public.

By the end of this reporting period, 55 lending institutions had signed an agreement to participate in the Program. Case reviews were completed, including environmental and duplication of benefits reviews; by the end of the quarter, 1,497 environmental reviews had been completed and certified. The HBA Program continued achieving major progress during this reporting period, approving awards for 494 cases and closing 483 cases (294 LMI / 189 UN) during the quarter for a total amount of \$11,824,662.04 in disbursed assistance. By the end of the quarter, the HBA Program had achieved 5,458 applications, 1,016 this quarter alone, 1,123 approved awards, and 1,045 closed cases, representing \$25,834,458.61 in disbursed assistance.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

| | This Report Period | Cumulative Actual Total / Expected |
|-------------------------|--------------------|------------------------------------|
| | Total | Total |
| # of Housing Units | 294 | 628/1171 |
| # of Multifamily Units | 32 | 90/0 |
| # of Singlefamily Units | 262 | 538/1171 |

Beneficiaries Performance Measures

| | This Report Period | | | Cumulative Actual Total / Expected | | | |
|-----------------|--------------------|-----|-------|------------------------------------|-------|----------|---------|
| | Low | Mod | Total | Low | Mod | Total | Low/Mod |
| # of Households | 294 | 0 | 294 | 628/586 | 0/585 | 628/1171 | 100.00 |



| | | | | | | | |
|--------------------|-----|---|-----|---------|-------|----------|--------|
| # Owner Households | 294 | 0 | 294 | 628/586 | 0/585 | 628/1171 | 100.00 |
|--------------------|-----|---|-----|---------|-------|----------|--------|

Activity Locations

| Address | City | County | State | Zip | Status / Accept |
|---------|------|--------|-------|-----|-----------------|
|---------|------|--------|-------|-----|-----------------|

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents: None



Grantee Activity Number: R02H22HA-DOH-UN**Activity Title: Homebuyer Assistance Program UN****Activity Type:**

Homeownership Assistance to low- and moderate-income

Project Number:

Housing B-18-DP-72-0001

Projected Start Date:

02/20/2020

Benefit Type:

Direct (HouseHold)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

Housing

Projected End Date:

02/19/2026

Completed Activity Actual End Date:**Responsible Organization:**

Puerto Rico Housing Finance (AFV)

Overall**Total Projected Budget from All Sources**

B-17-DM-72-0001

B-18-DP-72-0001

Total Budget

B-17-DM-72-0001

B-18-DP-72-0001

Total Obligated

B-17-DM-72-0001

B-18-DP-72-0001

Total Funds Drawdown

B-17-DM-72-0001

B-18-DP-72-0001

Program Funds Drawdown

B-17-DM-72-0001

B-18-DP-72-0001

Program Income Drawdown

B-17-DM-72-0001

B-18-DP-72-0001

Program Income Received

B-17-DM-72-0001

B-18-DP-72-0001

Total Funds Expended

Puerto Rico Housing Finance (AFV)

Most Impacted and Distressed Expended

B-17-DM-72-0001

B-18-DP-72-0001

Jul 1 thru Sep 30, 2021**To Date**

| | |
|----------------|------------------|
| \$0.00 | \$420,000,000.00 |
| \$0.00 | \$210,000,000.00 |
| \$0.00 | \$210,000,000.00 |
| \$0.00 | \$420,000,000.00 |
| \$0.00 | \$210,000,000.00 |
| \$0.00 | \$210,000,000.00 |
| \$2,380,677.33 | \$111,234,892.31 |
| \$0.00 | \$0.00 |
| \$2,380,677.33 | \$111,234,892.31 |
| \$3,790,073.15 | \$13,742,710.65 |
| \$0.00 | \$0.00 |
| \$3,790,073.15 | \$13,742,710.65 |
| \$3,790,073.15 | \$13,742,710.65 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$3,790,073.15 | \$13,742,710.65 |
| \$3,790,073.15 | \$13,742,710.65 |
| \$3,739,099.56 | \$13,567,864.89 |
| \$0.00 | \$0.00 |
| \$3,739,099.56 | \$13,567,864.89 |

Activity Description:

Outmigration and loss of population base due to disaster creates a negative cycle for communities struggling to recover across the Island. Loss of population base post-disaster is a challenge that impacts communities of all sizes. When residents leave after a disaster and do not return, this creates ripple effects across the community, impacting schools, the economy through closure of businesses, loss of workforce, revenue declines, and reducing public financial capacity to provide services to the community. Availability of affordable housing options is part of the equation, but the financial stability and resources available to residents to purchase their own homes are also important parameters related to an individual or family's ability to secure long-term options and contributes to long-term community recovery. For individuals and families considering the option to return to their community or move, it is often a consideration of the ability to reestablish a firm foundation, whether to re-root themselves or seek a new opportunity elsewhere. This program, under the administration of the Puerto Rico Public Housing Authority (AFV), helps Puerto Ricans purchase homes through a variety of

support mechanisms, thereby increasing the level of homeownership in impacted communities and contributing to long-term sustainability and viability of communities across the Island. This program will seek to target critical recovery workforce sectors, recognizing the importance of first responders, teachers, healthcare workers and medical personnel, and law enforcement. This program understands that these members of the workforce are instrumental in incentivizing the return of other residents to ensure community viability, and sufficient workforce in these critical sectors is vital in creating positive ripple effects across communities, as they provide public safety, emergency support, education for school age children, and healthcare for families in communities working to recover and rebuild. Eligible applicants will be provided with closing cost assistance (e.g. Title insurance, mortgage insurance premium, etc.), down payment assistance and/or soft second mortgage, to assist with the gap between the amount of first mortgage the household is able to obtain from a lender and the purchase price of a home. No interest or payments are required on this "silent second" mortgage provided that the recipient fulfills the five-year occupancy requirement. Before a prospective buyer is referred to counseling or to execute a sales agreement for CDBG-DR assistance, the buyer must complete an Application for Assistance. The information obtained in the application will be used – along with verifications – to determine a buyer's eligibility to purchase a home and to receive CDBG-DR assistance. Before approved buyers begin looking for a home to purchase, they should be pre-qualified for a mortgage loan. This takes the guess work out of deciding how much for a house a prospective homeowner can afford. Lenders sometimes call this preliminary paperwork a "pre-approval" and will issue a letter stating that a prospective homeowner has been conditionally approved up to a certain amount. Also, the housing counselor will help a buyer to analyze how much he or she will likely be able to borrow using these factors before the buyer approaches a lender to apply for mortgage qualification or pre-qualification. All applicants will receive housing counseling services to determine which form of housing assistance offers the best option for the household and to educate prospective homebuyers about the responsibilities of being a homeowner. Upon notification of approval of an application for assistance, buyers will be referred to an approved program that offers pre-purchase counseling and education. All homebuyers must also complete 8 hours of homeowner training to be considered eligible. PRDOH will proactively communicate with realty and lending professionals who work with the general homebuying public. These professionals can identify potentially eligible buyers and refer them for CDBG-DR qualification, effectively expanding program marketing. The real-estate professionals are experienced with the home purchase process and can help guide eligible buyers through that process, ensuring that buyers attain their goal within reasonable times.

Location Description:

Municipalities across the island

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks supporting the Homebuyer Assistance Program with the Subrecipient, the Puerto Rico Housing Finance Authority (PRHFA): conducted continuous meetings and working sessions to address Program needs regarding daily program tasks. The PRDOH participates in various weekly meetings with PRHFA Program staff to offer orientation, discuss changes to Program design, review submitted documents, and serve as a liaison between subrecipient and grantee. Also, offer support with other Program tasks, like notifications, communications, and environmental reviews. The PRDOH participated in the HUD monitoring event regarding the HBA Program, whose preparation entailed reviewing cases for QA/QC, preparing and updating documents and SOPs, and assuring everything HUD requested for the Program was delivered or responded. In addition, the PRDOH submitted various Program modifications as Action Plan Amendment 7 and is awaiting approval. The PRDOH reviewed the Program Guidelines submitted by the subrecipient and sent back for changes, reviewed and signed an amendment to the Subrecipient Agreement to modify Staffing by the Subrecipient, to allow more personnel to work the Program. The PRDOH has reviewed Monthly Reports submitted by the Program subrecipient and sent back for corrections when needed. As part of the Program, the PRDOH has conducted and participated actively in Outreach sessions around the Island to offer Program orientation to potential applicants and the general public.

By the end of this reporting period, 55 lending institutions had signed an agreement to participate in the Program. Case reviews were completed, including environmental and duplication of benefits reviews; by the end of the quarter, 1,497 environmental reviews had been completed and certified. The HBA Program continued achieving major progress during this reporting period, approving awards for 494 cases and closing 483 cases (294 LMI / 189 UN) during the quarter for a total amount of \$11,824,662.04 in disbursed assistance. By the end of the quarter, the HBA Program had achieved 5,458 applications, 1,016 this quarter alone, 1,123 approved awards, and 1,045 closed cases, representing \$25,834,458.61 in disbursed assistance.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

| | This Report Period | Cumulative Actual Total / Expected |
|-------------------------|--------------------|------------------------------------|
| | Total | Total |
| # of Housing Units | 189 | 414/2733 |
| # of Multifamily Units | 47 | 97/0 |
| # of Singlefamily Units | 142 | 317/2733 |

Beneficiaries Performance Measures

| | This Report Period | | | Cumulative Actual Total / Expected | | | |
|-----------------|--------------------|-----|-------|------------------------------------|-----|----------|---------|
| | Low | Mod | Total | Low | Mod | Total | Low/Mod |
| # of Households | 0 | 0 | 189 | 0/0 | 0/0 | 414/2733 | 0.00 |



Owner Households 0 0 189 0/0 0/0 414/2733 0.00

Activity Locations

| Address | City | County | State | Zip | Status / Accept |
|---------|------|--------|-------|-----|-----------------|
|---------|------|--------|-------|-----|-----------------|

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents: None

Project # / Infrastructure B-17-DM-72-0001 / Infrastructure



Grantee Activity Number: R01I21FEM-DOH-LM**Activity Title: Non-Federal Match Program LMI****Activity Type:**

Acquisition, construction, reconstruction of public facilities

Project Number:

Infrastructure B-17-DM-72-0001

Projected Start Date:

09/19/2018

Benefit Type:

Area (Census)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Infrastructure

Projected End Date:

09/18/2026

Completed Activity Actual End Date:**Responsible Organization:**

Puerto Rico Department of Housing

Overall**Total Projected Budget from All Sources**

B-17-DM-72-0001

B-18-DP-72-0001

Total Budget

B-17-DM-72-0001

B-18-DP-72-0001

Total Obligated

B-17-DM-72-0001

B-18-DP-72-0001

Total Funds Drawdown

B-17-DM-72-0001

B-18-DP-72-0001

Program Funds Drawdown

B-17-DM-72-0001

B-18-DP-72-0001

Program Income Drawdown

B-17-DM-72-0001

B-18-DP-72-0001

Program Income Received

B-17-DM-72-0001

B-18-DP-72-0001

Total Funds Expended

Puerto Rico Department of Housing

Most Impacted and Distressed Expended

B-17-DM-72-0001

B-18-DP-72-0001

Jul 1 thru Sep 30, 2021**To Date**

| | |
|------------------|--------------------|
| \$0.00 | \$2,010,455,204.00 |
| \$0.00 | \$1,005,227,602.00 |
| \$0.00 | \$1,005,227,602.00 |
| \$0.00 | \$2,010,455,204.00 |
| \$0.00 | \$1,005,227,602.00 |
| \$0.00 | \$1,005,227,602.00 |
| \$299,993,803.04 | \$349,999,207.60 |
| \$17,614,328.23 | \$58,698,419.50 |
| \$282,379,474.81 | \$291,300,788.10 |
| \$451,567.99 | \$1,929,698.16 |
| \$451,567.99 | \$1,929,698.16 |
| \$0.00 | \$0.00 |
| \$451,567.99 | \$1,929,698.16 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$451,567.99 | \$1,929,698.16 |
| \$451,567.99 | \$1,929,280.32 |
| \$148,169.13 | \$316,325.15 |
| \$148,169.13 | \$316,325.15 |
| \$0.00 | \$0.00 |

Activity Description:

The purpose of this program is to maximize the benefit from federal grant programs by positioning CDBG-DR as the ideal local match program to other federal funding streams. This approach will relieve the financial burden on municipal governments and other eligible applicants while also providing a targeted means of addressing Puerto Rico's long-term infrastructure resilience needs. Through the matching of funding provided by other federal agencies, critical infrastructure needs will be addressed making the island more adaptable to changing conditions and able to withstand and recover rapidly from disruptions caused by future disasters.

At this time, most long-term reconstruction projects are still in the formulation. As a result, the exact amount of CDBG-DR funds necessary to meet the full universe of non-federal match requirements is still being assessed. However, the total match required for just the FEMA PA, IA and HMGP programs is expected to far exceed the \$1 billion that is currently allocated to this program. Due to this situation, the consolidation of the Critical Infrastructure Program within the Non-Federal Match Program is borne in an effort to streamline the availability

of project funding and fill the already identified need for additional funds to cover large infrastructure projects currently being formulated and obligated under both of FEMA's assistance programs, Public Assistance (PA) and Hazard Mitigation (HMGP). A significant financial burden remains even after FEMA agreed to several amendments to the disaster declaration for Hurricane Maria (DR 4339) covering 100% of the cost share of Category A and B project worksheets. This included 100% coverage of debris removal through June 17, 2018, Category B emergency protective measures through May 18, 2018, and funding for the Sheltering and Temporary Emergency Power Pilot Program (STEP) which allowed Puerto Rico to make short term repairs to over 100,000 homes, through September 15, 2018. While the amount of CDBG-DR funds needed to match the debris removal and temporary work projects is dependent on the final determination value of work performed outside of the 100% cost-share period, PRDOH has estimated that for the STEP program alone, the cost share will exceed \$20 million in for work performed outside of the 100% FEMA-funded period.

Location Description:

The funding is available to be used in all Puerto Rico municipalities affected by the hurricanes across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed various tasks in support of the Non-Federal Match Program (NFMP): the program continued to offer outreach to potential subrecipients through the offering of multiple program presentations and Subrecipient Agreement (SRA) Execution Workshops which culminated in having a cumulative total of all 106 entities with executed SRAs under the NFMP.

It is important to note that, as HUD released access to full program funding, the NFMP has significantly streamlined initial program intake and review procedures and has thus accelerated the recommendation for the execution of SRAs. To date, 77 Puerto Rico Municipalities and 29 State Agencies have executed SRAs. The potential cost share budget allocated under these 106 SRAs is approximately 519 million dollars. In addition, 12 SRAs have been sent for signature to subrecipients.

To date, 79 Project Worksheets (PWs) have been identified for evaluation through the Review and Recommendation procedure under the NFMP and 58 have been recommended for cost share payment. These PWs correspond to 25 municipalities (Aguadilla, Aguas Buenas, Arroyo, Barranquitas, Bayamón, Cabo Rojo, Caguas, Camuy, Canóvanas, Carolina, Cayey, Coamo, Florida, Guaynabo, Jayuya, Juana Díaz, Loíza, Manatí, Mayagüez, Patillas, Quebradillas, Salinas, San Sebastián, Toa Baja, and Yabucoa), and 6 state agencies (PR Aqueduct and Sewer Authority, PR Department of Health, PR Electric Power Authority, PR National Guard, PR Emergency Management Agency, and the Solid Waste Authority). These PWs represent a potential cost share of \$3,742,398. To date, the program has disbursed a total of \$20,696,318 in cost share payments.

The CDBG-DR Action Plan Substantial Amendment 7 draft was published for public comments on August 6. This Action Plan Amendment includes eligibility criteria applicable for Non-Government Organizations (NGOs), establishing a minimum state share funding requirement to be eligible to participate under the Program. Additionally, SOPs for Recordkeeping & Close-Out and Recoupment Process have been revised and approved by PRDOH.

Related to the Global Match Program covering the cost share requirements for the FEMA Hazard Mitigation Grant Program (HMGP) being implemented by the State Hazard Mitigation Office (SHMO), the program teams from both agencies have been working on identifying potential subrecipients and projects to be run under the Global Match strategy using CDBG-DR funds. Additional projects are planned to be covered using CDBG-MIT funding as it becomes available.

The NFMP continues close coordination with the COR3 on the development of the Flexible Match implementation methodology based on the Flexible Match guidance published by HUD and FEMA on October 14th, 2020. As a part of this effort, a joint letter between the PRDOH and the COR3 was issued to FEMA and HUD, proposing a subrecipient-level grouping for the Flexible Match implementation, instead of the PW-level methodology described in the published guidance. The program is currently working on case studies under both methodologies (subrecipient-level and PW-level) to further establish direct consultation with FEMA.

The NFMP supported the efforts of the PRDOH Procurement area as they reviewed the proposals received from the Request for Proposals (RFP) for Program Manager Services. On August 13, the PRDOH Bid Board issued the resolution of award for two (2) proposers for the Program Manager Services. The program is currently collaborating with the PRDOH Legal area to draft and execute the Program Manager Services agreements.

Due to the current situation caused by the COVID-19 pandemic, the NFMP continues to offer trainings and individual coordination meetings via teleconference with the subrecipients. Throughout the lock-down, the NFMP also continues with frequent communications with potential subrecipients through email and phone call.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

| | This Report Period | Cumulative Actual Total / Expected |
|----------------------------|--------------------|------------------------------------|
| | Total | Total |
| # of Properties | 0 | 0/0 |
| # of public facilities | 0 | 0/0 |
| # of Linear feet of Public | 0 | 0/0 |



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents: None



Grantee Activity Number: R01I21FEM-DOH-UN

Activity Title: Non-Federal Match Program UN

Activity Type:

Acquisition, construction, reconstruction of public facilities

Project Number:

Infrastructure B-17-DM-72-0001

Projected Start Date:

09/19/2018

Benefit Type:

Area (Census)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

Infrastructure

Projected End Date:

09/18/2026

Completed Activity Actual End Date:

Responsible Organization:

Puerto Rico Department of Housing

| Overall | Jul 1 thru Sep 30, 2021 | To Date |
|---|-------------------------|------------------|
| Total Projected Budget from All Sources | \$0.00 | \$861,623,658.00 |
| B-17-DM-72-0001 | \$0.00 | \$430,811,829.00 |
| B-18-DP-72-0001 | \$0.00 | \$430,811,829.00 |
| Total Budget | \$0.00 | \$861,623,658.00 |
| B-17-DM-72-0001 | \$0.00 | \$430,811,829.00 |
| B-18-DP-72-0001 | \$0.00 | \$430,811,829.00 |
| Total Obligated | \$121,024,964.85 | \$154,743,715.68 |
| B-17-DM-72-0001 | \$5,189.98 | \$29,900,520.83 |
| B-18-DP-72-0001 | \$121,019,774.87 | \$124,843,194.85 |
| Total Funds Drawdown | \$130,028.05 | \$21,178,421.99 |
| B-17-DM-72-0001 | \$130,028.05 | \$21,178,421.99 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$130,028.05 | \$21,178,421.99 |
| B-17-DM-72-0001 | \$130,028.05 | \$21,178,421.99 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$130,028.05 | \$21,178,421.99 |
| Central Office for Recovery, Reconstruction and | \$0.00 | \$20,390,899.34 |
| Puerto Rico Department of Housing | \$130,028.05 | \$21,178,242.92 |
| Most Impacted and Distressed Expended | \$0.00 | \$20,390,899.34 |
| B-17-DM-72-0001 | \$0.00 | \$20,390,899.34 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |

Activity Description:

The purpose of this program is to maximize the benefit from federal grant programs by positioning CDBG-DR as the ideal local match program to other federal funding streams. This approach will relieve the financial burden on municipal governments and other eligible applicants while also providing a targeted means of addressing Puerto Rico’s long-term infrastructure resilience needs. Through the matching of funding provided by other federal agencies, critical infrastructure needs will be addressed making the island more adaptable to changing conditions and able to withstand and recover rapidly from disruptions caused by future disasters.

At this time, most long-term reconstruction projects are still in the formulation. As a result, the exact amount of CDBG-DR funds necessary to meet the full universe of non-federal match requirements is still being assessed. However, the total match required for just the FEMA PA, IA and HMGP programs is expected to far exceed the



\$1 billion that is currently allocated to this program. Due to this situation, the consolidation of the Critical Infrastructure Program within the Non-Federal Match Program is borne in an effort to streamline the availability of project funding and fill the already identified need for additional funds to cover large infrastructure projects currently being formulated and obligated under both of FEMA's assistance programs, Public Assistance (PA) and Hazard Mitigation (HMGP). A significant financial burden remains even after FEMA agreed to several amendments to the disaster declaration for Hurricane Maria (DR 4339) covering 100% of the cost share of Category A and B project worksheets. This included 100% coverage of debris removal through June 17, 2018, Category B emergency protective measures through May 18, 2018, and funding for the Sheltering and Temporary Emergency Power Pilot Program (STEP) which allowed Puerto Rico to make short term repairs to over 100,000 homes, through September 15, 2018. While the amount of CDBG-DR funds needed to match the debris removal and temporary work projects is dependent on the final determination value of work performed outside of the 100% cost-share period, PRDOH has estimated that for the STEP program alone, the cost share will exceed \$20 million in for work performed outside of the 100% FEMA-funded period.

Location Description:

The funding is available to be used in all Puerto Rico municipalities affected by the hurricanes across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed various tasks in support of the Non-Federal Match Program (NFMP): the program continued to offer outreach to potential subrecipients through the offering of multiple program presentations and Subrecipient Agreement (SRA) Execution Workshops which culminated in having a cumulative total of all 106 entities with executed SRAs under the NFMP.

It is important to note that, as HUD released access to full program funding, the NFMP has significantly streamlined initial program intake and review procedures and has thus accelerated the recommendation for the execution of SRAs. To date, 77 Puerto Rico Municipalities and 29 State Agencies have executed SRAs. The potential cost share budget allocated under these 106 SRAs is approximately 519 million dollars. In addition, 12 SRAs have been sent for signature to subrecipients.

To date, 79 Project Worksheets (PWs) have been identified for evaluation through the Review and Recommendation procedure under the NFMP and 58 have been recommended for cost share payment. These PWs correspond to 25 municipalities (Aguadilla, Aguas Buenas, Arroyo, Barranquitas, Bayamón, Cabo Rojo, Caguas, Camuy, Canóvanas, Carolina, Cayey, Coamo, Florida, Guaynabo, Jayuya, Juana Díaz, Loíza, Manatí, Mayagüez, Patillas, Quebradillas, Salinas, San Sebastián, Toa Baja, and Yabucoa), and 6 state agencies (PR Aqueduct and Sewer Authority, PR Department of Health, PR Electric Power Authority, PR National Guard, PR Emergency Management Agency, and the Solid Waste Authority). These PWs represent a potential cost share of \$3,742,398. To date, the program has disbursed a total of \$20,696,318 in cost share payments.

The CDBG-DR Action Plan Substantial Amendment 7 draft was published for public comments on August 6. This Action Plan Amendment includes eligibility criteria applicable for Non-Government Organizations (NGOs), establishing a minimum state share funding requirement to be eligible to participate under the Program. Additionally, SOPs for Recordkeeping & Close-Out and Recoupment Process have been revised and approved by PRDOH.

Related to the Global Match Program covering the cost share requirements for the FEMA Hazard Mitigation Grant Program (HMGP) being implemented by the State Hazard Mitigation Office (SHMO), the program teams from both agencies have been working on identifying potential subrecipients and projects to be run under the Global Match strategy using CDBG-DR funds. Additional projects are planned to be covered using CDBG-MIT funding as it becomes available.

The NFMP continues close coordination with the COR3 on the development of the Flexible Match implementation methodology based on the Flexible Match guidance published by HUD and FEMA on October 14th, 2020. As a part of this effort, a joint letter between the PRDOH and the COR3 was issued to FEMA and HUD, proposing a subrecipient-level grouping for the Flexible Match implementation, instead of the PW-level methodology described in the published guidance. The program is currently working on case studies under both methodologies (subrecipient-level and PW-level) to furtherly establish direct consultation with FEMA.

The NFMP supported the efforts of the PRDOH Procurement area as they reviewed the proposals received from the Request for Proposals (RFP) for Program Manager Services. On August 13, the PRDOH Bid Board issued the resolution of award for two (2) proposers for the Program Manager Services. The program is currently collaborating with the PRDOH Legal area to draft and execute the Program Manager Services agreements.

Due to the current situation caused by the COVID-19 pandemic, the NFMP continues to offer trainings and individual coordination meetings via teleconference with the subrecipients. Throughout the lock-down, the NFMP also continues with frequent communications with potential subrecipients through email and phone call.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

| | This Report Period | Cumulative Actual Total / Expected |
|----------------------------|--------------------|------------------------------------|
| | Total | Total |
| # of Properties | 0 | 3039/0 |
| # of public facilities | 0 | 0/0 |
| # of Linear feet of Public | 0 | 0/0 |



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

| | Amount |
|-----------------------------|------------------|
| FEMA | \$196,638,727.86 |
| Total Other Funding Sources | \$0.00 |

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents: None

Project # / Multi-Sector B-17-DM-72-0001 / Multi-Sector

Grantee Activity Number: R01M27CR-DOH-LM**Activity Title: City Revitalization Program LM****Activity Type:**

Acquisition, construction, reconstruction of public facilities

Activity Status:

Under Way

Project Number:

Multi-Sector B-17-DM-72-0001

Project Title:

Multi-Sector

Projected Start Date:

02/20/2020

Projected End Date:

09/18/2026

Benefit Type:

Area (Census)

Completed Activity Actual End Date:**National Objective:**

Low/Mod

Responsible Organization:

Puerto Rico Department of Housing

Overall**Total Projected Budget from All Sources**

B-17-DM-72-0001

Jul 1 thru Sep 30, 2021

\$0.00

To Date

\$1,817,200,000.00

B-18-DP-72-0001

\$0.00

\$908,600,000.00

Total Budget

\$0.00

\$1,817,200,000.00

B-17-DM-72-0001

\$0.00

\$908,600,000.00

B-18-DP-72-0001

\$0.00

\$908,600,000.00

Total Obligated

\$525,198,394.50

\$688,168,075.95

B-17-DM-72-0001

\$28,973.86

\$22,224,856.37

B-18-DP-72-0001

\$525,169,420.64

\$665,943,219.58

Total Funds Drawdown

\$98,121.49

\$947,360.90

B-17-DM-72-0001

\$31,213.91

\$87,359.01

B-18-DP-72-0001

\$66,907.58

\$860,001.89

Program Funds Drawdown

\$98,121.49

\$947,360.90

B-17-DM-72-0001

\$31,213.91

\$87,359.01

B-18-DP-72-0001

\$66,907.58

\$860,001.89

Program Income Drawdown

\$0.00

\$0.00

B-17-DM-72-0001

\$0.00

\$0.00

B-18-DP-72-0001

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

B-17-DM-72-0001

\$0.00

\$0.00

B-18-DP-72-0001

\$0.00

\$0.00

Total Funds Expended

\$98,121.49

\$947,530.87

Puerto Rico Department of Housing

\$98,121.49

\$947,360.90

Most Impacted and Distressed Expended

\$0.00

\$101,692.41

B-17-DM-72-0001

\$0.00

\$8,598.10

B-18-DP-72-0001

\$0.00

\$93,094.31

Activity Description:

This Program establishes a fund for municipalities to enable a variety of critical recovery activities aimed at reinvigorating urban areas and key community corridors to focus investments, reduce sprawl, and create a symbiotic environment to nurture complimentary investments from the private sector.

The funding in this Program will allow to implement integrated and innovative solutions to the problems facing municipalities and their communities. Eligible revitalization projects should correlate to a larger strategy that targets downtown service and business districts or key corridors and supports and aligns with future public and private investments.

Eligible projects include rehabilitation or reconstruction of downtown buildings, public infrastructure improvements, and streetscape improvements such as sidewalks, lighting, parking, façade improvement, and activities to eliminate architectural barriers for the disabled. Further, City Rev may fund improvements to public spaces (plazas, town squares), cultural and art installations, community centers, and recreation amenities. This

Program will also fund clearance and demolition of unoccupied substandard structures.

Affordable Housing projects will be evaluated, but potential subrecipients will be required to demonstrate their capacity to manage housing properties and perform preventive maintenance, fiscally and financially manage cash flow and expenditures, and provide as needed referrals and collaborate with other human services entities for the provision of housing wrap-around citizens' services.

Economic development projects, in which its operation activities will be generating revenue, will be evaluated to validate their compliance based on the entity type and their legal structure from the perspective of applicable laws and regulations at federal and local jurisdictions. Income received from these projects may be subject to HUD requirements for managing program income, and permissible uses will be outlined in Program Guidelines and applicable subrecipient agreements.

City Rev also includes RE-GREEN initiatives and is prioritizing projects that use green infrastructure techniques or restore and replant impacted natural resources. Examples include, among others, green infrastructure installations and standards, including reforestation efforts via non-profit entities such as Para la Naturaleza, and waste re-purposing and reduction initiatives. This Program will maintain an option to expand funding of RE-GREEN components under third tranche for mitigation. Municipalities may identify an inventory of substandard structures following standard acquisition protocols and based upon fair market values for properties that it desires to turn into green space or use for other eligible purposes, as allowed by this Program.

City Rev has a set-aside of \$100,000,000 to prioritize strategic investments in growth nodes for the redevelopment of urban areas surrounding the University of Puerto Rico (UPR), Río Piedras and Mayagüez campuses to revitalize urban centers. The student body residing and studying in these areas has been significantly strained by the physical and economic impact of the hurricanes. These investments shall not take the place of general conduct of governmental investments in the UPR system but will create the environment for economic regeneration around the UPR to enable students to return to their studies in safe, economically vibrant areas. Funding of projects within the UPR set-aside area will be directly assigned to San Juan and Mayagüez municipal governments in coordination with UPR communities and their stakeholders.

A set-aside up to \$75,000,000 will be used to retrofit existing facilities, or entail the construction of new facilities, that will operate and provide year-round services as Community Resilience Center (CRC) facilities. CRCs shall provide year-round community gathering spaces where citizens may receive educational materials and information on preparedness and home/community resilience initiatives. In this way, CRCs will enhance social resilience within communities by creating clearly identified and easily accessible gathering spaces and rendezvous locations for residents. CRCs are expected to contain the following components, depending on their day-to-day functioning and intended use: shower facilities, cooking facilities, refrigeration, device power stations for charging cell phones, back-up generation/ solar panels, water cisterns, sleep space, disability accessibility features, greenbuilding features, pet-friendly spaces and/or wireless internet service. Funding to plan and implement CRC projects will be directly assigned to a single nongovernment organization subrecipient.

Applications that consider a holistic multi-benefit approach including colocation with transit-oriented development (TOD), walkability, and support of commercial districts will be prioritized. Applicants are encouraged to present a blended funding approach, with supplemental leverage investments from complementary programs or other funding sources that may strengthen the proposal.

While not required, applicants will be strongly encouraged, in the application process, to provide nonCDBG-DR funding to the project, which may include in-kind contributions, land donations, long-term maintenance and operations, or support from non-profits or civic/community groups, and other measures.

Puerto Rico has had a number of planning processes occur in the post-María environment and total damages at the municipal level, while not finalized, have become clearer. These municipal level damages and recovery processes resulted in the development of requests for recovery projects. Several proposed projects align with the goals of City Rev, with projects coming from municipalities and other entities. These planning products and summary project ideas have been submitted to PRDOH. These recovery projects in addition to City Rev projects that will be identified through the PRDOH-led municipal planning process will be considered for this Program.

Location Description:

Municipalities throughout the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks in support of the City Revitalization Program (CRP): the CRP Team continued offering informative training sessions to Municipalities on the topics of Subrecipient Agreement (SRA) execution, Monthly Reports, Application Procedures, as well as coordinating the trainings for Financial, Procurement and Compliance Procedures to all Municipalities. Also, CRP Team continued providing individual coordination meetings with Municipalities on an as needed basis to discuss their potential projects to be submitted to the CRP, completed SOPs related to Key Personnel Authorizations, Procurement, Design Management and Environmental, and completed the efforts of contracting three program management firms who will be responsible for the everyday management of the different tasks regarding the Subrecipients of the CRP.

Today, all the 78 Municipalities have been registered to the CRP and signed SRAs with PRDOH. Due to HUD's release of access to full program funding, SRA's are being amended to include additional funding. Out of the 78, 72 have received authorization for their total assigned allocation (totaling approximately \$896M) and the remaining 6 are pending SRA amendment to authorize their total assigned allocation (totaling approximately \$104M).

In the application process, 33 Municipalities have 91 projects approved with a combined cost of over \$215M. There is an additional \$115M in 44 potential projects from 15 Municipalities under evaluation and another 84 potential projects are currently in draft status and pending to submit by the Municipalities. The CRP Subprogram "Community Resilience Centers" (CRC) design has been developed. The CRP Team has initiated the Subrecipient Direct Selection process with NGO that will be managing this Subprogram and will continue with the required next steps in order to execute the Subrecipient Agreement with the NGO for the launch of this subprogram. Although the COVID-19 pandemic is still affecting in-person meetings and communications, the CRP Team has continued to offer trainings and one on one coordination meetings with municipalities via teleconferencing. As a result, the Program has been able to receive, evaluate and approve projects and continues to support Municipalities towards the implementation of their proposed projects and the publication of required RFPs for services.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents: None

Grantee Activity Number: R01M27CR-DOH-UN**Activity Title: City Revitalization Program UN****Activity Type:**

Acquisition, construction, reconstruction of public facilities

Activity Status:

Under Way

Project Number:

Multi-Sector B-17-DM-72-0001

Project Title:

Multi-Sector

Projected Start Date:

02/20/2020

Projected End Date:

09/18/2026

Benefit Type:

Area (Census)

Completed Activity Actual End Date:**National Objective:**

Urgent Need

Responsible Organization:

Puerto Rico Department of Housing

Overall**Total Projected Budget from All Sources**

B-17-DM-72-0001

Jul 1 thru Sep 30, 2021

\$0.00

To Date

\$778,800,000.00

B-18-DP-72-0001

\$0.00

\$389,400,000.00

Total Budget

\$0.00

\$778,800,000.00

B-17-DM-72-0001

\$0.00

\$389,400,000.00

B-18-DP-72-0001

\$0.00

\$389,400,000.00

Total Obligated

\$225,072,608.75

\$284,310,154.49

B-17-DM-72-0001

\$0.00

\$0.00

B-18-DP-72-0001

\$225,072,608.75

\$284,310,154.49

Total Funds Drawdown

\$8,212.81

\$332,073.50

B-17-DM-72-0001

\$0.00

\$0.00

B-18-DP-72-0001

\$8,212.81

\$332,073.50

Program Funds Drawdown

\$8,212.81

\$332,073.50

B-17-DM-72-0001

\$0.00

\$0.00

B-18-DP-72-0001

\$8,212.81

\$332,073.50

Program Income Drawdown

\$0.00

\$0.00

B-17-DM-72-0001

\$0.00

\$0.00

B-18-DP-72-0001

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

B-17-DM-72-0001

\$0.00

\$0.00

B-18-DP-72-0001

\$0.00

\$0.00

Total Funds Expended

\$8,212.81

\$332,073.50

Puerto Rico Department of Housing

\$8,212.81

\$332,073.50

Most Impacted and Distressed Expended

\$0.00

\$23,860.69

B-17-DM-72-0001

\$0.00

\$0.00

B-18-DP-72-0001

\$0.00

\$23,860.69

Activity Description:

This Program establishes a fund for municipalities to enable a variety of critical recovery activities aimed at reinvigorating urban areas and key community corridors to focus investments, reduce sprawl, and create a symbiotic environment to nurture complimentary investments from the private sector.

The funding in this Program will allow to implement integrated and innovative solutions to the problems facing municipalities and their communities. Eligible revitalization projects should correlate to a larger strategy that targets downtown service and business districts or key corridors and supports and aligns with future public and private investments.

Eligible projects include rehabilitation or reconstruction of downtown buildings, public infrastructure improvements, and streetscape improvements such as sidewalks, lighting, parking, façade improvement, and activities to eliminate architectural barriers for the disabled. Further, City Rev may fund improvements to public spaces (plazas, town squares), cultural and art installations, community centers, and recreation amenities. This

Program will also fund clearance and demolition of unoccupied substandard structures.

Affordable Housing projects will be evaluated, but potential subrecipients will be required to demonstrate their capacity to manage housing properties and perform preventive maintenance, fiscally and financially manage cash flow and expenditures, and provide as needed referrals and collaborate with other human services entities for the provision of housing wrap-around citizens' services.

Economic development projects, in which its operation activities will be generating revenue, will be evaluated to validate their compliance based on the entity type and their legal structure from the perspective of applicable laws and regulations at federal and local jurisdictions. Income received from these projects may be subject to HUD requirements for managing program income, and permissible uses will be outlined in Program Guidelines and applicable subrecipient agreements.

City Rev also includes RE-GREEN initiatives and is prioritizing projects that use green infrastructure techniques or restore and replant impacted natural resources. Examples include, among others, green infrastructure installations and standards, including reforestation efforts via non-profit entities such as Para la Naturaleza, and waste re-purposing and reduction initiatives. This Program will maintain an option to expand funding of RE-GREEN components under third tranche for mitigation. Municipalities may identify an inventory of substandard structures following standard acquisition protocols and based upon fair market values for properties that it desires to turn into green space or use for other eligible purposes, as allowed by this Program.

City Rev has a set-aside of \$100,000,000 to prioritize strategic investments in growth nodes for the redevelopment of urban areas surrounding the University of Puerto Rico (UPR), Río Piedras and Mayagüez campuses to revitalize urban centers. The student body residing and studying in these areas has been significantly strained by the physical and economic impact of the hurricanes. These investments shall not take the place of general conduct of governmental investments in the UPR system but will create the environment for economic regeneration around the UPR to enable students to return to their studies in safe, economically vibrant areas. Funding of projects within the UPR set-aside area will be directly assigned to San Juan and Mayagüez municipal governments in coordination with UPR communities and their stakeholders.

A set-aside up to \$75,000,000 will be used to retrofit existing facilities, or entail the construction of new facilities, that will operate and provide year-round services as Community Resilience Center (CRC) facilities. CRCs shall provide year-round community gathering spaces where citizens may receive educational materials and information on preparedness and home/community resilience initiatives. In this way, CRCs will enhance social resilience within communities by creating clearly identified and easily accessible gathering spaces and rendezvous locations for residents. CRCs are expected to contain the following components, depending on their day-to-day functioning and intended use: shower facilities, cooking facilities, refrigeration, device power stations for charging cell phones, back-up generation/ solar panels, water cisterns, sleep space, disability accessibility features, greenbuilding features, pet-friendly spaces and/or wireless internet service. Funding to plan and implement CRC projects will be directly assigned to a single nongovernment organization subrecipient.

Applications that consider a holistic multi-benefit approach including colocation with transit-oriented development (TOD), walkability, and support of commercial districts will be prioritized. Applicants are encouraged to present a blended funding approach, with supplemental leverage investments from complementary programs or other funding sources that may strengthen the proposal.

While not required, applicants will be strongly encouraged, in the application process, to provide nonCDBG-DR funding to the project, which may include in-kind contributions, land donations, long-term maintenance and operations, or support from non-profits or civic/community groups, and other measures.

Puerto Rico has had a number of planning processes occur in the post-María environment and total damages at the municipal level, while not finalized, have become clearer. These municipal level damages and recovery processes resulted in the development of requests for recovery projects. Several proposed projects align with the goals of City Rev, with projects coming from municipalities and other entities. These planning products and summary project ideas have been submitted to PRDOH. These recovery projects in addition to City Rev projects that will be identified through the PRDOH-led municipal planning process will be considered for this Program.

Location Description:

Municipalities throughout the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks in support of the City Revitalization Program (CRP): the CRP Team continued offering informative training sessions to Municipalities on the topics of Subrecipient Agreement (SRA) execution, Monthly Reports, Application Procedures, as well as coordinating the trainings for Financial, Procurement and Compliance Procedures to all Municipalities. Also, CRP Team continued providing individual coordination meetings with Municipalities on an as needed basis to discuss their potential projects to be submitted to the CRP, completed SOPs related to Key Personnel Authorizations, Procurement, Design Management and Environmental, and completed the efforts of contracting three program management firms who will be responsible for the everyday management of the different tasks regarding the Subrecipients of the CRP.

Today, all the 78 Municipalities have been registered to the CRP and signed SRAs with PRDOH. Due to HUD's release of access to full program funding, SRA's are being amended to include additional funding. Out of the 78, 72 have received authorization for their total assigned allocation (totaling approximately \$896M) and the remaining 6 are pending SRA amendment to authorize their total assigned allocation (totaling approximately \$104M).

In the application process, 33 Municipalities have 91 projects approved with a combined cost of over \$215M. There is an additional \$115M in 44 potential projects from 15 Municipalities under evaluation and another 84 potential projects are currently in draft status and pending to submit by the Municipalities. The CRP Subprogram "Community Resilience Centers" (CRC) design has been developed. The CRP Team has initiated the Subrecipient Direct Selection process with NGO that will be managing this Subprogram and will continue with the required next steps in order to execute the Subrecipient Agreement with the NGO for the launch of this subprogram. Although the COVID-19 pandemic is still affecting in-person meetings and communications, the CRP Team has continued to offer trainings and one on one coordination meetings with municipalities via teleconferencing. As a result, the Program has been able to receive, evaluate and approve projects and continues to support Municipalities towards the implementation of their proposed projects and the publication of required RFPs for services.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents: None

Project # / Planning B-17-DM-72-0001 / Planning



Grantee Activity Number: R01P02CRP-FPR-NA

Activity Title: Community Resilience Planning

Activity Type:

Planning

Project Number:

Planning B-17-DM-72-0001

Projected Start Date:

09/20/2018

Benefit Type:

Area (Census)

National Objective:

N/A

Activity Status:

Under Way

Project Title:

Planning

Projected End Date:

09/19/2026

Completed Activity Actual End Date:

Responsible Organization:

Foundation For Puerto Rico

| Overall | Jul 1 thru Sep 30, 2021 | To Date |
|--|-------------------------|------------------|
| Total Projected Budget from All Sources | \$0.00 | \$110,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$55,000,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$55,000,000.00 |
| Total Budget | \$0.00 | \$110,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$55,000,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$55,000,000.00 |
| Total Obligated | \$5,174.95 | \$3,908,807.52 |
| B-17-DM-72-0001 | \$5,174.95 | \$3,908,807.52 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Drawdown | \$245,179.65 | \$2,225,548.29 |
| B-17-DM-72-0001 | \$245,179.65 | \$2,225,548.29 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$245,179.65 | \$2,225,548.29 |
| B-17-DM-72-0001 | \$245,179.65 | \$2,225,548.29 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$245,179.65 | \$2,225,548.29 |
| Foundation For Puerto Rico | \$245,179.65 | \$1,945,552.45 |
| Most Impacted and Distressed Expended | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |

Activity Description:

The Whole Community Resilience Planning Initiative will craft recovery solutions for all communities, including high-risk areas to increase individual and collective preparedness to future events and ensure greater resiliency at both the community and national levels. A whole community approach ensures shared understanding of community needs and capabilities, greater empowerment and integration of resources from across the community, and a stronger social infrastructure. High risk areas may include communities located in full or in part in the flood-plain, in landslide risk areas, or other areas with environmental or hazard risk. Further, in addition to these hazard risks, a deeper and more multi-dimensional understanding of community risk and vulnerability (community resilience profile) will be developed in Phase 1. In the initial phase of the program, PRDOH and FPR may work with the Planning Board to provide technical assistance and data to participating subrecipients. The tasks in Phase 1 may include, but are not limited to, data collection and initial analysis, technical training, and outreach to communities within the municipality. Also, during Phase 1 this initiative will



prepare communities to make informed decisions and prioritize future actions and funding decisions based upon greater understand of community needs in housing, infrastructure, economic development, health, environment, and education. In Phase 2, communities may apply openly based on selection criteria developed by PRDOH and FPR. The selection criteria for Phase 2 funding will be subsequently published in a Program Notice of Funding Availability (NOFA). Selected communities may receive a planning award of up to \$500,000 per community plan. Plans will lay the groundwork for participatory community visioning, utilizing place-based risk and vulnerability analyses to prioritize effective and expedient investments in housing, infrastructure, economic development and revitalization, health and environment, and education as long-term recovery programs are funded. Plans developed in Phase 2 will be based upon addressing community risks and vulnerabilities identified in Phase 1. The goal for the outputs of Phase 2 will be to develop potential options for funding whether as a part of an adjacent initiative or program within the second tranche, in the third tranche of mitigation funding, or utilizing other funding streams local, federal, or private. Communities, like Caño Martín Peña, are encouraged to submit holistic plans for recovery to include items such as land-use, relocation, acquisition, and resilience measures. Communities may submit plans through an NGO, with assistance from professional planning firms and developers. NGOs may be established or developed to work jointly with communities in developing their approach. Plans should include a cost-benefit analysis to ensure feasibility of actions proposed and should be as comprehensive as possible to set the stage for next steps. Planning may include a range of items, such as examining structural mitigation measures at either a community or individual house level, housing innovation, and effective land-use. Regional planning and coordination are highly encouraged and municipalities are encouraged to examine the needs of special communities. Plans should include a consideration of hazard risk as part of their assessment. PRDOH will be the administering agency with the Foundation for Puerto Rico as the lead implementation subrecipient partner, and PRDOH and the Foundation will receive the community applications and final plans, as outlined in the forthcoming program guidelines. PRDOH and the Foundation for Puerto Rico will work collaboratively with relevant governmental entities such as The Puerto Rico Planning Board and key community-based non-profits in the development of program guidelines to ensure consistency and a coordinated approach. FPR is a 501(c)(3) local non-profit organization whose mission is to transform Puerto Rico through social and economic sustainable development. PRDOH recognized the importance of collaboration and inclusion of the third sector and, in particular, within this program wherein working with community groups, NGOs, and other non-profits would be instrumental in ensuring the success and outcomes of Whole Community Resilience Planning Program.

Location Description:

The funding is available to be used in all Puerto Rico municipalities affected by the hurricanes across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks in support of the Whole Community Resilience Planning (WCRP) Program: opened NOFA Application to public, coordinated publishing of NOFA questions and addendums; developed and coordinated the publishing of the Planning Framework and Milestone Implementation Guide and the Community Resilience Planning (CRP) Template; offered support and assistance to the Program Partner with community outreach meetings and one-on-one meetings to promote NOFA; development of Program's documents and deliverables such as Risk and Vulnerability Index Maps, Community Resilience Webpage; development of Educational Strategy and materials; offered support for operations procedures; and coordinated regular check-in meetings with the Subrecipient. No additional modifications to the Program during this period. Due to the pandemic, program's community outreach strategy has to be redesigned to ensure communities broader participation.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found



Activity Supporting Documents:

None



Grantee Activity Number: R01P03API-PBA-NA

Activity Title: Puerto Rico Geospacial Framework (Geoframe)

Activity Type:

Planning

Project Number:

Planning B-17-DM-72-0001

Projected Start Date:

09/20/2018

Benefit Type:

Area (Census)

National Objective:

N/A

Activity Status:

Under Way

Project Title:

Planning

Projected End Date:

09/19/2026

Completed Activity Actual End Date:

Responsible Organization:

Puerto Rico Department of Housing

| Overall | Jul 1 thru Sep 30, 2021 | To Date |
|--|-------------------------|------------------|
| Total Projected Budget from All Sources | \$0.00 | \$100,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$50,000,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$50,000,000.00 |
| Total Budget | \$0.00 | \$100,000,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$50,000,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$50,000,000.00 |
| Total Obligated | \$1,102,693.01 | \$3,202,085.64 |
| B-17-DM-72-0001 | \$1,102,693.01 | \$3,202,085.64 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Drawdown | \$148,189.32 | \$752,089.14 |
| B-17-DM-72-0001 | \$148,189.32 | \$752,089.14 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$148,189.32 | \$752,089.14 |
| B-17-DM-72-0001 | \$148,189.32 | \$752,089.14 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$148,189.32 | \$752,089.14 |
| Puerto Rico Department of Housing | \$148,189.32 | \$752,089.14 |
| Most Impacted and Distressed Expended | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |

Activity Description:

Through direct participation of government agencies, like PRITS and the Puerto Rico Planning Board, as well as universities and the private sector, the Agency Planning Initiatives Program will be undertaken to build the data sets for property across the Island to ensure land use is correctly permitted, planned, inspected, insured, and viewable to the municipalities. The objective of this initiative will not provide title to unregistered properties; however, it will identify which properties do not have title and which structures are informal or unregistered. This will set the stage for proper title transfers to take place. Reconstruction must marry land-use planning together with updated geographic data to ensure long-term rebuilding efforts leverage federal funds and are implemented in a way that addresses the incidence of informal housing, while enhancing the safety of the Island's residents. The CDBG-DR funds for this activity shall not be duplicative of other funding for the same scope of work, should other funds become available. The Puerto Rico Planning Board has experience hosting



data similar to that generated by this program and will acquire parcel data to populate an integrated GIS database. This will assist with clarifying title and ownership records across the Island in conjunction with the Municipal Revenue Collections Center (CRIM, for its Spanish acronym) system already in use. The initiative will lay the foundation to optimize Puerto Rican agencies and municipalities from a planning, land use, and taxing perspective and ensure that emergency response can better meet public safety standards and interagency efficiency is achieved. The Planning Board is at the forefront of the processes and technology to promote, through effective and appropriate tools, the sustainable development of Puerto Rico. The Planning Board provides a cybernetic portal, data, economic indicators, statistics and social indicators which provide a focused lens to guide the economic, physical and social development goals of the Island. It also contains the plans, laws and regulations in force regarding the planning processes of Puerto Rico. Likewise, it has a Geographical Information System with a range of information that facilitates the planning of projects at all levels of the Island's socioeconomic development. This use of technology as an effective planning tool ensures that all sectors, public and private, can obtain reliable data and encourage investment in the Island. The Planning Board provides interactive catalogs of official maps, digital files, geolocators, GIS, planning regulations, territorial plans, land use plans, flood insurance and case filing among other services. These services and tools position the Planning Board as a uniquely qualified partner to work with PRDOH and PRITS to serve the people of Puerto Rico for development and planning initiatives. PRITS is a fundamental step in providing transparency, efficiency, and economic development in Puerto Rico. The PRITS is comprised of a cadre of highly talented digital minds who are in charge of transforming Puerto Rico to a "digital native" government.

Location Description:

The funding is available to be used in all Puerto Rico municipalities affected by the hurricanes across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) completed the following tasks in support of the Puerto Rico Geospatial Framework (GeoFrame):

Several meetings were held with the Program Partner Puerto Rico Planning Board (PRPB), of which twelve (12) were follow-up meetings regarding the Subrecipient Agreement (SRA) and separate additional meetings for the discussion of scope of work (SOW), clarification of doubts regarding municipalities data needs requests to PRPB, and the presentation of the GeoFrame data needs Survey 123. Required training for invoicing submissions were provided to PRPB and the PRPB SRA Amendment A to include staffing and other operational needs required for Stage 1 implementation were approved and executed.

The first meeting concerning the GeoFrame Outreach and Engagement was conducted for the discussion of the relationship-building, meeting topic was Fit-For-Purpose Land Administration for All.

The GeoFrame Team conducted separate meetings with LUMA Energy and the Puerto Rico Department of Treasury (PRDT) for Program Introduction presentation and suggested scope of work (SOW) tasks discussion; completed four (4) additional drafts of the Partner SRA SOW as part of the Stakeholder Engagement Plan, and one (1) data sharing needs Memorandum of Understanding (MOU) draft; and developed a stakeholder list report with complete descriptions of the agencies and entities, GeoFrame stakeholders' groups, and GeoFrame related issues.

PRDOH approved and duly executed the Puerto Rico Public Housing Administration (PHA) data sharing needs Memorandum of Understanding (MOU) and also Finally, PRDOH conducted an in-person Partners and Stakeholders Program Introduction presentation with multiple state agencies participation. Several internal meetings were conducted regarding the Request for Proposal (RFP) contract execution for the GIS Service Provider. Bid Board's Resolution in reference to CDBG-DR-RFP-2020-06 GeoFrame GIS Services was notified to the CDBG-DR Program Procurement Division on September, 2021.

Finally, the GeoFrame Team submitted the form with the required supporting documentation for the proposed amendment concerning the Action Plan Program budget increase need.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

| | This Report Period | Cumulative Actual Total / Expected |
|---------------------------------|--------------------|------------------------------------|
| | Total | Total |
| # of Plans or Planning Products | 0 | 0/1 |

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.



Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents: None



Grantee Activity Number: R01P05HRI-UPR-NA**Activity Title: Home Resilience Innovation****Activity Type:**

Planning

Activity Status:

Under Way

Project Number:

Planning B-17-DM-72-0001

Project Title:

Planning

Projected Start Date:

09/19/2018

Projected End Date:

09/18/2026

Benefit Type:

Area (Census)

Completed Activity Actual End Date:**National Objective:**

N/A

Responsible Organization:

University Of Puerto Rico

Overall

| | Jul 1 thru Sep 30, 2021 | To Date |
|--|--------------------------------|----------------|
| Total Projected Budget from All Sources | \$0.00 | \$1,500,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$750,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$750,000.00 |
| Total Budget | \$0.00 | \$1,500,000.00 |
| B-17-DM-72-0001 | \$0.00 | \$750,000.00 |
| B-18-DP-72-0001 | \$0.00 | \$750,000.00 |
| Total Obligated | \$3,102.66 | \$235,169.06 |
| B-17-DM-72-0001 | \$3,102.66 | \$235,169.06 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Drawdown | \$2,980.37 | \$90,217.21 |
| B-17-DM-72-0001 | \$2,980.37 | \$90,217.21 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$2,980.37 | \$90,217.21 |
| B-17-DM-72-0001 | \$2,980.37 | \$90,217.21 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$2,980.37 | \$90,217.21 |
| University Of Puerto Rico | \$2,980.37 | \$90,217.21 |
| Most Impacted and Distressed Expended | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |

Activity Description:

\$5,750,000 in Planning funds will be allocated to the University of Puerto Rico to develop a Resilience Innovation Program. This includes overseeing a competition for innovative solutions to address home-based renewable energy generation, energy storage, and home functions. Home functions may include, but are not limited to appliances for cooking, water heating, refrigeration, lighting, and cooling. The combined solutions will provide residents greater resilience to power interruptions, allowing them to remain in their homes with greater health, safety, and security. Additionally, community-wide resilience measures and innovative home design and construction methods are encouraged to be examined.

Location Description:

University of Puerto Rico is located at Jardin Botanico Sur 1187, San Juan PR 00926.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH) determined to eliminate the Home Resilience and Innovation Competition Program and reallocated the funds to a new program called Blue Roof Program under the Housing Sector. This determination can be found in the current draft of the Action Plan Amendment number seven (APA7), which recently closed the period for public comments. All comments will be addressed before submitted to HUD for final approval.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents: None

Grantee Activity Number: R01P06MRP-DOH-NA

Activity Title: Municipal Recovery Planning

Activity Type:

Planning

Activity Status:

Under Way

Project Number:

Planning B-17-DM-72-0001

Project Title:

Planning

Projected Start Date:

08/31/2020

Projected End Date:

08/31/2026

Benefit Type:

N/A

Completed Activity Actual End Date:

National Objective:

N/A

Responsible Organization:

Puerto Rico Department of Housing

Overall

Total Projected Budget from All Sources

B-17-DM-72-0001

B-18-DP-72-0001

Total Budget

B-17-DM-72-0001

B-18-DP-72-0001

Total Obligated

B-17-DM-72-0001

B-18-DP-72-0001

Total Funds Drawdown

B-17-DM-72-0001

B-18-DP-72-0001

Program Funds Drawdown

B-17-DM-72-0001

B-18-DP-72-0001

Program Income Drawdown

B-17-DM-72-0001

B-18-DP-72-0001

Program Income Received

B-17-DM-72-0001

B-18-DP-72-0001

Total Funds Expended

Puerto Rico Department of Housing

Most Impacted and Distressed Expended

B-17-DM-72-0001

B-18-DP-72-0001

Jul 1 thru Sep 30, 2021

To Date

| | |
|-----------------|------------------|
| \$0.00 | \$112,101,016.00 |
| \$0.00 | \$56,050,508.00 |
| \$0.00 | \$56,050,508.00 |
| \$0.00 | \$112,101,016.00 |
| \$0.00 | \$56,050,508.00 |
| \$0.00 | \$56,050,508.00 |
| \$17,770,751.48 | \$26,697,863.90 |
| \$17,770,751.48 | \$26,697,863.90 |
| \$0.00 | \$0.00 |
| \$579,647.00 | \$876,061.00 |
| \$579,647.00 | \$876,061.00 |
| \$0.00 | \$0.00 |
| \$579,647.00 | \$876,061.00 |
| \$579,647.00 | \$876,061.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$579,647.00 | \$876,061.00 |
| \$579,647.00 | \$876,061.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |
| \$0.00 | \$0.00 |

Activity Description:

PRDOH developed guidelines so that municipalities may receive assistance to conduct planning activities. To be eligible, these activities must be necessary, reasonable, allowable, and allocable. Planning activities as defined by HUD include but are not limited to:

*Comprehensive plans

*Community development plans

*Functional plans for housing/land use/economic development

*Mitigation plan or disaster resiliency plan

*Recovery action plans

*Costs associated with creating a plan, including data gathering, studies, analysis, and preparation of plans. Planning activities related to a specific project are Project Costs.



These activities will be administered in conformance with local and federal requirements as part of the program management planning allocation in the Action Plan budget. PRDOH will conduct outreach to the municipalities and provide guidance regarding these activities. These activities are in addition to any actions performed under the Whole Community Resilience Program, which addresses planning for targeted communities. Planning will complement and leverage efforts undertaken as part of the local Hazard Mitigation plan updates coordinated through the COR3.

ISLAND-WIDE AND REGIONAL PLANNING

PRDOH will engage in regional and island-wide planning activities to coordinate the management of civic, geologic, economic, environmental and/or transportation systems.

Puerto Rico's seventy-eight (78) municipal jurisdictions overlay the Island's four mountain ranges, two hundred-plus (200+) rivers, one hundred two (102) watersheds and four (4) geological zones as well as multiple transportation systems, infrastructure impact areas, agricultural communities, special communities, and economic centers. Hurricanes María and Irma exacerbated existing concerns within Puerto Rico's diverse Island communities, ecologies, and infrastructure typologies. The effects of these recent hurricanes reiterate the need for comprehensive planning to consider coordinated management of Puerto Rico's resources and assets. The island-wide and regional planning may result in data gathering and studies related to identified concerns, integrated island-wide or regional plans, environmental plans, economic plans, transportation plans, or other coordinated planning activity. These plans or studies will allow communities to develop policy, planning, and management capacity so that Puerto Rico may more rationally and effectively determine their needs, set long-term goals and short-term objectives, devise programs and activities to meet goals and objectives, evaluate the progress of such programs in accomplishing these goals and objectives, and carry out management, coordination, and monitoring of activities necessary for effective planning implementation.

Location Description:

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH), completed the following tasks in support of the Municipal Recovery Planning (MRP) Program: twelve (12) SRAs were signed, eighteen (18) one-on-one and group technical assistance sessions (on how to fill out their program budget, key personnel and Budget Justification Templates to Municipalities) were provided and several Subrecipient Agreement (SRA) documents submitted by Municipalities were reviewed and evaluated.

Furthermore, twenty (20) one-on-one kick off meetings were coordinated with the MRP Program Manager and Municipalities to support agreement compliance and progress on pre-planning activities. Municipalities with executed contracts made progress throughout their Pre-Planning Activity Period. Nineteen (19) Municipalities participated in the Roles and Responsibilities and Grant Management System Sessions, sixteen (16) for the Plan Content and Deliverable Guide Trainings, fourteen (14) for the RFP workshop, four (4) for the finance and invoicing session and twenty seven (27) for the Monthly Report training sessions. The MRP Program Team also provided onboarding trainings related to program area processes, user access or general information as well as support towards Finance Trainings, Reporting Templates, Program Documentation, Roles and Responsibilities, Grant Management System development among others to the PRDOH Planning Services Provider who is under contract. In addition, Planning Services Provider kick-off meetings were held with the MRP Program Management Team and with the Municipalities that were ready to begin their Planning Activities under the MRP Program. Communications and coordination for all mentioned processes has been provided via email, voice calls or video conference meetings.

Currently, nine (9) Municipalities have begun their Planning Activities in coordination with the PRDOH Planning Services Provider, of which seven (7) submitted their Deliverable 1 within this reporting period. The MRP Team also finalized program documentation, such as the Planning Services Provider Monthly Report Template, the MRP Closing Date Memo, the Deliverable Format Guide, the MRP Planning Process Compliance Plan, the CRIM data letter, adjustments to the Deliverable Guide Template, the Outreach and Communications Report, Adjustments to the Municipal Monthly Reporting Template in SAPR, and proposed amendments to the Program Guidelines and the SRA Templates. Moreover, weekly MRP Program reports regarding the status of each Municipality's for MRP Program funding were developed on an on-going basis.

To date, forty-two (42) Municipalities have signed the Subrecipient Agreement with the PRDOH and are now under contract, twenty-nine (29) are undergoing final reviews in advance of the signing of agreements and seven (7) Municipalities are actively working on submitting SRA paperwork.

As a result of the limited personnel within Municipal offices, the MRP Program experienced delays with Municipalities reviewing and submitting their required documents and completing their required trainings.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents: None



Grantee Activity Number: R01P06PMP-DOH-NA

Activity Title: Program Management Planning

Activity Type:

Planning

Activity Status:

Under Way

Project Number:

Planning B-17-DM-72-0001

Project Title:

Planning

Projected Start Date:

09/19/2018

Projected End Date:

09/18/2026

Benefit Type:

Area (Census)

Completed Activity Actual End Date:

National Objective:

N/A

Responsible Organization:

Puerto Rico Department of Housing

| Overall | Jul 1 thru Sep 30, 2021 | To Date |
|--|-------------------------|------------------|
| Total Projected Budget from All Sources | \$0.00 | \$271,386,684.00 |
| B-17-DM-72-0001 | \$0.00 | \$135,693,342.00 |
| B-18-DP-72-0001 | \$0.00 | \$135,693,342.00 |
| Total Budget | \$0.00 | \$271,386,684.00 |
| B-17-DM-72-0001 | \$0.00 | \$135,693,342.00 |
| B-18-DP-72-0001 | \$0.00 | \$135,693,342.00 |
| Total Obligated | \$1,865,223.34 | \$10,587,726.34 |
| B-17-DM-72-0001 | \$34,019.46 | \$8,756,522.46 |
| B-18-DP-72-0001 | \$1,831,203.88 | \$1,831,203.88 |
| Total Funds Drawdown | \$166,140.12 | \$3,020,115.92 |
| B-17-DM-72-0001 | \$166,140.12 | \$3,020,115.92 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Funds Drawdown | \$166,140.12 | \$3,020,115.92 |
| B-17-DM-72-0001 | \$166,140.12 | \$3,020,115.92 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Drawdown | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Program Income Received | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |
| Total Funds Expended | \$166,140.12 | \$3,020,115.92 |
| Puerto Rico Department of Housing | \$166,140.12 | \$3,020,115.92 |
| Most Impacted and Distressed Expended | \$0.00 | \$0.00 |
| B-17-DM-72-0001 | \$0.00 | \$0.00 |
| B-18-DP-72-0001 | \$0.00 | \$0.00 |

Activity Description:

The Puerto Rico Planning Board (Planning Board) has initiated the process of developing and adopting the Advisory Base Flood Elevation Maps and adopting the Limit of Moderate Wave Action areas in coordination with FEMA. The Planning Board will also, in coordination with the municipalities, reformat, update, and improve the consistency of municipal Hazard Mitigation Local Plans in Puerto Rico to ensure all 78 municipalities have an approved local plan prior to the obligation of HMGP funds from 4339-DR-PR (Hurricane María) and any other funding event that may occur during the period of approval for the updated plans. Updates will be completed in compliance with 44 C.F.R. § 201.6, 2 C.F.R. § 200, and the applicable Hazard Mitigation Assistance Guidance (February 2015). Additionally, the Puerto Rico Permits Management Office is in the process of reviewing the Puerto Rico Building Codes to adopt the ICC 2018. COR3 will oversee the review of the State Hazard Mitigation Plan. MUNICIPAL RECOVERY PLANNING PROGRAM PRDOH will develop guidelines so that municipalities may receive assistance to conduct planning activities. To be eligible, these activities must be necessary, reasonable,



allowable, and allocable. Planning activities as defined by HUD include but are not limited to:• Comprehensive plans• Community development plans• Functional plans for housing/land use/economic development• Mitigation plan or disaster resiliency plan• Recovery action plans• Costs associated with creating a plan, including data gathering, studies, analysis, and preparation of plans. Planning activities related to a specific project are Project Costs. These activities will be administered in conformance with local and federal requirements as part of the program management planning allocation in the Action Plan budget. PRDOH will conduct outreach to the municipalities and provide guidance regarding these activities. These activities are in addition to any actions performed under the Whole Community Resilience Program, which addresses planning for targeted communities. Planning will complement and leverage efforts undertaken as part of the local Hazard Mitigation plan updates coordinated through the COR3. ISLAND-WIDE AND REGIONAL PLANNING PRDOH will engage in regional and island-wide planning activities to coordinate the management of civic, geologic, economic, environmental and/or transportation systems. Puerto Rico's seventy eight (78) municipal jurisdictions overlay the Island's four mountain ranges, two hundred-plus (200+) rivers, one hundred two (102) watersheds and four (4) geological zones as well as multiple transportation systems, infrastructure impact areas, agricultural communities, special communities, and economic centers. Hurricanes María and Irma exacerbated existing concerns within Puerto Rico's diverse Island communities, ecologies, and infrastructure typologies. The effects of these recent hurricanes reiterate the need for comprehensive planning to consider coordinated management of Puerto Rico's resources and assets. The island-wide and regional planning may result in data gathering and studies related to identified concerns, integrated island-wide or regional plans, environmental plans, economic plans, transportation plans, or other coordinated planning activity. These plans or studies will allow communities to develop policy, planning, and management capacity so that Puerto Rico may more rationally and effectively determine their needs, set long-term goals and short-term objectives, devise programs and activities to meet goals and objectives, evaluate the progress of such programs in accomplishing these goals and objectives, and carry out management, coordination, and monitoring of activities necessary for effective planning implementation.

Location Description:

The funding is available to be used in all Puerto Rico municipalities affected by the hurricanes across the island.

Activity Progress Narrative:

During the reporting period, the Puerto Rico Department of Housing (PRDOH), completed the following tasks in support of the Municipal Recovery Planning (MRP) Program: twelve (12) SRAs were signed, eighteen (18) one-on-one and group technical assistance sessions (on how to fill out their program budget, key personnel and Budget Justification Templates to Municipalities) were provided and several Subrecipient Agreement (SRA) documents submitted by Municipalities were reviewed and evaluated.

Furthermore, twenty (20) one-on-one kick off meetings were coordinated with the MRP Program Manager and Municipalities to support agreement compliance and progress on pre-planning activities. Municipalities with executed contracts made progress throughout their Pre-Planning Activity Period. Nineteen (19) Municipalities participated in the Roles and Responsibilities and Grant Management System Sessions, sixteen (16) for the Plan Content and Deliverable Guide Trainings, fourteen (14) for the RFP workshop, four (4) for the finance and invoicing session and twenty seven (27) for the Monthly Report training sessions. The MRP Program Team also provided onboarding trainings related to program area processes, user access or general information as well as support towards Finance Trainings, Reporting Templates, Program Documentation, Roles and Responsibilities, Grant Management System development among others to the PRDOH Planning Services Provider who is under contract. In addition, Planning Services Provider kick-off meetings were held with the MRP Program Management Team and with the Municipalities that were ready to begin their Planning Activities under the MRP Program. Communications and coordination for all mentioned processes has been provided via email, voice calls or video conference meetings.

Currently, nine (9) Municipalities have begun their Planning Activities in coordination with the PRDOH Planning Services Provider, of which seven (7) submitted their Deliverable 1 within this reporting period. The MRP Team also finalized program documentation, such as the Planning Services Provider Monthly Report Template, the MRP Closing Date Memo, the Deliverable Format Guide, the MRP Planning Process Compliance Plan, the CRIM data letter, adjustments to the Deliverable Guide Template, the Outreach and Communications Report, Adjustments to the Municipal Monthly Reporting Template in SAPR, and proposed amendments to the Program Guidelines and the SRA Templates. Moreover, weekly MRP Program reports regarding the status of each Municipality's for MRP Program funding were developed on an on-going basis. To date, forty-two (42) Municipalities have signed the Subrecipient Agreement with the PRDOH and are now under contract, twenty-nine (29) are undergoing final reviews in advance of the signing of agreements and seven (7) Municipalities are actively working on submitting SRA paperwork.

As a result of the limited personnel within Municipal offices, the MRP Program experienced delays with Municipalities reviewing and submitting their required documents and completing their required trainings.

Section 3 Qualitative Efforts:

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Activity Supporting Documents: None

Monitoring, Audit, and Technical Assistance

| Event Type | This Report Period | To Date |
|--|--------------------|---------|
| Monitoring, Audits, and Technical Assistance | 0 | 42 |
| Monitoring Visits | 0 | 42 |
| Audit Visits | 0 | 0 |
| Technical Assistance Visits | 0 | 0 |
| Monitoring/Technical Assistance Visits | 0 | 0 |
| Report/Letter Issued | 0 | 35 |

